State of California  
Office of Administrative Law

In re:
Department of Corrections and Rehabilitation

Regulatory Action:

Title 15, California Code of Regulations

Adopt sections: 3378.1, 3378.2, 3378.3, 3378.4, 3378.5, 3378.6, 3378.7, 3378.8

Amend sections: 3000, 3023, 3043.4, 3044, 3077, 3139, 3269, 3269.1, 3314, 3315, 3321, 3323, 3334, 3335, 3341.5, 3375, 3375.2, 3375.3, 3376, 3376.1, 3377.2, 3378 (subds. (c)(6) - (c)(6)(G) re-numbered to 3378.2 (c) - (c)(7)), 3378.1 (re-numbered to 3378.5), 3378.2 (re-numbered to 3378.5(e)), 3378.3 (re-numbered to 3378.7), 3504, 3505, 3545, 3561, 3651, 3721

NOTICE OF APPROVAL OF REGULATORY ACTION

Government Code Section 11349.3

OAL File No. 2014-0905-02 S

The Department of Corrections and Rehabilitation submitted this action to make permanent a pilot project pertaining to management, disciplinary actions, and housing of inmates and parolees found to be members, associates, or suspects of security threat groups, as specified. The proposed action adopts eight sections and amends 31 sections of title 15 of the California Code of Regulations, and adopts or amends 13 incorporated by reference forms.

OAL approves this regulatory action pursuant to section 11349.3 of the Government Code. This regulatory action becomes effective on 10/17/2014.

Date: 10/17/2014

Richard L. Smith
Senior Attorney

For: DEBRA M. CORNEZ
Director

Original: Jeffrey Beard
Copy: Josh Jugum
### A. PUBLICATION OF NOTICE

**AGENCY WITH RULEMAKING AUTHORITY**
California Department of Corrections and Rehabilitation

**OAL FILE NUMBERS**
Z.2014-0121-13

**NOTICE FILE NUMBER**
Z.2014-0121-13

**REGULATORY ACTION NUMBER**
20140905-025

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20140905-025

**NOTICE TYPE**
Notice re Proposed Rulemaking

**REQUESTED PUBLICATION DATE**
2014 Sep 5 - 2:27

**AGENCY CONTACT PERSON**
Joshua Jugum

**TELEPHONE NUMBER**
916 445-2228

**FAX NUMBER (Optional)**
916 324-6075

**OAL USE ONLY**
Action on Proposed Notice

**APPROVED AS SUBMITTED**

**APPROVED AS MODIFIED**

**DISAPPROVED WITHDRAWN**

**NOTICE REGISTER NUMBER**
2014052

**PUBLICATION DATE**
1/31/2014

### B. SUBMISSION OF REGULATIONS

**SUBJECT OF REGULATION(S)**
Security Threat Groups

**SECTIONS AFFECTED**
(See Attached List)

**TYPE OF FILING**

- [ ] Regular Rulemaking (Gov. Code §11346)
- [ ] Certificate of Compliance: The agency officer named below certifies that this agency complied with the provisions of Gov. Code §§11346.2-11347.3 either before the emergency regulation was adopted or within the time period required by statute.
- [ ] Emergency Readopt (Gov. Code, §11346.1(h))
- [ ] Changes Without Regulatory Effect (Cal. Code Regs., title 2, §100)
- [ ] File & Print
- [ ] Print Only
- [ ] Other (Specify)

**EFFECTIVE DATE OF CHANGES**

- [ ] Effective January 1, April 1, July 1, or October 1 (Gov. Code §11343.4)
- [X] Effective on filing with Secretary of State (Gov. Code §11343.4)
- [ ] Other (Specify)

**CONTACT PERSON**
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**SIGNATURE OF AGENCY HEAD OR DESIGNEE**

**TYPE OF SIGNATORY**

**DATE**
9/4/14

**ADDRESS**
Office of Administrative Law

**ENDORSED APPROVED**
OCT. 1, 2014

**OFFICE OF ADMINISTRATIVE LAW**
Section B.

Adopt: 3378.1, 3378.2, 3378.3, 3378.4, 3378.5, 3378.6, 3378.7, 3378.8

Amend: 3000, 3023, 3043.4, 3044, 3077, 3139, 3269, 3269.1, 3314, 3315, 3321, 3323, 3334, 3335, 3341.5, 3375, 3375.2, 3375.3, 3376, 3376.1, 3377.2, 3378 (subds. (c)(6) – (c)(6)(G) re-numbered to 3378.2 (c) – (c)(7)), 3378.1 (re-numbered to 3378.5), 3378.2 (re-numbered to 3378.5(e)), 3378.3 (re-numbered to 3378.7), 3504, 3505, 3545, 3561, 3651, 3721
California Code of Regulations, Title 15, Division 3, Adult Institutions, Programs and Parole

TEXT OF PROPOSED REGULATIONS

In the following text, strikethrough indicates deleted text; underline indicates added or amended text.

3000 Definitions.

Section 3000 is amended to alphabetically merge the definitions below with those that exist in the regulations.

Affiliate means individual offenders validated as members, associates, or monitored status who are connected or interact with a certified or recognized Security Threat Group.

Confirmed Security Threat Group (STG) Behavior means behavior with a nexus to an STG which is discovered and confirmed to have occurred. Confirmation can be obtained through either a guilty finding in a STG related Rules Violations Report and/or any document that clearly describes the STG behavior/activities incorporated within the validation or continued STG behavior package which is affirmed by an STG Unit Classification Committee.

Debriefing is the formal process by which a Security Threat Group (STG) coordinator/investigator determines whether an offender has abandoned STG affiliation and dropped out of a STG. A subject shall only be debriefed upon their request, although staff may ask if he or she wants to debrief.

Direct Link means any connection between a subject and any person who has been validated as an STG affiliate. This connection does not need to be independently indicative of STG association beyond the requirements for validation source items listed in Title 15, Section 3378.

Dropout means a validated affiliate who has cooperated in and successfully completed Phase One and Two of the debriefing process.

Gang means any ongoing formal or informal organization, association or group of three or more persons which has a common name or identifying sign or symbol whose members and/or associates, individually or collectively, engage or have engaged, on behalf of that organization, association, or group, in two or more acts which include, planning, organizing, threatening, financing, soliciting, or committing unlawful acts or acts of misconduct outside of the California Department of Corrections and Rehabilitation jurisdiction, classified as serious pursuant to section 3315.

Inactive Status Affiliate means a validated affiliate who was released from Security Housing Unit (SHU) prior to implementation of the Step Down Program. This inmate was not previously identified as having been involved in gang-related behavior for a period of six years, was reviewed by a Departmental Review Board, and released from the SHU.
Inactive-Monitored Status Affiliate means a validated affiliate who was released from the Security Housing Unit concurrent with the implementation of the Step Down Program through a Departmental Review Board hearing.

Monitored Status Affiliate means any offender who has successfully completed Steps 1-4 in the Step Down Program and has been released from a Security Housing Unit.

Offender means any inmate, ward, parolee, or other person currently under the jurisdiction of the CDCR.

Prison Gang means any gang which originated and has its roots within the department or any other prison system. Credit earning for validated prison gang members and associates housed in Administrative Segregation Units (ASU), Security Housing Units (SHU), Psychiatric Services Units (PSU), or Behavior Management Units (BMU) as referenced in Penal Code Section 2933.6 shall apply to inmates validated as STG-I members or associates who are housed in ASU, SHU, PSU, or BMU.

Security Threat Group (STG) means any ongoing formal or informal organization, association, or group of three or more persons which has a common name or identifying sign or symbol whose members and/or associates, individually or collectively, engage or have engaged, on behalf of that organization, association or group, in two or more acts which include, planning, organizing, threatening, financing, soliciting or committing unlawful acts, or acts of misconduct.

Security Threat Group I (STG-I) is a term used to identify and prioritize the level of threat the group presents that affects the safety and security of the institution and public safety. STG-I designation will be reserved for STGs that pose the greatest of these threats. STG-I designation may include, but may not be limited to, traditional prison gangs or similar disruptive groups or gangs that the department has certified to have a history and propensity for violence and/or influence over subservient STGs.

Security Threat Group II (STG-II) is a term used to identify and prioritize the level of threat the group presents that affects the safety and the security of the institution and public safety. The STG-II designation may include, but is not limited to, traditional disruptive groups/street gangs.

Security Threat Group Administrative Directive is an administrative order, approved by the Secretary (or designee) of the CDCR, certifying a group’s threat to the safety of staff, offenders, and the security of the institution based on a documented history of and future propensity for violence.

Security Threat Group (STG) Associate means any offender or any person who, based on documented evidence, is involved periodically or regularly with the members of a STG. STG Associates will be identified through the validation process.

Security Threat Group (STG) Behavior is any documented behavior that promotes, furthers, or assists a STG. This includes, but is not limited to conduct of any person that leads to and includes the commission of an unlawful act and/or violation of policy demonstrating a nexus to a STG.

Security Threat Group (STG) Member means any offender or any person who, based on documented evidence, has been accepted into membership by a STG. STG Members will be identified through the validation process.
Security Threat Group (STG) Suspect means any offender or any person who, based on documented evidence, is involved periodically or regularly with the members or associates of a STG. The STG suspect is tracked by STG investigative staff pending validation. Suspects have attained more than one but less than ten points of validation as described in Section 3378.2(b).

Security Threat Group (STG) Unit Classification Committee is a unit classification committee responsible for making the determination of an inmate’s validation status, reviewing Dropout status affiliate’s new disciplinary behavior to determine nexus to STG, and reviewing information/intelligence regarding inmate-involved incidents occurring outside CDCR jurisdiction to ensure disciplinary processes and/or formal documentation were applied.

Step Down Program (SDP) is a five-step program that provides inmates placed in a Security Housing Unit (SHU) due to STG validation and/or documented STG behaviors with a program expectation of discontinuing participation in STG related activities and includes increased incentives to promote positive behavior with the ultimate goal of release from the SHU.

Step Down Program, Step 1 and 2 SHU means the first two of five steps in the step down process-designated for housing of STG affiliates determined to pose the greatest threat to the safety of staff, inmates, and the public, in addition to the security of the prison based upon intelligence and/or confirmed STG behaviors. Steps 1 and 2 are designed to be completed in 12 months each, but may be accelerated at the 180-day review.

Step Down Program, Step 3 and 4 SHU are steps in the five-step program intended to begin reintegration of the STG affiliates by offering program and privilege incentives within a controlled setting and monitoring of program progress for housing of STG affiliates who have completed steps 1 and 2 and continue to pose a threat to the safety of staff, inmates, and the public.

Step Down Program, Step 5. Upon successful completion of all four SHU steps, as determined by Institutional Classification Committee (ICC) and based on individual inmate behavior, the inmate will be endorsed to General Population or similar specialized housing for a 12-month observation period know as Step 5.

Transitional Housing Unit is a general population program designated for the observation phase of the Prison Gang Debriefing process. This program houses those inmates that are in the second phase of the debriefing process.

Validation means the formal and objective process for identifying and documenting STG affiliates.

Note: Authority cited: Sections 2717.3, 3000.03, 5058, 5058.3 and 1170.05, Penal Code; Section 10115.3(b), Public Contract Code; and Sections 4525(a), 4526 and 14837, Government Code. Reference: Sections 186.22, 243, 314, 530, 532, 646.9, 653m, 832.5, 1170.05, 1203.8, 1389, 2080, 2081.5, 2600, 2601, 2700, 2717.1, 2717.6, 2932.5, 3003.5(a), 3020, 3450, 3550, 4570, 4576, 5009, 5050, 5054, 5068, 7000 et seq. and 11191, Penal Code; Sections 1132.4 and 1132.8, Labor Code; Sections 10106, 10108, 10108.5, 10115, 10115.1, 10115.2, 10115.3 and 10127, Public Contract Code; and Section 999, Military and Veterans Code; Section 391, Code of Civil Procedure; Section 297.5, Family Code; Sections 8550, 8567, 12838 and 12838.7, Government Code; Governor's Prison Overcrowding State of Emergency Proclamation dated
Existing section 3023 title is amended to read:


Existing subsection 3023(a) is renumbered and relocated to 3023(c).

New subsection 3023(a) is adopted to read:

(a) Security Threat Groups (STG) jeopardize public safety, as they promote violence, drug trafficking, extortion, and create substantial risks in prisons, jails and local communities. STG management within prisons requires a comprehensive management strategy that includes prevention, interdiction and rehabilitation. It is the policy of the California Department of Corrections and Rehabilitation (CDCR) to ensure that its employees and inmates are able to work and live without threat of intimidation, injury, and/or death.

Existing subsection 3023(b) is deleted.

(b) Gangs, as defined in section 3000, present a serious threat to the safety and security of California prisons.

New subsection 3023(b) is adopted to read:

(b) CDCR has zero tolerance for any STG activity or behavior within its institutions. The STG Identification, Prevention, and Management Policy, as referenced in section 3378, recognizes that STG groups and STG group-like activity pose a significant risk to the safety, security, and orderly operation of its institutions.

Existing subsection 3023(c) is renumbered and relocated to new subsection 3023(d).

New subsection 3023(c) is renumbered and relocated from existing subsection 3023(a) and text is amended to read:

- (a)(c) Inmates and parolees shall not knowingly promote, further or assist any STG gang as defined in section 3000.

New subsection 3023(d) is renumbered and relocated from existing subsection 3023(c) and text is amended to read:

- (e)(d) For the purpose of specific STG gang participant identification, the department categorizes STGs into prison gangs and disruptive groups the levels STG-I or STG-II as defined in section 3000. Participation levels within an STG are further categorized in status as Members, Associates, Suspects, and Dropouts as defined in section 3000.

New subsection 3023(e) is adopted to read:

- (e) Inmates shall not conspire, attempt, or participate in behavior or activities specifically identified in Section 3314(a)(3)(L) and 3314(a)(3)(M), Administrative Rules Violations, STG
Contraband and Behavior, or Section 3315(a)(3)(Z) and 3314(a)(3)(AA), Serious Rules Violations, STG Violent, Disruptive, or Controlling Behavior.

New subsection 3023(f) is adopted to read:

(f) Condemned inmates are subject to Security Threat Group identification, prevention, and management sections 3378.4(a), 3378.5, and 3378.6. All other provisions for the identification and management of Security Threat Group affiliates within the condemned population are governed by Penal Code section 3600(b)(1) and by local operating procedures.


3043.4. Non-Credit Earning.

Subsections 3043.4 Initial paragraph through 3043.4(a) remain unchanged.

Subsection 3043.4(b) is amended to read:

(b) An inmate who is placed in SHU, PSU, or ASU for misconduct described in subsection (c) or upon validation as a STG-I prison gang member or associate is ineligible to earn credits pursuant to Penal Code section 2933 or 2933.05 during the time he or she is in the SHU, PSU, or ASU for that misconduct.

Subsections 3043.4(c) through 3043.4(d) remain unchanged.

Note: Authority cited: Section 5058, Penal Code. Reference: Sections 2931, 2932, 2933, 2933.05, 2933.6, 5054 and 5068, Penal Code.

3044. Inmate Work Groups.

Subsections 3044(a) through 3044(b)(6)(D) remain unchanged.

Subsection 3044(b)(7) is amended to read:

(7) Work Group D-2: Lockup Status. Inmates placed in SHU, PSU, or ASU for disciplinary related offenses described in Penal Code section 2933.6 or upon validation as a STG-I prison gang member or associate are ineligible to earn credits during placement in SHU, PSU, or ASU. Inmates placed in SHU, PSU, or ASU following the commission of any other serious disciplinary infraction(s) are ineligible to earn credits for a period not to exceed the number of disciplinary credits forfeited. Zero credit.

Subsection 3044(b)(7)(A) through 3044(b)(7)(B) remain unchanged.

Subsection 3044(b)(7)(C) is amended to read:

(C) An inmate in, ASU, SHU, or PSU, on indeterminate or determinate lockup status serving an administrative or determinate SHU term, who is deemed a program failure as defined in section 3000, may be assigned Work Group D-2 by a classification committee. An inmate assigned to Work Group C at the time of placement in ASU, SHU, or PSU, or who refuses to accept or
perform work assignments, shall be assigned Work Group D-2. An inmate released from ASU, SHU, or PSU may be placed back into Work Group C by a classification committee not to exceed the remaining number of disciplinary credits forfeited due to the serious disciplinary infractions(s).

Subsections 3044(b)(7)(D) through 3044(e)(2)(B) remain unchanged. Subsection 3044(e)(2)(B) is shown to provide context.

(2) Privileges for Privilege Group B are as follows:

Subsection 3044(e)(2)(C) is amended to read:

(C) One-half Seventy-five percent (75%) of the maximum monthly canteen draw as authorized by the secretary.

Subsections 3044(e)(2)(D) through 3044(g) remain unchanged. Subsection 3044(g) is shown to provide context.

(g) Privilege Group D:

Subsection 3044(g)(1) is amended to read:

(1) Criteria: Any inmate, with the exception of validated STG affiliates participating in the SDP or designated NDS inmates, housed in a special segregation unit, voluntarily or under the provisions of Sections 3335-3345 of these regulations who is not assigned to either a full-time or half-time assignment.

Inmates assigned to steps 1 through 4 of the SDP while completing the interview phase of the debriefing process, are entitled to privileges and non-privileges commensurate with the SDP step to which the offender is currently assigned, in accordance with Section 3044(i).

Subsection 3044(g)(2) remain unchanged.

Subsection 3044(g)(3) is amended to read:

(3) Privileges and non-privileges for Privilege Group D, other than those listed above, are as follows:

Subsection 3044(g)(3)(A) remains unchanged.

Subsection 3044(g)(3)(B) is amended to read:

(B) One-fourth Twenty-five percent (25%) of the maximum monthly canteen draw as authorized by the secretary.

Subsections 3044(g)(3)(C) through 3044(h)(2)(F) remain unchanged.

New subsections 3044(i) through 3044(i)(2)(D) are adopted to read:

(i) Privilege Group S1 through S4:
(1) Criteria: Participation in the STG SDP.
(2) Privileges and non-privileges for Privilege Groups S1 through S4 are:

(A) S1 for Step 1.
1. No Family Visits.
2. Visiting during non-work/training hours, limited by available space within facility non-contact visiting room.
3. Twenty-five percent (25%) of the maximum monthly canteen draw as authorized by the secretary.
4. Telephone calls on an emergency basis only as determined by institution/facility staff. At the 180-day Institution Classification Committee (ICC) review, if the inmate has met program expectations and has not been found guilty of STG related behavior, ICC shall authorize one (1) telephone call.
5. Yard access in accordance with Section 3343(h).
6. The receipt of one inmate package, 30 pounds maximum weight, per year, exclusive of special purchases as provided in Section 3190. Inmates shall be eligible to acquire an inmate package after completion of one year of Privilege Group D or SDP assignment.
7. One photograph – upon completion of 1 year free of serious disciplinary behavior while in SHU.
8. Electrical appliances are allowed in accordance with the Authorized Personal Property Schedule for SHU/PSU inmates, as described in Section 3190(b)(4).

(B) S2 for Step 2.
1. No Family Visits.
2. Visiting during non-work/training hours, limited by available space within facility non-contact visiting room.
3. Thirty-five percent (35%) of the maximum monthly canteen draw as authorized by the secretary.
4. One telephone call upon transition to Step 2.
5. Yard access in accordance with Section 3343(h).
6. The receipt of one inmate package, 30 pounds maximum weight, per year, exclusive of special purchases as provided in Section 3190. Inmates shall be eligible to acquire an inmate package after completion of one year of an SDP assignment.
7. One photograph – upon completion of 1 year free of serious disciplinary behavior while in SHU.
8. Electrical appliances are allowed in accordance with the Authorized Personal Property Schedule for SHU/PSU inmates, as described in Section 3190(b)(4).

(C) S3 for Step 3.
1. No Family Visits.
2. Visiting during non-work/training hours, limited by available space within facility non-contact visiting room.
3. Forty-five percent (45%) of the maximum monthly canteen draw as authorized by the secretary.
4. One telephone call upon transition to Step 3 and one additional telephone call upon review and approval of ICC at the 180 day review.
5. Yard access in accordance with Section 3343(h).
6. The receipt of two inmate packages, 30 pounds maximum weight, per year, exclusive of special purchases as provided in Section 3190.
7. One photograph upon transition to Step 3 and one additional photograph upon review and approval of ICC at the 180 day review.
8. Electrical appliances are allowed in accordance with the Authorized Personal Property Schedule for SHU/PSU inmates, as described in Section 3190(b)(4).

(D) S4 for Step 4.
1. No Family Visits.
2. Visiting during non-work/training hours, limited by available space within facility non-contact visiting room.
3. Fifty percent (50%) of the maximum monthly canteen draw as authorized by the secretary.
4. One telephone call upon transition to Step 4 and one additional telephone call after review and approval of ICC at each 90 day review.
5. Yard access in accordance with Section 3343(h). Yard activities will include interaction with inmates of diverse affiliations after 6 months of programming within Step 4.
6. The receipt of two inmate packages, 30 pounds maximum weight each, per year, exclusive of special purchases as provided in Section 3190. In addition, receipt of one inmate package, non-food only, 15 pounds maximum weight, per year.
7. One photograph upon transition to Step 4 and one additional photograph upon review and approval of ICC at the third 90 day review.
8. Electrical appliances are allowed in accordance with the Authorized Personal Property Schedule for SHU/PSU inmates, as described in Section 3190(b)(4).

New subsections 3044(j) through 3044(j)(2)(H) are adopted to read:

(j) Privilege Group S5:

(1) Criteria: Any offender in SHU for non-disciplinary reasons including a validated affiliate who has completed the SDP and is being retained in SHU for non-disciplinary reasons.

(2) Privileges and non-privileges for Privilege Groups S5 are:

(A) No Family Visits.

(B) Visiting during non-work/training hours, limited by available space within facility non-contact visiting room.

(C) Seventy Five percent (75%) of the maximum monthly canteen draw as authorized by the secretary.

(D) One telephone call per month.

(E) Yard access in accordance with Section 3343(h).

(F) The receipt of four inmate packages, 30 pounds maximum weight each, per year. Offenders may also receive special purchases, as provided in subsections 3190(j) and (k).

(G) One photograph upon completion of each 180-day ICC review.

(H) Electrical appliances are allowed in accordance with the Authorized Personal Property Schedule for SHU/PSU inmates, as described in Section 3190(b)(4).

(3) The local Inter-Disciplinary Treatment Team may further restrict or allow additional authorized personal property, in accordance with the Institution’s Psychiatric Services Unit.
operational procedure, on a case-by-case basis above that allowed by the inmate’s assigned Privilege Group.

Note: Authority cited: Sections 2700, 2701 and 5058, Penal Code. Reference: Sections 2932, 2933, 2933.05, 2933.3, 2933.6, 2935, 5005, 5054 and 5068, Penal Code; and In re Monigold, 205 Cal.App.3d 1224 (1988).

3077. County Assessment Program.

Section 3077 Initial paragraph through subsection 3077(c) remains unchanged.

Subsection 3077(c)(1) is amended to read:

(c)(1) Is a documented and validated STG prison gang or disruptive group member or associate.

Subsections 3077(c)(2) through 3077(d)(3) remain unchanged.

Note: Authority cited: Section 5058, Penal Code. Reference: Sections 667.5(c), 1203.8 and 5054, Penal Code.

3139. Correspondence between Inmates, Parolees, and Probationers.

Subsection 3139 Initial paragraph is amended to read:

(a) Inmates shall obtain written authorization from the Warden/Regional Parole Administrator or their designee/assigned probation officer, person in charge of the County Jail and/or other State Correctional Systems, at a level not less than Correctional Captain/Facility Captain or Parole Agent III, to correspond with any of the following:

Subsections 3139(a)(1) through (a)(4) remain unchanged.

Subsection 3139(b) is amended to read:

(b) Inmates may initiate requests to correspond with the above by contacting their Correctional Counselor I (CCI). Parolees may initiate request by contacting their Parole Agent (PA).

Inmates may be allowed to correspond with the persons described in subsections 3139(a)(1) through (4) provided those persons meet the criteria of approval of no known STG gang affiliation, or involvement with a known terrorist group or racketeering enterprise.

Subsections 3139(c) through 3139(j) remain unchanged.


3269. Inmate Housing Assignments.

Section 3269 Initial paragraph remains unchanged.

Subsection 3269(a) is amended to read:
(a) Upon arrival at an institution, facility, or program reception center, a designated custody supervisor shall screen an inmate for an appropriate housing assignment. The screening authority involved in the review and approval of an inmate's housing assignment must evaluate all factors to be considered, including but not limited to:

- Length of sentence.
- Enemies and victimization history.
- Criminal influence demonstrated over other inmates.
- Reason(s) for prior segregation.
- History of “S” suffix determination pursuant to subsection 3377.1(c).
- History of in-cell assaults and/or violence.
- Security Threat Group Prison gang or disruptive group affiliation and/or association.
- Nature of commitment offense.
- Documented reports from prior cellmate(s) that the inmate intimidated, threatened, forced, and/or harassed him or her for sex.
- Documentation that the cellmate(s) refused to return to a cell occupied by the inmate because of fear, threats, or abuse perpetrated by the inmate.
- Documentation that the inmate has been the victim of a sexual assault.
- Adjudicated Department Rules Violations Reports (RVR) where the inmate was found guilty as a perpetrator in an act of physical abuse, sexual abuse, sodomy, or other act of force against a cellmate.

Subsection 3269(b) through 3269(g) remain unchanged.


3269.1. Integrated Housing.

Section 3269.1 Initial paragraph through subsection 3269.1(d)(3) remain unchanged.

Subsection 3269.1(d)(4) is amended to read:

(d)(4) Security Threat Group Prison gang or disruptive group affiliation or association.

Subsections 3269.1(d)(5) through 3269.1(g) remain unchanged.


3314. Administrative Rule Violations.

Subsections 3314(a) through 3314(a)(3)(K) remain unchanged. Subsection 3314(a)(3) is shown to provide context.

(3) Administrative rule violations include but are not limited to:

New subsection 3314(a)(3)(L) is adopted to read:

(L) Security Threat Group (STG) Contraband: Possessing or displaying any distinctive materials, certified symbols, clothing, signs, artwork, photographs, or other paraphernalia
associated with any Security Threat Group as defined in Section 3000. Examples of these materials are identified in Section 3378.4.

New subsection 3314(a)(3)(M) is adopted to read:

(M) Security Threat Group (STG) Behavior: Demonstrating or exhibiting any unique behaviors clearly associated with a STG that promotes, furthers or assists any Security Threat Group; as defined in Section 3000. Examples of these behaviors are identified in section 3378.4.

Subsections 3314(b) through 3314(i) remain unchanged.

New subsection 3314(j) is adopted to read:

(j) If the hearing official finds the inmate guilty of a rule violation which includes a nexus to a STG, a copy of the completed Rules Violation Report shall be forwarded to the STG Lieutenant.


3315. Serious Rule Violations.

Subsections 3315(a) through 3315(a)(3)(Y) remain unchanged. Subsection 3315(a)(3) is shown to provide context.

(3) Serious rule violations include but are not limited to:

New subsections 3315(a)(3)(Z) and 3315(a)(3)(AA) are adopted to read:

(Z) Security Threat Group (STG) Directing or Controlling Behavior: Demonstrating activity, behavior or status as a recognized member and/or leader of an STG, which jeopardizes the safety of the public, staff or other inmate(s), and/or the security and orderly operation of the institution.

(AA) Security Threat Group (STG) Disruptive or Violent Behavior: Demonstrating involvement in activities or an event associated with a STG, which jeopardizes the safety of the public, staff or other inmate(s), and/or the security and orderly operation of the institution.

Subsections 3315(b) through 3315(d)(1)(A)3. remain unchanged. Subsection 3315(d)(1)(A) is shown to provide context.

A. An investigative employee, as described in section 3318(a), shall be assigned when the staff designated to classify the serious rule violation determines that:

Subsection 3315(d)(1)(A)4. is adopted to read:

4. The behavior may present a nexus with a Security Threat Group.

Subsections 3315(d)(1)(B) through 3315(g) remain unchanged.

Subsection 3315(h) is adopted to read:
(h) If the hearing official finds the inmate guilty of a rule violation which includes a nexus to a STG, a copy of the completed Rules Violation Report shall be forwarded to the STG Lieutenant.

Note: Authority cited: Section 5058, Penal Code. Reference: Sections 295-300.3, 314, 530, 532, 646.9, 647, 653m, 2931, 2932, 2933, 4501.1, 4573.6, 4576, 5054, 5068 and 12020, Penal Code.

3321. Confidential Material.

Subsections 3321(a) through 3321(a)(4) remain unchanged. Subsection 3321(a) is shown to provide context.

(a) The following types of information shall be classified as confidential:

New subsection 3321(a)(5) is adopted to read:

(5) A Security Threat Group debrief report, reviewed and approved by the debriefing subject, for placement in the confidential section of the central file.

Subsection 3321 (b) remains unchanged.

Subsection 3321(b)(1) is amended to read.

(1) No decision shall be based upon information from a confidential source, unless other documentation corroborates information from the source, or unless other circumstances evidence surrounding the event and the documented reliability of the source satisfies the decision maker(s) that the information is true.

Subsections 3321(b)(2) through 3321(c)(5) remain unchanged. Subsection 3321(c) is shown to provide context.

(c) A confidential source's reliability may be established by one or more of the following criteria:

Subsection 3321(c)(6) is adopted to read.

(6) This source successfully completed a polygraph examination.

Subsections 3321(d) through 3321(d)(3) remain unchanged.


3323. Disciplinary Credit Forfeiture Schedule.

Subsections 3323(a) through 3323(b)(9) remain unchanged. Subsection 3323(b) is shown to provide context.

(b) Division “A-1” offenses; credit forfeiture of 181-360 days.

New Subsection 3323(b)(10) is adopted to read:
(10) Behavior or activities defined as a division “A-1” offense that promotes, furthers, or assists a STG or demonstrates a nexus to the STG.

Subsections 3323(c) through 3323(c)(9) remain unchanged. Subsection 3323(c) is shown to provide context.

(c) Division “A-2” offenses; credit forfeiture of 151-180 days.

New Subsection 3323(c)(10) is adopted to read:

(10) Behavior or activities defined as a division “A-2” offense that promotes, furthers, or assists a STG or demonstrates a nexus to the STG.

Subsections 3323(d) through 3323(d)(12) remain unchanged. Subsection 3323(d) is shown to provide context.

(d) Division “B” Offenses; credit forfeiture of 121-150 days.

New Subsection 3323(d)(13) is adopted to read:

(13) Behavior or activities defined as a division “B” offense that promotes, furthers, or assists a STG or demonstrates a nexus to the STG.

Subsections 3323(e) through 3323(e)(13) remain unchanged. Subsection 3323(e) is shown to provide context.

(e) Division “C” offenses; credit forfeiture of 91-120 days.

New Subsection 3323(e)(14) is adopted to read:

(14) Behavior or activities defined as a division “C” offense that promotes, furthers, or assists a STG or demonstrates a nexus to the STG.

Subsections 3323(f) through 3323(f)(15) remain unchanged. Subsection 3323(f) is shown to provide context.

(f) Division “D” offenses; credit forfeiture of 61-90 days.

New Subsections 3323(f)(16) and (f)(17) are adopted to read:

(16) Acting in a STG Leadership Role displaying behavior to organize and control other offenders.

(17) Behavior or activities defined as a division “D” offense that promotes, furthers, or assists a STG or demonstrates a nexus to the STG.

Subsections 3323(g) through 3323(g)(10) remain unchanged. Subsection 3323(g) is shown to provide context.

(g) Division “E” offenses; credit forfeiture of 31-60 days.
New Subsection 3323(g)(11) is adopted to read:

(11) Behavior or activities defined as a division “E” offense that promotes, furthers, or assists a STG or demonstrates a nexus to the STG.

Subsections 3323(h) through 3323(h)(10) remain unchanged. Subsection 3323(h) is shown to provide context.

(h) Division “F” offenses; credit forfeiture of 0-30 days.

New Subsections 3323(h)(11) through (h)(13) are adopted to read:

(11) Harassment of another person, group, or entity either directly or indirectly through the use of the mail, telephone, or other means.

(12) Security Threat Group Behavior or Activity.

(A) Recording/documentation of conversations evidencing STG behavior;

(B) Communication between offenders/others in support or furtherance of STG behavior or activities;

(C) Leading STG Roll Call;

(D) Directing Cadence for STG Group Exercise;

(E) In personal possession of STG related written material including membership or enemy list, roll call lists, constitution, organizational structures, codes, training material, etc.;

(F) In personal possession of mail, notes, greeting cards, or other communications which include coded or explicit messages evidencing STG behavior.

(13) Behavior or activities defined as a division “F” offense that promotes, furthers, or assists a STG or demonstrates a nexus to the STG.

Subsections 3323(i) through 3323(k)(4) remain unchanged.

Note: Authority cited: Sections 5058, 5058.3 and 1170.05, Penal Code. Reference: Sections 148, 241, 243, 295-300.3, 314, 647, 1170.05, 2932, 2933, 4500, 4501, 4501.1, 4573.6, 4576, 4600, 5054 and 12020, Penal Code.

3334. Behavior Management Unit.

Subsections 3334(a) through 3334(b)(2)(C) remain unchanged. Subsection (b) is shown to provide context.

(b) Inmates may be referred to a Classification Committee for placement into the BMU for one or more of the following reasons:

Subsection 3334(b)(3) is amended to read:
(3) **Security Threat Group Gang Related Activity.** STG related behavior which does not otherwise warrant placement into the STG Step Down Program (SDP). STG related activities and behaviors meeting criteria for consideration of placement into the SDP are identified in Section 3378.4(a), STG Disciplinary Matrix.

Existing subsection 3334(b)(3)(A) is repealed.

(A) Any pattern, which consists of two or more documented behaviors which indicates an individual’s participation in gang related activity may be grounds for placement in the BMU. Gang related activity is defined as behavior which indicates an inmate’s participation in a prison gang, street gang, or disruptive group as defined in section 3000.

Subsections 3334(c) through 3334(k) remain unchanged:


3335. Administrative Segregation.

Subsections 3335(a) through 3335(e)(1) remain unchanged.

Subsections 3335(e)(2) through 3335(e)(3) are amended to read:

(2) At intervals of not more than 180 days until a pending Division A-1, A-2, or B rules violation report is adjudicated, a court proceeding resulting from a referral to the district attorney for possible prosecution is resolved, or the STG gang validation investigation process is complete. Upon resolution of such matters, an ICC shall review the inmate’s case within 14 calendar days.

(3) At intervals of not more than 90 days until completion of the pending investigation of serious misconduct or criminal activity, excluding STG gang validation, or pending resolution of safety and security issues, or investigation of non-disciplinary reasons for segregation placement. Should the completed investigation result in the issuance of a Rules Violation Report and/or a referral to the district attorney for criminal prosecution, an ICC shall review the case, in accordance with the schedule set forth in subsections (1), (2), or (3) above. Upon resolution of such matters, an ICC shall review the inmate’s case within 14 calendar days. At that time, if no further matters are pending, but continued segregation placement is required pending transfer to a general population, ICC reviews shall be at least every 90 days until transfer can be accomplished.

Subsections 3335(f) through 3335(g) remain unchanged.

Subsection 3335(h) is amended to read:

(g) Inmates in segregation who have approved Security Housing Unit (SHU) term status, but are still awaiting other processes (i.e., court proceedings, adjudication of other rule violation reports, STG gang validation, etc.), shall be reviewed by an ICC in accordance with the SHU classification process noted in subsection 3341.5(c)(9).

Subsections 3335(i) through 3335(j) remain unchanged.
3341.5. Segregated Program Housing Units.

Section 3341.5 Initial paragraph through subsection 3341.5(a)(2) remain unchanged. Subsection 3341.5(a) is shown to provide context.

(a) Protective Housing Unit (PHU). An inmate whose safety would be endangered by general population placement may be placed in the PHU providing the following criteria are met:

Subsection 3341.5(a)(3) is amended to read:

(3) The inmate is not documented as a member or an affiliate of a STG-I prison gang.

Subsections 3341.5(a)(4) through 3341.5(c)(1) remain unchanged.

Subsection 3341.5(c)(2) is amended to read:

(2) Length of SHU confinement. Assignment to a SHU may for an indeterminate or a fixed period of time.

Subsection 3341.5(c)(2)(A) is amended to read:

(A) Indeterminate Administrative SHU Segregation.

Subsection 3341.5(c)(2)(A)1. is amended to read:

1. An inmate assigned to a security housing unit on an indeterminate administrative SHU term, who is not a validated STG affiliate, shall be reviewed by a classification committee at least every 180 days for consideration of release to the general population. An investigative employee shall not be assigned at these periodic classification committee reviews.

A validated STG affiliate assigned to a security housing unit to participate in the Step Down Program (SDP) shall be reviewed by a classification committee on a schedule that is consistent with section 3378.3(b)(1) through 3378.3(b)(3) for consideration of program participation or movement to the next step of the SDP.

Subsection 3341.5(c)(2)(A)2. Is amended to read:

2. Except as provided at section 3335(a), section 3378(d) and subsection (c)(5), a validated STG affiliate prison gang member or associate, is deemed to be a severe threat to the safety of others or the security of the institution and will be placed in a SHU for an indeterminate administrative term, when the following criteria are met:

New Subsections 3341.5(c)(2)(A)2.a. through 3341.5(c)(2)(A)2.c. are adopted to read:

a. STG-I Member: upon initial validation and Institutional Classification Committee Confirmation.
b. STG-I Associate: as part of initial validation, source items include serious documented STG behavior or activity as listed in Section 3378.4(a) STG Disciplinary Matrix and which is also identified in Section 3341.5(c)(9) SHU Term Assessment Chart.

c. STG-II Member or Associate: as part of initial validation, source items include two occurrences, both of which have occurred within four years of the validation date, of serious documented STG behavior or activity, as listed in Section 3378.4(a) STG Disciplinary Matrix which are also identified in Section 3341.5(c)(9) SHU Term Assessment Chart.

New Subsections 3341.5(c)(2)(A)3. through 3341.5(c)(2)(A)3.d. are adopted to read:

3. As provided in Section 3378.4(c), a validated STG affiliate shall be considered by ICC for initial placement in a SHU. ICC maintains discretion in evaluating an affiliate’s overall disciplinary record and case factors in determining the need for placement in a SHU/Step Down Program (SDP) for an administrative term when the following criteria are met:

a. STG-I Associate: the validated affiliate being found guilty of STG related behavior, as identified in Section 3378.4(a) and subsequent to initial validation as follows: 1) two administrative rules violation reports within the preceding 12 months, or 2) one serious rules violation report.

b. Inactive Status or Inactive-Monitored Status Affiliate: the validated affiliate being found guilty of STG related behavior, as identified in Section 3378.4(a) and subsequent to initial validation as follows: 1) two administrative rules violation reports within the preceding 12 months, or 2) one serious rules violation report.

c. STG-II Member or Associate: the validated affiliate being found guilty of two serious STG related rules violation reports as listed in Section 3378.4(a) STG Disciplinary Matrix and which are also identified in Section 3341.5(c)(9) SHU Term Assessment Chart within the preceding four (4) years.

d. Monitored Status or Dropout Status Affiliate: the validated affiliate being found guilty of STG related behavior, as identified in Section 3378.4(a) and subsequent to initial validation as follows: 1) two administrative rules violation reports within the preceding 12 months, or 2) one serious rules violation report.

Former subsection 3341.5(c)(2)(A)3. is renumbered to 3341.5(c)(2)(A)4. and amended to read:

3-4. Indeterminate Administrative SHU terms suspended based solely on the need for inpatient medical or mental health treatment may be reimposed without subsequent misbehavior if the inmate continues to pose a threat to the safety of others or the security of the institution.

Subsections 3341.5(c)(2)(B) through (c)(3)(C) remain unchanged.

Subsection 3341.5(c)(4) is amended to read:

4) A validated STG affiliate prison gang member or associate shall be considered for release from SHU, as provided above, after the inmate is verified as a STG gang dropout through a debriefing process.
Existing subsection 3341.5(c)(5) is repealed.

(5) As provided at section 3378(e), the Departmental Review Board (DRB) may authorize SHU release for prison gang members or associates categorized as inactive. The term inactive means that the inmate has not been involved in gang activity for a minimum of six (6) years. Inmates categorized as inactive who are suitable for SHU release shall be transferred to the general population of a Level IV facility for a period of observation that shall be no greater than 12 months. Upon completion of the period of observation, the inmate shall be housed in a facility commensurate with his or her safety needs. In the absence of safety needs, the inmate shall be housed in a facility consistent with his or her classification score. The DRB is authorized to retain an inactive gang member or associate in a SHU based on the inmate’s past or present level of influence in the gang, history of misconduct, history of criminal activity, or other factors indicating the inmate poses a threat to other inmates or institutional security.

New subsection 3341.5(c)(5) is adopted to read:

(5) A validated STG affiliate shall be considered for release from SHU upon successful completion of steps 1 through 4 of the STG Step Down Program (SDP), pursuant to section 3378.3. Successful inmates shall be transferred to the general population of a Level IV facility for a 12 month period of observation that shall be considered step 5 of the SDP. Upon completion of step 5, the inmate shall be housed in a facility commensurate with his or her safety needs. In the absence of safety needs, the inmate shall be housed in a facility consistent with his or her classification score.

Existing subsection 3341.5(c)(6) is repealed.

(6) As provided at section 3378(f), an inmate categorized as inactive or validated as a dropout of a prison gang and placed in general population may be returned to segregation based upon one reliable source item identifying the inmate as a currently active gang member or associate of the prison gang with which the inmate was previously validated. Current activity is defined as any documented gang activity within the past six (6) years. The procedures described in this Article shall be utilized for the removal of the inmate from the general population, the review of the initial segregation order, and all periodic reviews of the indeterminate SHU term.

New subsection 3341.5(c)(6) is adopted to read:

(6) An affiliate on monitored status, inactive-monitored status, inactive status, or validated as a dropout of a STG and placed in general population may be returned to segregation based upon STG activity/behavior pursuant to section 3378.4(c) identifying the inmate as a currently active affiliate of the STG with which the inmate was previously validated. Current activity is defined as any documented STG activity or behavior within the past four (4) years. The procedures described in Sections 3335 through 3345, and in Article 10, Classification, shall be utilized for the removal of the offender from the general population, the review of the initial segregation order, and all periodic reviews of the STG SHU term.

Subsection 3341.5(c)(7) is amended to read:

(7) Determinate/Indeterminate SHU Terms shall be served in a departmentally approved SHU or facility specifically designated for that purpose, except under those circumstances where the term may be served in ASU. Determinate/Indeterminate SHU terms may also be served in secure inpatient medical or mental health settings, when deemed clinically necessary.
Subsections 3341.5(c)(8) through 3341.5(c)(10)(B) remain unchanged.

New Subsections 3341.5(c)(11) through (c)(11)(C) are adopted to read.

(11) A validated STG affiliate who was paroled or discharged from CDCR jurisdiction and returns to custody shall be addressed as follows:

(A) An inmate who was previously paroled or discharged with Maximum (MAX) custody while pending validation and is returned to CDCR’s custody shall be placed in ASU. The STG Investigator shall obtain the validation package to determine the status of validation and housing at time of parole/discharge. The validation process shall be completed, as necessary. After review by the STG Unit Classification Committee is completed, the inmate will be referred to ICC for appropriate housing determination.

(B) A validated STG affiliate who was previously paroled or discharged with MAX custody and is returned to CDCR’s custody shall be placed in ASU and afforded all procedural safeguards for segregated inmates. The STG Investigator will determine if there has been STG related behavior while the inmate was outside CDCR jurisdiction. The inmate will be referred to the STG Unit Classification Committee in accordance with section 3376(d)(5) or ICC, as appropriate.

1. While outside of CDCR jurisdiction, the inmate had no documented STG-related behavior, ICC shall place the inmate at the beginning of the step that they were in at the time of parole/discharge.

2. While outside of CDCR jurisdiction, the inmate had a documented STG-related conviction or good cause finding by a court, ICC shall place the inmate in Step 1 of the SDP.

(C) A validated STG affiliate who was previously paroled or discharged and was designated either inactive, inactive-monitored, monitored, or dropout status and returns to the custody of the CDCR shall be assigned housing based upon current case factors. The STG Investigator will determine if there has been STG related behavior while the inmate was outside CDCR jurisdiction. The inmate will be referred to the STG Unit Classification Committee in accordance with section 3376(d)(5) or ICC, as appropriate.

ICC shall complete a case-by-case criteria review to determine if placement into the SDP-Step 1 is appropriate. This review shall consist of commitment offense or good cause finding circumstances which were specifically related to the same STG with which the inmate was previously validated and occurred within the past four years from the date of arrest for this conviction or good cause finding.


3375. Classification Process.

Subsections 3375(a) through 3375(f)(7) remain unchanged.
Subsection 3375(g) is amended to read:

(g) Every decision of a classification committee shall be documented on a CDC Form 128-G, Classification Chrono. The Security Threat Group Unit Classification Committee shall be documented on a CDCR Form 128-G1 (11/13), Security Threat Group Unit Classification Committee – Results of Hearing, which is incorporated by reference.

Subsections 3375(g)(1) through 3375(g)(5)(O) remain unchanged.

Subsection 3375(g)(5)(P) is amended to read:

(P) Enemy, or STG gang, or disruptive group concerns.

Subsections 3375(g)(5)(Q) through 3375(l) remain unchanged.


3375.2. Administrative Determinants.

Subsections 3375.2(a) through 3375.2(b)(10) remain unchanged. Subsection 3375.2(b) is shown to provide context.

(b) The following three-letter codes are used to indicate those administrative or irregular placement conditions known as administrative determinants, which may be imposed by departmental officials to override the placement of an inmate at a facility according to his/her placement score.

Existing Subsection 3375.2(b)(11) is relocated to (b)(27) (see below).

Existing Subsection 3375.2(b)(12) is renumbered to (b)(11) and amended to read:

(1112) INA. Documentation establishes that the inmate’s inactive STG gang status may requires special attention or placement consideration.

New subsection 3375.2(b)(12) is adopted to read:

(12) IMO. Inactive-Monitored. Documentation establishes that the inmate was released from SHU concurrent with implementation of the STG SDP through a Departmental Review Board hearing and requires special attention or placement considerations.

Existing Subsections 3375.2(b)(13) through 3375.2(b)(15) remain unchanged.

New Subsection 3375.2(b)(16) is adopted to read:

(16) MON. Monitored. Documentation establishes that the offender’s monitored status reflects completion of the STG SDP and requires special attention or placement considerations.
New subsections 3375.2(b)(17) through 3375.2(b)(26) are renumbered and relocated from existing subsections 3375.2(b)(16) through 3375.2(b)(25) respectively as follows:

(176) OUT. Inmate requires placement at a specific facility for an out-to-court appearance. This factor shall also be used when a releasing authority appearance is nearing.

(187) POP. Shall be used only by a CSR to indicate that no beds presently exist at a facility with a security level that is consistent with the inmate's placement score.

(198) PRE. The short time remaining to serve limits or otherwise influences placement or program options for the inmate.

(209) PSY. Inmate's psychological condition requires special treatment or may severely limit placement options. This factor shall also be used for those inmates who are designated as Category B.

(219) PUB. Shall be used only by a CSR to indicate an inmate is identified as a Public Interest Case as defined in section 3000.

(224) REN. Inmate is currently endorsed to or requires transfer to a Reentry Hub program and a Reentry Hub program is not available at a facility with a security level which is consistent with the inmate's placement score.

(232) SCH. Inmate is involved in an academic program which is not available at a facility with a security level that is consistent with his/her placement score.

(243) SEC. Shall be used only by a CSR to indicate that the inmate has been designated as a Security Concern by an ICC and requires Close B Custody.

(254) SEX. Inmate has a prior incidence of rape, oral copulation, sodomy, or a lewd and lascivious act which requires restricted custody or placement.

(265) SOR. Inmate's bisexual or homosexual orientation may require special placement.

New Subsection 3375.2(b)(27) is relocated from existing Subsection (b)(11) and amended to read:

(27) (11) STG, GAN. Documentation establishes that the Inmate's STG designation may gang membership or association requires special attention or placement consideration.

Existing Subsections 3375.2(b)(26) through (b)(29) are relocated and renumbered to (b)(28) through (b)(31) respectively and remain unchanged.

(286) TIM. Inmate's time to serve is long, requiring placement at a facility with a security level higher than that which is consistent with his/her placement score.

(297) VIO. Inmate has a current or prior conviction for a violent felony, or a sustained juvenile adjudication including, but not limited to, those listed under Penal Code section 667.5(c), which, as determined by the CSR, requires placement in a facility with a higher security level than that indicated by his/her placement score.
Subsections 3375.2(b)(29)(A) through (C) (formerly subsections 3375.2(b)(27)(A) through (C)) remain unchanged.

(3028) VOC. Inmate is involved in a Career Technical Education program, also referred to as a vocational program, which is not available at a facility with a security level which is consistent with the inmate's placement score.

(3129) WOR. Inmate has a work skill in a critical trade which warrants special placement consideration.


3375.3. CDCR Classification Score Sheet, CDCR Form 839, Calculation.

Section 3375.3 Initial paragraph through subsection 3375.3(a)(4)(A)10. remain unchanged.

Subsection 3375.3(a)(4)(B) is amended to read:

(B) Method of verification code (Box 38). Apply the code that is most indicative of STG gang activity. Enter the appropriate alpha code in Box 38:

Subsections 3375.3(a)(4)(B)1. through (a)(4)(B)10. are amended to read:

1. Code A - Self admission. Staff shall document information about the inmate/parolee's self-admission and specific involvement with the STG/street gang. Staff shall document and disclose this information to the inmate/parolee in a written form that would not jeopardize the safety of any person or the security of the institution.

2. Code B - Tattoos and symbols. Body markings, hand signs, distinctive clothing, graffiti, etc., which have been identified by gang investigators certified by CDCR pursuant to Section 3378.1, as being used by and distinctive to specific STGs/street gangs. Staff shall describe the tattoo or symbol and articulate why it is believed that the tattoo is used by and distinctive of gang association or membership in detail. Staff shall document and disclose this information to the inmate/parolee in a written form that would not jeopardize the safety of any person or the security of the institution.

3. Code C - Written Material. Any material or documents evidencing STG gang activity such as the membership or enemy lists, roll call lists, constitutions, organizational structures, codes, training material, etc., of specific STGs gangs. Staff shall articulate why, based on either the explicit or coded content, the written material is reliable evidence of association or membership with the gang. Staff shall document and disclose this information to the inmate/parolee in a written form that would not jeopardize the safety of any person or the security of the institution.

4. Code D - Photographs. Individual or group photographs with STG gang connotations such as those which include insignia, certified symbols, or validated STG gang affiliates. The date of the photograph shall be reasonably ascertained to be no older than four (4) years in order to be considered for a method of verification code. Prior to any photo being relied upon for inclusion
as a source item. No photograph shall be considered for validation purposes that is estimated to be older than six (6) years. Any photograph being utilized as a source item that depicts STG affiliates gang members shall be required to have at least one of the individuals previously validated by the department, or be validated by the department within six (6) months of the photograph’s established or estimated date of origin. Staff shall document and disclose this information to the inmate/parolee in a written form that would not jeopardize the safety of any person or the security of the institution.

5. Code E – Staff Information. Documentation of staff’s visual or audible observations which reasonably indicate STG gang activity as described in Subsections 3314(a)(3)(L) and (M), Administrative Rules Violations, STG Contraband and Behavior; or Subsections 3315(a)(3)(Z) and (AA), Serious Rules Violations, STG Violent, Disruptive, or Controlling Behavior. Staff shall articulate the basis for determining the content or conduct at issue is gang related. Staff shall document and disclose this information to the inmate/parolee in a written form that would not jeopardize the safety of any person or the security of the institution.

6. Code F - Other agencies. Information evidencing STG gang activity affiliation provided by other agencies including, but not limited to, police reports, crime reports, or arrest reports evidencing STG conduct, which have not been submitted, considered, and incorporated within received court documents. Verbal Any information from another agency shall be documented by the staff person who receives such information, citing the source and validity of the information. Staff shall document and disclose this information to the inmate/parolee in a written form that would not jeopardize the safety of any person or the security of the institution.

7. Code G - Association. Information related to the inmate’s association with validated STG gang affiliates. The association shall be more than a chance encounter or an innocuous association, but rather, a pattern or history of encounters that involve STG behavior and/or an occurrence of conducting STG related business. Direct contact with a validated STG affiliate is not necessary to show this association. Information including addresses, names, identities and reasons why such information is indicative of association with a prison gang or disruptive group. Staff shall document and disclose this information to the inmate/parolee in a written form that would not jeopardize the safety of any person or the security of the institution.

8. Code H - Offenses. Where the circumstances of an offense indicates that the offense was committed for the benefit or promotion of, at the direction of, or in association with an STG evidence gang activity such as an offense being between rival gangs, the victim is a verified gang affiliate, or the inmate’s crime partner is a verified gang affiliate. Staff shall articulate why an offense is gang related. Multiple sources of information relative to a single incident or offense will be considered one source of validation. Staff shall document and disclose this information to the inmate/parolee in a written form that would not jeopardize the safety of any person or the security of the institution.

9. Code I - Legal documents. Probation officer's report, or court transcripts, or other legal documents evidencing STG gang activity. Staff shall assure the document containing this information is disclosed to the inmate/parolee in written form that would not jeopardize the safety of any person or the security of the institution.

10. Code J - Communications. Documentation of telephone conversations, conversations between offenders/others inmates, mail, greeting cards, notes, or other communication, including which include coded or explicit messages evidencing STG gang activity. Staff shall articulate why, based on either the explicit or coded content, the communication is reliable
evidence of association or membership with the gang. Staff shall document and disclose this information to the inmate/parolee in a written form that would not jeopardize the safety of any person or the security of the institution.

Subsections 3375.3(a)(5) through 3375.3(g)(2) remain unchanged.


3376. Classification Committees.

Subsections 3376(a) through 3376(c)(3)(D) remain unchanged. Subsection 3376(c) is shown to provide context.

(c) Composition of committees:

New subsections 3376(c)(4) through 3376(c)(4)(D) are adopted to read:

(4) Security Threat Group (STG) Unit Classification Committee shall consist of:

(A) Captain (Chairperson).

(B) Correctional Counselor II (Recorder).

(C) Correctional Counselor I.

(D) Other staff as required.

Subsections 3376(d) through 3376(d)(3)(E) remain unchanged. Subsections 3376(d) and (d)(3) are shown to provide context.

(d) Classification committee functions:

(3) Institution and Facility Classification Committees shall:

New subsections 3376(d)(3)(F) through 3376(d)(3)(F)2. are adopted to read:

(F) For validated STG cases:

1. Review the Validation Package and the CDCR Form 128-G1 (11/13), Security Threat Group Unit Classification Committee-Results of Hearing, which is incorporated by reference.

2. Determine a validated STG inmate's housing and program needs. ICC maintains discretion in evaluating an affiliate’s overall disciplinary record and case factors in determining placement in the SDP or continued management within a general population setting in accordance with Section 3378.4(c).

Subsection 3376(d)(4) remains unchanged.

New subsections 3376(d)(5) through 3376(d)(5)(D) are adopted to read:
(5) Security Threat Group Unit Classification Committee shall:

(A) Review all STG validation packages for accuracy, compliance, and to ensure due process requirements have been met.

(B) Review Dropout status affiliate’s new disciplinary behavior for documented nexus to STG behavior as noted in the CDC Form 115 (07/88) Rules Violation Report, which is incorporated by reference, or other source items of intelligence.

(C) Review information or intelligence received from outside law enforcement agencies or which occurred outside CDCR jurisdiction to ensure disciplinary processes or formal documentation were applied, when appropriate.

(D) Refer validated STG cases to ICC for placement consideration in the Step Down Program.

Note: Authority cited: Sections 3303 and 3309, Welfare and Institutions Code; and Sections 5058 and 6252, Penal Code. Reference: Sections 2933, 5054 and 5068, Penal Code.

3376.1. Departmental Review Board.

Section 3376.1 Initial paragraph through subsection 3376.1(d)(2) remain unchanged. Subsection 3376.1(d) is shown to provide context.

(d) Referrals shall be made to the DRB when:

Subsection 3376.1(d)(3) is amended to read:

(3) An institution head believes a DRB level decision for placement of an inmate is required because of an unusual threat to the safety of persons or public interest in the case; e.g., commuted or modified death sentence or classification of an inactive gang member or associate. Subsequent DRB reviews of the continued placement of inactive gang members or associates in a security housing unit (SHU) shall occur no earlier than two years after the previous DRB decision. Upon denial of an alternative placement for an inactive gang member or associate, the DRB is authorized to schedule an earlier review of the placement if the DRB determines that it is reasonable to expect that release from SHU will be granted in less than two years.

Subsections 3376.1(d)(4) through 3376.1(d)(7) remain unchanged.

New subsections 3376.1(d)(8) and (d)(9) are adopted to read:

(8) An inmate has completed Steps 1 – 4 of the Step Down Program and the institution head believes a transfer to an alternate Level IV institution or out-of-level placement is warranted, the institution head will refer the case to the DRB for decision.

(9) The UCC has recommended that an inmate be validated as a STG-I member, the ICC shall ensure there is sufficient evidence to warrant validation at the level of member. ICC will review the validation documents and all other case factors in their determination of appropriate housing. Any disagreement by the ICC with a STG I member’s validation and/or placement into the SDP shall be referred to the DRB for resolution.
(10) The ICC has evaluated and determined a validated affiliate has demonstrated that they are not progressing through Steps 1 or 2 of the SDP, and/or case factors such as medical or mental health needs have changed, which would warrant consideration of alternate custody/housing. DRB will conduct a case-by-case review of all case factors to determine if continued SHU placement is warranted or if alternate placement options are appropriate.

Subsection 3376.1(e) remains unchanged.


3377.2. Criteria for Assignment of Close Custody.

Subsections 3377.2(a) through 3377.2(b)(4)(C) remain unchanged. Subsection 3377.2(b) is shown to provide context.

(b) Close Custody Case Factor Criteria:

Existing subsection 3377.2(b)(5) is repealed.

(5) Inactive Prison Gang Member or Associate. An inmate being reduced from Maximum Custody due to reclassification as an inactive prison gang member or associate shall serve at least 1 year at Close B Custody.

New subsection 3377.2(b)(5) is adopted to read:

(5) A validated inmate currently housed in a security housing unit and who has their custody reduced from Maximum (MAX) due to reclassification as Inactive, Monitored, or Inactive-Monitored shall serve one year at Close B custody, unless other case factors require a more restrictive designation of Close A. Under no circumstances shall a validated affiliate be released/housed in a minimum security facility or Level I placement.

Subsections 3377.2(b)(6) through 3377.2(c)(5) remain unchanged.


Notice to Printer: Delete the pictures of the CDC Forms 812 (Rev. 08/01), Notice of Critical Case Information- Safety of Persons (Non-Confidential Enemies); CDC 812-A (9/92), Notice of Critical Information – Prison Gang Identification; CDC 812-B (9/92), Notice of Critical Information – Disruptive Group Identification; and 812-C (Rev. 8/01), Notice of Critical Information – Confidential Enemies
Subsection 3378(a) is amended to read:

(a) Any information regarding an offender inmate/parolee which is or may be critical to the safety of persons inside or outside an institution shall be documented as required below on a CDCR Form 812 (Rev. 11/13 8/04), Notice of Critical Case Information -Safety of Persons (Nonconfidential Enemies), which is incorporated by reference; a CDC Form 812-A (9/92), Notice of Critical Information – Prison Gangs Identification; CDC Form 812-B (9/92), Notice of Critical Information – Disruptive Group Identification; and CDC Form 812-C (Rev. 8/01), Notice of Critical Information-Confidential Enemies. The CDCR Forms 812, 812-A, 812-B, and CDC Form 812-C and all documents referred to on the forms shall be filed in the central file of each identified offender inmate/parolee. Any confidential material affecting the critical case factors of an offender inmate/parolee shall conform to the provisions of section 3321. Entries on these forms shall not be a substitute for detailed documentation required elsewhere in the central file.

Subsections 3378(b) through 3378(b)(3) are amended to read:

(b) A CDCR Form 812, and when applicable a CDC Form 812-C, shall be completed for each newly committed or returned offender inmate/parolee.

(1) The CDCR Forms 812 and CDC Form 812-C shall be updated as any critical information becomes known and is documented in the offender’s inmate/parolee’s central file. The forms shall also be reviewed and updated at the time of any change in the offender’s inmate/parolee’s status or placement.

(2) Any offender inmate/parolee who claims enemies shall provide sufficient information to positively identify the claimed enemy. Any offender inmate/parolee identified as an enemy shall be interviewed unless such interview would jeopardize an investigation or endanger any person. The results of the interview or investigation which supports, verifies or disproves the information shall be documented on a CDC Form 128-B, General Chrono.

(3) Notations on the CDCR Forms 812 and CDC Form 812-C, or absence thereof, shall not be the sole basis for a staff decision or action which may affect the safety of any person.

Subsection 3378(c) remains unchanged.

Subsections 3378(c)(1) through 3378(c)(5) are repealed.

(1) CDC Form 812-A or B shall be completed if an inmate/parolee has been verified as a currently active member/associate, inactive member/associate or dropout gang (prison gang or disruptive group) as defined in section 3000. Current activity is defined as any documented gang activity within six (6) years consistent with section 3341.5(c)(5).

(2) Information entered onto the CDC Form 812-A or B shall be reviewed and verified by a gang investigator to ensure that the identification of an inmate/parolee as a currently active gang member or associate is supported by at least three independent source items with a combined total of 10 points or more in the inmate/parolee’s central file. The independent source items must contain factual information or, if from a confidential source, meet the test of reliability established in section 3321. The verification of an inmate/parolee identified as a gang dropout shall require a formal debriefing conducted or supervised by a gang investigator.
(3) A member is an inmate/parolee or any person who has been accepted into membership by a gang. This identification requires at least three (3) independent source items of documentation indicative of actual membership. Validation of an inmate/parolee or any person as a member of a prison gang shall require at least one (1) source item be a direct link to a current or former validated member or associate of the gang or to an inmate/parolee or any person who is validated by the department within six (6) months of the established or estimated date of activity identified in the evidence considered.

(4) An associate is an inmate/parolee or any person who is involved periodically or regularly with members or associates of a gang. This identification requires at least three (3) independent source items of documentation indicative of association with validated gang members or associates. Validation of an inmate/parolee or any person as an associate of a prison gang shall require at least one (1) source item be a direct link to a current or former validated member or associate of the gang, or to an inmate/parolee or any person who is validated by the department within six (6) months of the established or estimated date of activity identified in the evidence considered.

(5) A dropout is an inmate/parolee who was either a gang member or associate and has discontinued gang affiliation. This identification requires the inmate/parolee to successfully complete the debriefing process.

Existing Subsections 3378(c)(6) through 3378(c)(6)(G) are relocated and renumbered to new subsection 3378.2(c) through 3378.2(c)(7).

Subsection 3378(c)(7) is repealed.

(7) The CDC Forms 812-A and 812-B shall be reviewed by a classification committee at each annual hearing and upon any review for transfer consideration. This shall be documented on a CDC Form 128-G (Rev.10/89), Classification Chrono. Questionable gang identifications, notations, or new information shall be referred to a gang investigator for investigation.

Subsections 3378(c)(8) through 3378(c)(8)(M) are repealed.

(8) The determination of a gang identification shall reference each independent source item in the inmate/parolee’s central file. The sources shall be based on the following criteria:

(A) Self admission. Staff shall document information about the inmate/parolee’s self-admission and specific involvement with the gang. Staff shall document and disclose this information to the inmate/parolee in a written form that would not jeopardize the safety of any person or the security of the institution.

(B) Tattoos and symbols. Body markings, hand signs, distinctive clothing, graffiti, etc., which have been identified by gang investigators as being used by and distinctive to specific gangs. Staff shall describe the tattoo or symbol and articulate why it is believed that the tattoo or symbol is used by and distinctive of gang association or membership. Staff shall document and disclose this information to the inmate/parolee in a written form that would not jeopardize the safety of any person or the security of the institution.

(C) Written material. Any material or documents evidencing gang activity such as the membership or enemy lists, constitutions, organizational structures, codes, training material, etc., of specific gangs. Staff shall articulate why, based on either the explicit or coded content, the written material is reliable evidence of association or membership with the gang. Staff shall
document and disclose this information to the inmate/parolee in a written form that would not jeopardize the safety of any person or the security of the institution.

(D) Photographs. Individual or group photographs with gang connotations such as those which include insignia, symbols, or validated gang affiliates. The date of a photograph shall be reasonably ascertained prior to any photo being relied upon for inclusion as a source item. No photograph shall be considered for validation purposes that is estimated to be older than six (6) years. Any photograph being utilized as a source item that depicts gang members and/or associates shall require that at least one of the individuals be previously validated by the department, or validated as a member or associate of the gang by the department within six (6) months of the photograph's established or estimated date of origin. Staff shall document and disclose this information to the inmate/parolee in a written form that would not jeopardize the safety of any person or the security of the institution.

(E) Staff information. Documentation of staff's visual or audible observations which reasonably indicate gang activity. Staff shall articulate the basis for determining the content or conduct at issue is gang related. Staff shall document and disclose this information to the inmate/parolee in a written form that would not jeopardize the safety of any person or the security of the institution.

(F) Other agencies. Information evidencing gang affiliation provided by other agencies. Verbal information from another agency shall be documented by the staff person who receives such information, citing the source and validity of the information. Staff shall document and disclose this information to the inmate/parolee in a written form that would not jeopardize the safety of any person or the security of the institution.

(G) Association. Information related to the inmate/parolee's association with validated gang affiliates. Information including addresses, names, identities and reasons why such information is indicative of association with a prison gang or disruptive group. Staff shall document and disclose this information to the inmate/parolee in a written form that would not jeopardize the safety of any person or the security of the institution.

(H) Informants. Documentation of information evidencing gang affiliation from an informant shall indicate the date of the information, whether the information is confidential or nonconfidential, and an evaluation of the informant's reliability. Confidential material shall also meet the requirements established in section 3321. Staff shall articulate how the information specifically relates to the inmate’s involvement with the gang as a member or associate. The information may be used as a source of validation if the informant provides specific knowledge of how he/she knew the inmate to be involved with the gang as a member or associate. Multiple confidential sources providing information regarding a single gang-related incident or behavior shall constitute one (1) source item. Exclusive reliance on hearsay information provided by informants will not be used for validation purposes. Staff shall document and disclose this information to the inmate/parolee in a written form that would not jeopardize the safety of any person or the security of the institution.

(I) Offenses. Where the circumstances of an offense evidence gang affiliation such as where the offense is between rival gangs, the victim is a verified gang affiliate, or the inmate/parolee's crime partner is a verified gang affiliate. Staff shall articulate why an offense is gang related. Multiple sources of information relative to a single incident or offense will be considered one (1) source of validation. Staff shall document and disclose this information to the inmate/parolee in a written form that would not jeopardize the safety of any person or the security of the institution.

(J) Legal documents. Probation officer's report or court transcripts evidencing gang activity. Staff shall assure the document containing this information is disclosed to the inmate/parolee in a written form that would not jeopardize the safety of any person or the security of the institution.

(K) Visitors. Visits from persons who are documented as gang “runners”, or community affiliates, or members of an organization which associates with a gang. Staff shall articulate the basic for
determining that the relationship between the visitor and inmate is gang-related in nature or that the visitor and inmate engaged in a gang-related discussion or gang conduct. Staff shall articulate the basis for identifying the visitor as associated with the gang. Staff shall document and disclose this information to the inmate/parolee in a written form that would not jeopardize the safety of any person or the security of the institution.

(L) Communications. Documentation of telephone conversations, conversations between inmates, mail, notes, greeting cards, or other communication, including coded messages evidencing gang activity. Staff shall articulate why, based on either the explicit or coded content, the communication is reliable evidence of association or membership with the gang. Staff shall document and disclose this information to the inmate/parolee in a written form that would not jeopardize the safety of any person or the security of the institution.

(M) Debriefing reports. Documentation resulting from the debriefing required by (c)(2), above. Only information referencing specific gang-related acts or conduct shall be considered as a source item. Multiple sources of information relative to a single gang-related offense or activity shall be considered a single source of validation. Staff shall document and disclose this information to the inmate/parolee in a written form that would not jeopardize the safety of any person or the security of the institution.

Subsections 3378(d) through 3378(h) are repealed.

(d) An inmate housed in the general population as a gang member or associate may be considered for review for inactive status when the inmate has not been identified as having been involved in gang activity for a minimum of two (2) years. Verification of an inmate’s inactive status shall be approved or rejected by the OCS, chief or a designee. The approval or rejection shall be forwarded for placement in the inmate’s central file. The Institution Classification Committee shall review and consider this determination at the next hearing and upon review for transfer consideration.

(e) An inmate housed in a security housing unit (SHU) as a gang member or associate may be considered for review of inactive status by the Department Review Board when the inmate has not been identified as having been involved in gang activity for a minimum of six (6) years. Verification of an inmate’s inactive status shall be approved or rejected by the chief, OCS, or a designee. The approval or rejection shall be forwarded for placement in the inmate’s central file.

(f) A gang member or associate, who is categorized as inactive or validated as a dropout of a prison gang and released from a SHU, may be removed from the general population or any other placement based upon one reliable source item identifying the inmate as an active gang member or associate of prison gang with which the inmate was previously validated. The source item must identify the inmate as a gang member or associate based on information developed after his or her release from SHU. The source item need not be confidential, but must meet the test of reliability established at section 3321.

(g) The procedures relating to the initial validation or rejection of gang members or associates as described in this section shall be followed when reviewing the present status of an inactive gang member or associate. Verification of an inmate’s/parolee’s active status shall be approved or rejected by the chief, OCS, or a designee. This determination shall be forwarded for placement in the inmate’s/parolee’s central file.

(h) A classification committee is authorized to return an inmate to a SHU based upon the restoration of the inmate’s gang status and a determination that the inmate’s present placement
endangers institutional security or presents a threat to the safety of others. As provided at section 3341.5, placement in a SHU requires approval by a classification staff representative.


Existing Section 3378.1, Debriefing Process, is relocated and renumbered to new section 3378.5.

New Section 3378.1 title is adopted to read:


New subsection 3378.1 Initial paragraph is adopted to read:

The California Department of Corrections and Rehabilitation (CDCR) prohibits offenders from creating, promoting, or participating in any Security Threat Group (STG). Any offender engaging in STG related behavior may be subject to criminal prosecution, in addition to any administrative sanctions imposed as a result of CDCR’s disciplinary process.

New subsection 3378.1(a) through 3378.1(a)(2) are adopted to read:

(a) Definitions.

(1) Certification of an STG-I or recognition of an STG-II means the formal designation of a group or gang as a security threat group based upon a STG Threat Assessment conducted by the Office of Correctional Safety (OCS). Prison gangs, disruptive groups and/or street gangs may be reviewed, categorized, and certified/recognized as a STG. Initial certification will be based upon the documented severity of the threat to the security of the institution and safety of staff and offenders.

(2) STG Threat Assessment means an official assessment conducted by the OCS for use in the STG certification process. This assessment will specifically identify the reason a STG, based on documented evidence of violence, threat of violence and/or other serious STG behaviors, poses an immediate clear and present danger to the safety of any person or the security of the prison.

New subsections 3378.1(b) through 3378.1(b)(12) are adopted to read:

(b) Security Threat Group Certification Criteria includes the following:

(1) Information from any federal, state, county, or city correctional or law enforcement agency, identifying the propensity for violence or disruptive nature of the potential STG group being considered for certification.

(2) Consideration with regard to whether the group meets the definition of a STG as defined in section 3000.

(3) History of STG behavior in the community.
(4) Evidence that the group presents a potential threat to the security of the institution and safety of staff and offenders.

(5) History of threatening behavior to staff or offenders safety involving such acts as riots, group disturbances, possession or manufacture of weapons, assault/battery, trafficking of narcotics, extortion and/or coercion of other individuals or groups.

(6) Documentation of violent and/or illegal activities which may also include planning, organizing, threatening, financing, soliciting, or committing unlawful acts.

(7) Group evolution, structure, formalized procedures or bylaws, and/or membership characteristics.

(8) Information concerning group meetings and membership criteria.

(9) Chronology of events or other information evidencing a threat to institutional security or safety of staff and offenders through group activities, associations, and potential security alignments.

(10) Tattoo, symbols, and graffiti documentation.

(11) Group association evidence, including offender and staff interviews.

(12) Available information concerning group philosophy and affiliations.

New subsections 3378.1(c) through 3378.1(c)(2) are adopted to read:

(c) Security Threat Group Designation Levels.

(1) Security Threat Group-I consists of groups, gangs, and/or historically based prison gangs that the CDCR has determined to be the most severe threat to the security of the institutions and communities based on a history and propensity for violence and/or influence over other groups. Based upon their individual threat, clandestine operations, and/or influence over other STG affiliates, inmates who are validated as STG-I members may be placed in the Step Down Program (SDP) and housed in a SHU based solely upon their validation. Validated STG-I associates will normally remain housed in general population, or similar specialized housing, unless confirmed STG behavior, as defined in section 3341.5(c)(9) SHU Term Assessment Chart, are present. If these behaviors are present, the STG-I associate will be considered by the Institution Classification Committee (ICC) for placement into the SDP pursuant to section 3378.4.

(2) Security Threat Group-II consists of other groups or gangs such as street gangs or disruptive groups comprised of members and associates who may be determined to be in a subservient role to the more dominant STG-I groups. Validated STG-II members or associates will remain housed in general population, or similar specialized housing, unless two or more occurrences of serious STG behaviors, which are reflected in section 3341.5(c)(9) SHU Term Assessment Chart, are present. If there is confirmed STG behavior present, the STG-II member or associate will be considered by ICC for placement into the SDP pursuant to section 3378.4. Groups identified as STG-II are not required to be certified, as described in this section.

New subsections 3378.1(d) through (d)(3)(C) are adopted to read:
(d) Requests for Certification of a Group at the STG-I level shall include the following:

(1) Staff shall prepare a CDCR Form 128-B8, Security Threat Group Certification Worksheet (11/13), which is incorporated by reference, requesting that a STG Threat Assessment be initiated of the identified group. The completed document shall be routed through the chain of command prior to submission to OCS.

(2) The Chief, OCS shall assign staff to complete an official STG Threat Assessment and determine whether the group should be recommended for certification as a STG-I. If recommended for STG-I certification, a STG Administrative Directive will be prepared and routed to the Secretary, CDCR, for review and approval/disapproval.

(3) Re-certification of STG-I Designations.

(A) The CDCR shall review its certification of STG-I designations at least every four years utilizing criteria in accordance with section 3378.1(b).

(B) Information used in the re-certification process shall be no more than four years old.

(C) OCS shall document the results of the re-certification review on a STG Administrative Directive and route to the Secretary, CDCR, and shall request either re-certification or decertification, based upon the level of threat and STG activity noted from the group during the previous four years.

New subsections 3378.1(e) through (e)(2) are adopted to read:

(e) Requests for Recognition of a Group at the STG-II level shall include the following:

(1) Institution Staff or Division Staff shall prepare a memorandum requesting that a STG Threat Assessment be initiated of the identified group. The memorandum shall be routed through the chain of command prior to submission to OCS.

(2) The Chief, OCS shall assign staff to complete a review of the request. The Chief, OCS, shall review all of the information to approve or deny the request for recognition as a STG-II. The Chief, OCS shall sign the memo.

New subsection 3378.1(f) through (f)(4) are adopted to read:

(f) Certification of STG Related Symbols: The process staff will utilize to request certification of a STG related sign or symbol is:

(1) The Hiring Authority/designee of the requesting institution, parole region, or OCS unit, shall seek certification of a sign/symbol by forwarding a written request to OCS.

(2) The request must detail the reason certification of the sign/symbol is warranted and must include:

(A) Description, drawing, photo of sign or symbol.

(B) Translation or meaning of the sign or symbol to the specific STG.
(C) Relevance of the sign or symbol to the specific STG.

(D) Evidence the sign or symbol has been adopted/accepted by the specific STG.

(E) Means by which the information was obtained.

(F) A listing of all corresponding documentation indicative of the sign or symbol being recognized by the specific STG.

(3) The Chief, OCS, or designee shall review the submitted documents and approve/deny the request.

(A) If the certification request is approved, dissemination of the newly certified sign or symbol will be made to DAI Wardens, OCS Agents, the inmate population, and all other interested parties.

(B) Should the request be denied, a response reflecting the details of the denial will be forwarded to the Hiring Authority.

(4) The CDCR shall review its certification of STG related signs and symbols at least every four years utilizing criteria outlined in this section.


Existing section 3378.2 is being relocated and renumbered to new section 3378.5(e).

New section 3378.2 title is adopted to read:


New section 3378.2 Initial paragraph is adopted to read:

The formal and objective process for identifying and documenting Security Threat Group (STG) affiliates. Validation is the term used to describe the quality control and due process review of STG identifications. The validation process is a strategy for identifying and documenting STG Members, Associates, Suspects, or Dropouts as defined in section 3000.

New subsection 3378.2(a) is adopted to read:

(a) STG Coordinators/Investigators, the Office of Correctional Safety (OCS), and the STG Unit Classification Committee shall be responsible to initiate, investigate, and affirm/reject the validation of an STG affiliate.

New subsection 3378.2(b) is adopted to read:

(b) The validation process delineates the formal objective criteria utilized by an STG Investigator to determine an individual’s affiliation with a certified or recognized STG. Each factor is determined by a weighted point system in order to conclude whether the information taken as a whole is sufficient to establish a nexus to the STG.
Validation process for identifying and documenting STG members, associates, or suspects, which are defined as follows:

Member: Any offender or any person who, based on documented evidence, has been accepted into membership by a STG. STG members will be identified by the STG Investigator through the validation process, reviewed by OCS, and affirmed by the STG Unit Classification Committee.

Initial Validation of an offender as a member requires at least three (3) independent source criteria items with a combined value of 10 points or greater coupled with information/activity indicative of a member.

Validation of an offender as a member of a STG-I shall also require that at least one of the criteria source items be a direct link to a current or former validated member or associate of the STG, or to an offender or any person who is validated by the Department within six months of the established or estimated date of activity identified in the evidence considered.

An upgrade from associate to member requires at least three (3) independent source criteria items, that were not previously used in a validation, with a combined value of 10 points or greater coupled with at least three (3) of the items of information/activity being indicative of a member.

Associate: Any offender or any person who, based on documented evidence, is involved periodically or regularly with the members or associates of a STG. STG associates will be identified by the STG Investigator through the validation process, reviewed by OCS, and affirmed by the STG Unit Classification Committee. Initial validation of an offender as an associate requires at least three independent source criteria items with a combined value of 10 points or greater coupled with information/activity indicative of an associate.

Validation of an offender as an associate of a STG-I shall also require that at least one source criteria item be a direct link to a current or former validated member or associate of the STG, or to an offender or any person who is validated by the Department within six months of the established or estimated date of activity identified in the evidence considered.

Suspect: Any offender or any person who, based on documented evidence, is involved periodically or regularly with the members or associates of a STG. The STG suspect is tracked by STG Investigative staff pending validation. Suspects have attained two or more points of validation and would not be officially validated but tracked for intelligence purposes.

A direct link, as defined in Section 3000, may be established by unilateral action by either party or by the subject’s possession of any item connecting the subject to a validated STG affiliate; or for purposes of establishing a direct link, it shall not be necessary for CDCR to demonstrate that the subject had knowledge, actual or implied, of the validated STG affiliate’s STG involvement.

Although placement into the Security Housing Unit / Step Down Program (SHU/SDP) is based upon behavior with a nexus to a certified or recognized STG, validation of an STG affiliate can occur based upon the sole use of source criteria items or based upon a combination of source criteria items and STG behavior that is reported and adjudicated via the disciplinary process. The STG validation process may take into account source criteria items that may have occurred at any time in an individual’s personal STG history. The determination for placement into the
SHU/SDP by an Institution Classification Committee must be based upon serious STG behavior, except as provided for members in section 3378.2(e), which occurred during the preceding four years and has been adjudicated through the inmate disciplinary process.

Validation Source Criteria is documented on the CDCR Form 128-B3 (11/13), Security Threat Group Identification Score Sheet, which is incorporated by reference. This document is completed by the STG Investigator.

Multiple sources providing information about a single STG related act or conduct shall constitute a single source item. One may support the other but will only count as one item toward the validation with the others listed as support documents.

Staff shall articulate the basis for determining the content or conduct at issue is STG related.

The source items shall be based on the following criteria:

New subsections 3378.2(b)(1) through 3378.2(b)(14) are adopted to read:

(1) Symbols (Two Points): Hand signs, distinctive clothing, graffiti, etc., which have been certified by CDCR in accordance with Section 3378.1(f) as being used by and distinctive to specific STGs. Staff shall describe the symbol in detail. Staff shall document and disclose this information to the offender in a written form that would not jeopardize the safety of any person or the security of the institution.

(2) Association (Three Points) Information related to the offender’s association with validated STG affiliates. The association shall be more than a chance encounter or an innocuous association, but rather, a pattern or history of encounters that involve STG behavior and/or an occurrence of conducting STG related business. Direct contact with a validated STG affiliate is not necessary to demonstrate this association. Staff shall articulate the basis for determining the conduct is credible evidence of association with the STG. Staff shall document and disclose this information to the offender in a written form that would not jeopardize the safety of any person or the security of the institution.

(3) Informants (Three Points): Documentation of information evidencing STG affiliation from an informant shall indicate the date of the information, whether the information is confidential or non-confidential, and an evaluation of the informant’s reliability. Confidential material shall also meet the requirements established in section 3321. Staff shall articulate how the information specifically relates to the offender’s involvement with the STG. The information may be used as a source of validation if the informant provides specific knowledge of how he/she knew the offender to be involved with the STG. Multiple confidential sources providing information regarding a single STG related incident or behavior shall constitute one (1) source item. Exclusive reliance on hearsay information provided by informants will not be used for validation purposes. Staff shall document and disclose this information to the offender in a written form that would not jeopardize the safety of any person or the security of the institution.

(4) Debrief Reports (Three Points): Only information referencing specific STG related acts or conduct shall be considered as a source item when utilizing information from another offender’s debriefing. Confidential material obtained from a debrief report shall also meet the requirements established in section 3321. Multiple sources of information relative to a single STG related act or conduct shall be considered a single source of validation. Exclusive reliance on hearsay information provided by debriefing inmate will not be used for validation purposes. Staff shall
document and disclose this information to the offender in a written form that would not jeopardize the safety of any person or the security of the institution.

(5) Written Materials (Offender identified in written material not in his possession—Two Points; Personal Possession—Four Points): Any material or documents evidencing STG activity such as the membership or enemy lists, roll call lists, constitutions, organizational structures, codes, training material, etc., of specific STGs or addresses, names, identities of validated STG affiliates. Although the item by itself may not evidence STG activity, when considered with other STG activity/behavior, it gives credence to a STG nexus. Staff shall articulate why, based on either the explicit or coded content, the written material is reliable evidence of affiliation with the STG. Staff shall document and disclose this information to the offender in a written form that would not jeopardize the safety of any person or the security of the institution.

(6) Photographs (Four Points): Individual or group photographs with STG connotations such as those which include insignia, certified symbols, or other validated STG affiliates. The date or age of a photograph shall be reasonably ascertained prior to any photo being relied upon for inclusion as a source item. No photograph shall be considered for validation purposes that is estimated to be older than four (4) years. Any photograph being utilized as a source item that depicts STG affiliates shall require that at least one of the individuals be previously validated by the Department, or validated by the Department within six (6) months of the photograph’s established or estimated date of origin. Staff shall document the validation date for any individual in the photograph who was validated within six months of the photograph’s established or estimated date of origin. Staff shall document and disclose this information to the offender in a written form that would not jeopardize the safety of any person or the security of the institution.

(7) Staff Information (Four Points): Documentation of staff’s visual or audible observations which reasonably indicate STG activity as described in Subsections 3314 (a)(3)(L) and (M), Administrative Rules Violations, STG Contraband and Behavior; or Subsections 3315 (a)(3)(Z) and (AA), Serious Rules Violations, STG Violent, Disruptive, or Controlling Behavior. Staff shall articulate the basis for determining the content or conduct at issue is STG related. Staff shall document and disclose this information to the offender in a written form that would not jeopardize the safety of any person or the security of the institution.

(8) Other Agencies (Four Points): Information identifying STG affiliation provided by other agencies including, but not limited to, police reports, crime reports, or arrest reports evidencing STG conduct, which have not been submitted, considered, and incorporated within received court documents. Any information received from another agency shall be documented by the staff person who receives such information, citing the source and validity of the information. Staff shall document and disclose this information to the offender in a written form that would not jeopardize the safety of any person or the security of the institution.

(9) Visitors (Four Points): Visits from persons or entities that are documented as willfully promoting, furthering or assisting STG affiliates in activities associated with the STG. Staff shall articulate the basis for concluding the relationship between the visitor(s) and offender is STG related in nature or that the visitor(s) and offender engaged in conduct related to the STG. Staff shall articulate the basis for identifying the visitor(s) as associated with the STG. Staff shall document and disclose this information to the offender in a written form that would not jeopardize the safety of any person or the security of the institution.
(10) Communications (Four Points): Documentation of conversations, conversations between offenders/others, mail, notes, greeting cards, or other communication, which include explicit or coded messages evidencing STG activity. Staff shall articulate why, based on either the explicit or coded content, the communication is reliable evidence of affiliation with the STG. Staff shall document and disclose this information to the offender in a written form that would not jeopardize the safety of any person or the security of the institution.

(11) Self Admission (Five Points): Staff shall document information about an offender’s verbal or written admission as an STG affiliate and/or specific involvement with the STG. Staff shall document and disclose this information to the offender in a written form that would not jeopardize the safety of any person or the security of the institution.

(12) Offenses (Six Points): When circumstances of an offense conclude that the offense was committed for the benefit or promotion of, at the direction of, or in association with an STG. Staff shall articulate the basis for determining an offense to be STG related. Multiple sources of information relative to a single incident or offense will be considered one (1) source of validation. Staff shall document and disclose this information to the offender in a written form that would not jeopardize the safety of any person or the security of the institution.

(13) Tattoos and/or Body Markings (Six Points): Tattoos and/or body markings depicting symbols that have been certified by CDCR in accordance with Section 3378.1(f) as being used by and distinctive to a specific STG. Staff shall describe the tattoo and/or body marking in detail. Staff shall document and disclose this information to the offender in a written form that would not jeopardize the safety of any person or the security of the institution.

(14) Legal Documents (Seven Points): Court transcripts, probation officer reports, or other legal documents evidencing STG conduct. Staff shall document and disclose this information to the offender in a written form that would not jeopardize the safety of any person or the security of the institution.

New subsection 3378.2(c) through 3378.2(c)(7) are relocated and renumbered from existing subsections 3378(c)(6) through 3378(c)(6)(G) and are amended to read:

(6)(c) The verification of an inmate/parolee’s gang identification shall be validated or rejected by the Chief, Office of Correctional Safety (OCS), or a designee validation process is a critical component of identifying and curtailing STG behavior. Once an offender has been identified as a STG affiliate and vetted through the validation process, CDCR staff shall track their movement, monitor their conduct, and take interdiction action, as necessary.

(7)(1) All source criteria items referenced in the validation package or inactive status review shall be disclosed to the offender utilizing a CDCR Form 128-B4 (Rev. 06/14), Evidence Disclosure and Interview Notification, which is incorporated by reference inmate/parolee at the time of notification. Staff shall identify which of the source items is being utilized as the direct link on the CDCR Form 128-B4. The identity of the individual being used as a direct link shall be disclosed unless it compromises the individual’s safety or the safety of others. The offender inmate/parolee shall be given copies of all non-confidential documents unless otherwise requested in writing by the offender inmate/parolee. Confidential information used in the validation package or inactive status review shall be disclosed to the offender inmate/parolee via a CDCR Form 1030 (Rev. 11/13 12/86), Confidential Information Disclosure Form, which is incorporated by reference.
**New subsections 3378.2(d) through (d)(6) are adopted to read:**

(d) STG Unit Classification Committee. The STG Investigator via the assigned counselor shall schedule the offender for appearance before the STG Unit Classification Committee within 30 days of receipt of the CDCR Form 128-B2, in accordance with subsection 3376(d)(5).

An investigative employee shall be assigned by the STG Unit Classification Chairperson to assist the inmate with preparation for the STG Unit Classification Committee. The duties and functions of a staff member assigned to assist an inmate in the hearing on a validation will be as described in Sections 3318 and 3341 for a disciplinary hearing. When an inmate requests witnesses at this hearing, the investigative employee’s duties and functions will be as described in Section 3318 and 3341 for predisciplinary hearing investigations. In screening prospective witnesses, the investigative employee will do so in accordance with the information to be...
considered in the classification hearing. The investigative employee is designated to gather
information for the STG Unit Classification Chairperson and will submit a written report to the
Chairperson which may include witness statements and a summary of the information collected.

The STG Unit Classification Committee shall review the validation package noting the
recommendations of OCS and make the final determination on acceptance of the validation
package based on the totality of the information.

(1) If the STG affiliate is validated as an STG-I Member, the offender shall be referred to the
Institution Classification Committee (ICC) for transfer and placement in Step 1 of the Step Down
Program (SDP) in the Security Housing Unit (SHU) and Classification Staff Representative
(CSR) for transfer consideration.

(2) If the STG affiliate is validated as an STG-I Associate, and has one STG related serious
Rules Violation Report (RVR) as listed in the section 3378.4(a) STG Disciplinary Matrix and
which is also identified in section 3341.5(c)(9) SHU Term Assessment Chart that is being used
as a validation source item, the offender shall be referred to ICC for transfer consideration to
Step 1 of the SDP in the SHU.

(3) If the STG affiliate is validated as an STG-II Member or Associate, and has two STG related
serious RVRs as listed in the section 3378.4(a) STG Disciplinary Matrix and which are also
identified in section 3341.5(c)(9) SHU Term Assessment Chart, the offender shall be referred to
ICC for program review and consideration of placement in Step 1 of the SDP in the SHU.

(4) An inmate who is housed in the Administrative Segregation Unit pending validation, whose
validation is rejected, and who does not have documented STG behavior or whose behavior is
determined to be non-STG related, shall be scheduled for ICC for release to appropriate general
population housing unless other case factors warrant retention.

(5) An inmate who is housed in general population, validated as a STG-I Associate or any STG-
II affiliate and who does not have a serious STG related RVRs as listed in section 3378.4(a)
STG Disciplinary Matrix or identified in section 3341.5(c)(9) SHU Term Assessment Chart, may
not require referral to ICC and may be retained in appropriate general population housing.

(6) An inmate who is housed in general population, whose validation is rejected, and who does
not have documented STG behavior, shall not require referral to ICC.

New subsections 3378.2(e) through (e)(4) are adopted to read:

(e) Institution Classification Committee. When the UCC has recommended that an inmate be
validated as a STG-I member, the ICC shall ensure there is sufficient evidence to warrant
validation at the level of member. ICC will review the validation documents and all other case
factors in their determination of appropriate housing. Any disagreement by the ICC with a STG
I member’s validation and/or placement into the SDP shall be referred to the DRB for resolution.
If the ICC concurs with the validation, the inmate’s housing needs will be as follows:

(1) STG-I Member: Placement in Step 1 of the SDP at a SHU, as determined appropriate by
ICC.

(2) STG-I Associate.
(A) If the validation source items include serious documented STG behavior or activity as listed in section 3378.4(a) STG Disciplinary Matrix and which is also identified in section 3341.5(c)(9) SHU Term Assessment Chart – requires referral for transfer to Step 1 of the SDP at a SHU and endorsement by the Classification Services Representative (CSR).

(B) If the inmate has been found to be accountable for serious STG related behavior, as listed in section 3378.4(a) STG Disciplinary Matrix and which is also identified in Section 3341.5(c)(9) SHU Term Assessment Chart, which occurred since the date of the Validation Interview, this will require consideration of referral for transfer to Step 1 of the SDP at a SHU and if necessary, endorsement by the CSR.

(C) If the validation source items do not meet the designated behavioral criteria for SDP placement – release to general population unless the placement score or case factors have changed and the inmate requires further housing consideration.

(3) STG-II Member or Associate.

(A) If the validation source items include two occurrences, both of which have occurred within four years of the validation date, of serious documented STG behavior or activity, as listed in section 3378.4(a) STG Disciplinary Matrix and which are also identified in Section 3341.5(c)(9) SHU Term Assessment Chart – requires referral for transfer to Step 1 of the SDP at a SHU and endorsement by the CSR.

(B) If the inmate has been found to be accountable for serious STG related behavior, as listed in section 3378.4(a) STG Disciplinary Matrix and which is also identified in Section 3341.5(c)(9) SHU Term Assessment Chart, which occurred since the date of the Validation Interview, this will require consideration of referral for transfer to Step 1 of the SDP at a SHU and if necessary, endorsement by the CSR.

(C) If the validation source items do not meet the designated behavioral criteria for SDP placement – release to general population unless the placement score or case factors have changed and the inmate requires further housing consideration.

(4) The date of the ICC’s assessment and imposition of an Administrative SHU term for the SDP shall establish the start date toward completion of Step 1 of the SDP at a SHU. Applicable privileges, in accordance with Section 3044(i) shall be initiated upon the inmate’s arrival at the SHU facility.


Existing section 3378.3, Transitional Housing Unit, is relocated and renumbered to new section 3378.7.

New section 3378.3 title is adopted to read:

**3378.3. Security Threat Group Step Down Program.**

New subsections 3378.3(a) through 3378.3(a)(2) are adopted to read:
(a) The Step Down Program (SDP) is an incentive based multi-step process for the management of Security Threat Group (STG) affiliates. The SDP is designed to monitor affiliates and assist with transition for return to a general population program setting.

(1) Participation in each step of the SDP shall require staff to provide the offender with CDCR Form 128B SDP1 (Rev. 06/14), Step Down Program Notice of Expectations (Step 1); CDCR Form 128B SDP2 (Rev. 06/14), Step Down Program Notice of Expectations (Step 2); CDCR Form 128B SDP3 (Rev. 06/14), Step Down Program Notice of Expectations (Step 3); CDCR Form 128B SDP4 (Rev. 06/14), Step Down Program Notice of Expectations (Step 4); or CDCR Form 128B SDP5 (11/13), Notice of Conditions of Monitored Status (Step 5), all of which are incorporated by reference. The expectations shall outline the goals, expectations for successful completion, and potential consequences for failure to fully participate and complete each step.

(2) Regression in the SDP requires a finding of guilt for an STG related Rules Violation Report or failure to participate in SDP program activities.

(3) Each program step provides progressive programs and privileges and it is the responsibility of the affiliate to demonstrate they can be released to a less restrictive environment while abstaining from STG behaviors. Participation in program activities is required to progress forward within the SDP. Failure to participate in the SDP, in and of itself, will not be cause to generate a Rules Violation Report.

(4) If the offender chooses not to progress through any step of the program, the offender may be returned, by ICC, to one of the previous steps until they demonstrate appropriate behavior for movement into the next step. At any time the inmate wishes to begin participating in the SDP, they may notify their assigned counselor who will schedule their appearance before the ICC within 30 days.

(5) Information gleaned through inmate participation in program activities is not intended to be used to validate an inmate, initiate an investigation into STG related behavior, or identify/corroborate the involvement of other STG participants. However, information specifically intended to convey to staff the occurrence of past, present, or future STG threats of violence or disruption may be evaluated to maintain institutional and public safety.

New subsections 3378.3(b) through (b)(9) are adopted to read:

(b) An offender’s placement in the SDP is outlined in Section 3378.2(a). The offender’s participation is voluntary; however, their progression through the SDP is dependent upon their active participation in the SDP.

(1) Validated affiliates in steps 1 and 2 shall be scheduled for appearance before the Institution Classification Committee (ICC) at least every 180 days for assessment of case factors and program participation to ensure appropriate step placement within the SDP. Steps 1 and 2 are designed to be completed in 12 months each, but may be accelerated by ICC at the 180 day review. Criteria for the inmate to be retained in the step at the 180 day ICC review are as follows: 1) the inmate has not completed all required program components; and/or 2) the inmate has been found guilty of a RVR for STG-related behavior. Successful completion of these steps will require program participation, compliance with program expectations, and completion of all required components/curriculum. Steps 1 and 2 are primarily intended as periods of observation.
(2) Validated affiliates in step 3 shall be scheduled for appearance before the ICC at least every 180 days for assessment of case factors and program participation to ensure appropriate step placement within the SDP. Successful completion of Step 3 will require a minimum of 12 months program participation, compliance with program expectations, completion of all required components/curriculum, and the inmate remaining free of STG disciplinary behavior.

(3) Validated affiliates in step 4 shall be scheduled for appearance before the ICC at least every 90 days for assessment of case factors and program participation to ensure appropriate step placement within the SDP. Successful completion of Step 4 will require a minimum of 12 months program participation, compliance with program expectations, completion of all required components/curriculum, and the inmate remaining free of STG disciplinary behavior.

(4) Validated offenders who fail to comply with requirements of the SDP may be reviewed by ICC and may be subject to disciplinary sanctions and/or program step adjustment in accordance with section 3378.4(b).

(5) Upon successful completion of step 4, as determined by ICC and based on individual affiliate's behavior, a male offender shall be referred to the Classification Staff Representative (CSR) for endorsement to a Level IV facility for a 12-month observation period (Step 5) regardless of the offender’s placement score. When an inmate has completed the SDP and the institution head believes a transfer to an alternate Level IV institution or out of level placement is warranted, the institution head will refer the case to the DRB for decision.

(6) Upon the successful completion of step 4, as determined by ICC, a female offender will be reviewed by ICC for release to the general population for 12 months of observation and monitoring (step 5).

(7) Validated affiliates shall transition from SDP in a SHU to Step 5 and shall be identified as Monitored Status with a custody designation of Close B, as described in Section 3377.1, during the first 12 months assigned to the designated General Population facility or similar specialized housing, unless other case factors require a higher level of custody.

(A) Offenders shall receive orientation at the designated Step 5 institution. The orientation shall include, but not be limited to:

1. STG Investigator interview.
2. Referral to Mental Health.
3. Unit orientation.
4. Mandatory Urinary Analysis Testing (initial 12 months).
5. Initial Classification Committee to include attendance by the STG Investigator with consideration for rehabilitative program enrollment as identified through departmentally approved assessment tools (i.e., TABE), education, and STG management needs.
(B) Upon completion of the 12-month observation period (Step 5) with no documented evidence of continued STG behavior, the offender shall be referred to ICC for consideration of transfer to an appropriate facility consistent with his/her placement score and case factors.

(8) Upon transfer to appropriate housing, offenders shall continue to be identified as Monitored Status for potential recurrence of STG behavior or activities for an indefinite period of time. Monitored Status affiliates are subject to the following:

(A) Enhanced cell search occurrences as determined necessary.

(B) Enhanced mail scan.

(C) Enhanced telephone call monitoring.

(D) Periodic STG Investigator interviews.

(9) Participation in the SDP affords STG affiliates the opportunity to earn enhanced privileges consistent with their ability to reintegrate, effectively interact with others, and refrain from STG behavior/activities. STG affiliates participating in the SDP shall be placed in privilege group “S1” through “S4” in accordance with section 3044(i). Monitored Status affiliates (Step 5) will be allowed privileges associated with their assigned privilege group.


New section 3378.4 title is adopted to read:

3378.4 Security Threat Group Behavior or Activity.

CDCR inmates shall not participate in STG activity or behavior. For validated affiliates, the consequences of continued confirmed STG behavior are outlined in the STG Disciplinary Matrix below. STG behaviors or activities included in the STG Disciplinary Matrix are separated into Administrative Rule Violations and Serious Rule Violations.

New subsection 3378.4(a) is adopted to read:

(a) The STG Disciplinary Matrix in conjunction with the SDP Placement Options addresses four categories of impacted affiliates:

- Validated STG-I Associates Initial Placement into the SDP from general population.
- STG-II Members or Associates Initial Placement into the SDP from general population.
- Validated STG affiliates assigned in the SDP, demonstrating continued STG behavior or activities.
- Validated STG affiliates on Monitored Status, Inactive Status, Inactive-Monitored Status, or Dropout Status.

Condemned inmates are subject to section 3378.4(a).

The following behaviors and activities qualify as STG behavior, when a nexus has been established between the behavior and an identified STG. The nexus shall be clearly articulated
in the specific act, as well as clearly described within the narrative of the associated Rules Violation Report and Findings of the Senior Hearing Officer/Hearing Officer. If the Specific Act Section of the Rules Violation Report and subsequent Fact Findings and Disposition do not clearly identify a nexus to STG behavior, the disciplinary process will proceed in accordance with Sections 3314 or 3315.

<table>
<thead>
<tr>
<th>Behavior/Activity With Nexus to STG</th>
<th>Administrative or Serious</th>
<th>SDP Placement Options* (Section 3378.4(b))</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Section 1:</strong></td>
<td></td>
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<tr>
<td>a) Murder, attempted murder, solicitation of murder, or voluntary manslaughter of a non-offender or offender;</td>
<td>Serious</td>
<td>3378.4(b)(2) 3378.4(b)(3) 3378.4(b)(6) 3378.4(b)(7)</td>
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<tr>
<td>b) Assault or battery capable of causing serious injury; assault or battery with a deadly weapon or caustic substance capable of causing serious injury, solicitation for offense;</td>
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<td>c) Taking a hostage;</td>
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<tr>
<td>d) Possession of a firearm, explosive device, or weapon which has been manufactured or modified so as to have the obvious intent or capability of inflicting traumatic injury, and which is under the immediate or identifiable control of the offender;</td>
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<tr>
<td>e) Escape or attempted escape with force or violence</td>
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<tr>
<td>f) Rape, sodomy, or oral copulation against the victim’s will</td>
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<tr>
<td><strong>Section 2:</strong></td>
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<tr>
<td>a) Introduction, trafficking, or distribution of any Controlled Substance (as defined in Section 3000);</td>
<td>Serious</td>
<td>3378.4(b)(2) 3378.4(b)(3) 3378.4(b)(5) 3378.4(b)(6) 3378.4(b)(7)</td>
</tr>
<tr>
<td>b) Arson involving damage to a structure or causing serious bodily injury.</td>
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<tr>
<td>c) Possession of flammable, explosive, or combustible material with intent to burn any structure or property;</td>
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<tr>
<td>d) Extortion or threat by means of force or violence, including requiring payment for protection/insurance or intimidating any person on behalf of the STG;</td>
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<tr>
<td>e) Threatening to kill or cause serious bodily injury to a public official, their immediate family, their staff, or their staff’s immediate family;</td>
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<tr>
<td>f) Any other felony involving violence or injury to a victim and not specifically identified on this chart.</td>
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<tr>
<td><strong>Section 3:</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td>a) Battery on a Peace Officer or non-offender not involving use of a weapon;</td>
<td>Serious</td>
<td>3378.4(b)(2) 3378.4(b)(3) 3378.4(b)(5) 3378.4(b)(6) 3378.4(b)(7)</td>
</tr>
<tr>
<td>b) Assault on a Peace Officer or non-offender by any means likely or not likely to cause great bodily injury;</td>
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<td></td>
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<tr>
<td>c) Assault or battery on a prisoner with no serious</td>
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<tr>
<td>d) Destruction of state property valued in excess of $400 dollars during a riot or disturbance;</td>
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<tr>
<td>e) Theft, embezzlement, arson, destruction, or damage to another’s personal property, state funds, or state property valued in excess of $400;</td>
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<tr>
<td>f) Any felony not involving violence or the use of a weapon not listed in this schedule with a direct nexus to STG Behavior.</td>
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</tbody>
</table>

| Section 4: |
| a) Bribery of a non-offender; |
| b) Leading/Inciting a disturbance, riot, or strike; |
| c) Participation in, or attempting to cause conditions likely to threaten institution security; |
| d) Willfully resisting, delaying, or obstructing any peace officer in the performance of duties; |
| e) Possession of cell phone or components; |
| f) Acting in a leadership role displaying behavior to organize and control other offenders within the STG; |

| Section 5: |
| a) Gambling; |
| b) Tagging, or otherwise defacing state property valued at less than $950, with symbols or slogans intended to promote affiliation with a STG; |

| Section 6: |
| a) STG-related tattoos and/or body markings (new since most recent arrival in CDCR and not previously documented); |
| b) Recording/documentation of conversations evidencing STG behavior; |
| c) Harassment of another person, group or entity either directly or indirectly through the use of the mail, telephone, or other means; |
| d) Communications between offenders/others evidencing STG behavior; |
| e) Leading STG roll call; |
| f) Directing cadence for STG group exercise; |
| g) In personal possession of STG-related written material, including membership or enemy list, roll call lists, constitution, organizational structures, codes, training material, etc.; |
| h) In personal possession of mail, notes, greeting cards or other communication (electronic or non-electronic) which include coded or explicit messages evidencing STG behavior; |

| Section 7: |
| Except as otherwise specified in this section, proven attempts to commit or an offender who conspires to commit any of the above listed offenses shall receive the term range specified for that offense. |

| Identifiers |
| 3378.4(b)(2) |
| 3378.4(b)(3) |
| 3378.4(b)(4) |
| 3378.4(b)(5) |
| 3378.4(b)(7) |
Section 8:

| a) Participation in STG roll call; |
| b) Participating in STG group exercise; |
| c) Using hand signs, gestures, handshakes, slogans, distinctive clothing, graffiti which specifically relate to an STG; |
| d) Wearing, possessing, using, distributing, displaying, or selling any clothing, jewelry, emblems, badges, certified symbols, signs, or other STG items which promote affiliation in a STG; |
| e) In possession of artwork, mail, notes, greeting cards, letters or other STG items clearly depicting certified STG symbols; |
| f) In possession of photographs that depict STG association. Must include STG connotations such as insignia, certified symbols, or other validated STG affiliates. |
| g) In possession of contact information (i.e., addresses, telephone numbers, etc.) for validated STG affiliates or individuals who have been confirmed to have assisted the STG in illicit behavior. |

SDP placement is not applicable to the condemned population.

New subsections 3378.4(b) through 3378.4(b)(7) are adopted to read:

(b) SDP Placement Options provide direction for placement into and movement within the SDP subsequent to initial validation based upon being found guilty of STG related behavior. Staff shall utilize the SDP Placement Options Column of the STG Disciplinary Matrix to determine the options available for consideration by the Institution Classification Committee (ICC). The date of the ICC’s assessment and imposition of an administrative term of confinement shall commence counting toward completion of the specified step of the SDP.

(1) Initial Placement (subsequent to validation): ICC shall consider initial placement in the SDP when the validated affiliate has been found guilty of two STG related administrative rules violations within the preceding 12 months. ICC maintains discretion in evaluating an affiliate’s overall disciplinary record and case factors in determining placement in the SDP or continued management within a general population setting. If ICC determines placement in the SDP is warranted, the offender shall be placed at the beginning of Step 1.

(2) Initial Placement (subsequent to validation): ICC shall consider initial placement in the SDP when the validated affiliate has been found guilty of one STG related serious rules violation. ICC maintains discretion in evaluating an affiliate’s overall disciplinary record and case factors in determining placement in the SDP or continued management within a general population setting. If ICC determines placement in the SDP is warranted, the offender shall be placed at the beginning of Step 1.

(3) Initial Placement (subsequent to validation): ICC shall consider initial placement in the SDP when the validated affiliate has been found guilty of two STG related serious rules violations which are also included in Section 3341.5(c)(9). ICC maintains discretion in evaluating an affiliate’s overall disciplinary record and case factors in determining placement in the SDP or
continued management within a general population setting. If ICC determines placement in the SDP is warranted, the offender shall be placed at the beginning of Step 1.

(4) Active SDP Violators: ICC shall consider regression within the current step (1 – 12 months) upon a guilty finding for STG related behavior. Placement may be at any month within the current step to allow for completion of the balance of the step prior to moving forward to the next step.

(5) Active SDP Violators: If appropriate, assess and suspend SHU term (as authorized in Section 3341.5). ICC shall consider regression to the previous step (1 to 12 months) upon a guilty finding for STG related behavior. Placement may be at any month within the previous step to allow for completion of the balance of the step prior to moving forward to the next step.

(6) Active SDP Violators: If appropriate, assess and suspend SHU term (as authorized in Section 3341.5) upon a guilty finding for STG related behavior. ICC shall consider regression to Step 1 (1 to 12 months). Placement may be at any month within Step 1 to allow for completion of the balance of the step prior to moving forward to the next step.

(7) Monitored, Inactive, or Inactive-Monitored Status Violators: Placement at the beginning of Step 1. If appropriate, assess and suspend SHU term (as authorized in Section 3341.5). ICC shall consider placement in the SDP when the validated affiliate has been found guilty of two STG related administrative rules violations within the preceding 12 months or one STG related serious rule violation. ICC maintains discretion in evaluating an affiliate’s overall disciplinary record and case factors in determining placement in the SDP or continued management within a general population setting. If ICC determines placement in the SDP is warranted, the offender shall be placed at the beginning of Step 1.

If offender has completed the SDP previously, he/she must normally serve 2 years in Step 1 of the SDP.

New subsections 3378.4(c) through (c)(9) are adopted to read:

(c) ICC shall consider placement in the SDP when the identified behavioral criteria has been met. ICC maintains discretion in evaluating an affiliate’s overall disciplinary record and case factors in determining placement in the SDP or continued management within a general population setting. If ICC determines placement in the SDP is warranted, the affiliate shall be placed at the beginning of Step 1. The STG Disciplinary Matrix shall be applied as follows:

(1) ICC shall consider a validated STG-I associate housed in the general population for one of the following with a demonstrated nexus to an STG:

(A) Being found guilty of two Administrative Rules Violation Reports identified in the STG Disciplinary Matrix within the preceding 12 months.

(B) Being found guilty of one Serious Rules Violation Report identified in the STG Disciplinary Matrix.

(2) ICC shall consider a validated STG-II affiliate housed in the general population for the following with a demonstrated nexus to an STG: Being found guilty of two Serious Rules Violation Reports identified in Section 3378.4(a) STG Disciplinary Matrix which are also
identified in Section 3341.5(c)(9) SHU Term Assessment Chart. Both behaviors must have occurred within the last four years.

(3) The STG Unit Classification Committee and ICC shall consider a dropout status affiliate housed in the general population for one of the following with a demonstrated nexus to an STG:

(A) Being found guilty of two Administrative Rules Violation Reports identified in the STG Disciplinary Matrix within the preceding 12 months.

(B) Being found guilty of one Serious Rules Violation Report identified in the STG Disciplinary Matrix.

(C) The STG related behavior must have occurred after the dropout status affiliate’s release from a Transitional Housing Unit (THU). In addition, the behavior or activity must identify the inmate as an active STG member or associate of the same STG with which the inmate was previously validated. If the STG related behavior or activity demonstrates a connection to a different STG, the information may be considered in the validation process and/or the disciplinary process, but shall not be used as the sole basis for placement of the inmate into the SDP.

(4) ICC shall consider a monitored status affiliate housed in the general population for one of the following with a demonstrated nexus to an STG:

(A) Being found guilty of two Administrative Rules Violation Reports identified in the STG Disciplinary Matrix within the preceding 12 months.

(B) Being found guilty of one Serious Rules Violation Report identified in the STG Disciplinary Matrix.

(5) ICC shall consider an inactive status affiliate or inactive-monitored status affiliate housed in the general population for one of the following with a demonstrated nexus to an STG:

(A) Being found guilty of two Administrative Rules Violation Reports identified in the STG Disciplinary Matrix within preceding last 12 months.

(B) Being found guilty of one Serious Rules Violation Report identified in the STG Disciplinary Matrix.

(6) ICC shall consider a validated affiliate for placement into Step 1 of the SDP at a SHU if all of the following are present:

(A) Behavior, activity or intelligence items as identified in section 3378.2(b) totaling at least 10 additional source points and identified subsequent to the initial validation process. This process shall only be utilized if the circumstances cannot otherwise be addressed through the disciplinary process; and

(B) STG related behavior is identified to have occurred while an inmate has been discharged, is on parole/probation, is out to court, is in federal/municipal custody, or otherwise outside of the CDCR’s jurisdiction; and
(C) The source points must have a nexus to the STG to which the inmate was formally validated, and have occurred within the preceding four years.

(7) For confirmed STG behavior that is identified to have occurred while an offender is outside the jurisdiction of the CDCR, has been discharged, is on parole/probation, is out to court, or is in federal/municipal custody:

(A) A STG affiliate with confirmed STG behavior or intelligence from an outside law enforcement agency or which occurred outside the jurisdiction of the department or formal disciplinary process shall be documented in a CDC Form 128-B (Rev. 4/74), General Chrono, (marked “confidential”, if appropriate), which is incorporated by reference. The activity or behavior must have occurred within the last four years. Investigators shall establish reliability per section 3321 when confidential information is used and shall be recorded within the chrono.

(B) Confirmed STG behavior may be used in the initial validation process of an inmate and/or be used to establish continued STG behavior of a validated affiliate that may warrant placement into the SDP.

(C) Investigative Staff shall be responsible to initiate or update a CDCR Form 128-B3 (11/13), Security Threat Group Identification Score Sheet, which is incorporated by reference, anytime confirmed STG behavior occurs and cannot be addressed through the disciplinary process.

(D) Confirmed STG behavior, activity, or intelligence items as identified in section 3378.2(b) Validation Source Criteria, which accumulates a total of at least 10 additional source points during the preceding four years, and identified subsequent to the initial validation process, is subject to STG Unit Classification Committee and/or ICC review for placement into the SDP.

(8) A validated STG affiliate who was paroled or discharged from CDCR jurisdiction and returns to custody shall be addressed as follows:

(A) An inmate who was previously paroled or discharged with maximum (MAX) custody due to pending validation and is returned to CDCR’s custody shall be placed in the Administrative Segregation Unit (ASU). The STG Investigator shall obtain the validation package to determine the status of validation and housing at time of parole/discharge. The validation process shall be completed, as necessary. After review by the STG Unit Classification Committee is completed, the inmate will be referred to ICC for appropriate housing determination.

(B) A validated STG affiliate who was previously paroled or discharged with MAX custody and is returned to CDCR’s custody shall be placed in ASU. The STG Investigator will determine if there has been STG-related behavior while the inmate was outside CDCR jurisdiction. The inmate will be referred to the STG Unit Classification Committee in accordance with section 3376(d)(5) or ICC in accordance with section 3341.5(c)(11), as appropriate.

(C) A validated STG affiliate who was previously paroled or discharged and was designated either inactive, inactive-monitored, monitored, or dropout status and returns to the custody of the CDCR shall be assigned housing based upon current case factors. The STG Investigator will determine if there has been STG-related behavior while the inmate was outside CDCR jurisdiction. The inmate will be referred to the STG Unit Classification Committee in accordance with section 3376(d)(5) or ICC in accordance with section 3341.5(c)(11), as appropriate.
(9) STG affiliates actively participating in the SDP, who are found guilty of a Rules Violation Report identified in the STG Disciplinary Matrix shall be reviewed by ICC within 14 days of completion of the disciplinary process.

New subsection 3378.4(d) is adopted to read:

(d) Offenders who are found guilty of a serious rule violation and assessed a determinate SHU term, shall be removed from the SDP and required to complete the determinate SHU term. ICC may give consideration to suspending the remaining SHU term at each scheduled review.


New section 3378.5 is relocated and renumbered from existing section 3378.1 and 3378.2 and is amended to read:

3378.15 Debriefing Process.

New Subsections 3378.5(a) through 3378.5(d) are relocated and renumbered from 3378.1(a) through 3378.1(d) and are amended to read:

(a) Debriefing is the process by which a STG gang coordinator/investigator determines whether an offender inmate/parolee (subject) has dropped out of a STG gang. A subject shall be debriefed only upon his or her request, although staff may ask a subject if he or she wants to debrief. Debriefing shall entail may include a two-step process that includes consists of an interview phase and an observation phase. An evaluation of the need for the observation phase will be based upon individual case factors including the STG affiliate’s housing prior to beginning the debriefing process. ICC may elect to bypass placement in the Transitional Housing Unit (THU) if the offender was housed in general population. Offenders who were housed in segregated housing will normally complete the THU. Female offenders who complete the interview phase of the debriefing process shall complete the observation phase in a general population institution.

(b) The purpose of the debriefing interview is to provide staff with information about the STG’s gang’s structure, activities, and affiliates. A debriefing is not for the purpose of acquiring incriminating evidence against the subject. The object of a debriefing is to learn enough about the subject and the subject’s current STG gang to: (1) allow staff to reasonably conclude that the subject has dropped out of the STG gang, and (2) allow staff to reclassify the subject based upon the offender’s inmate’s needs in conjunction with the security of the institution, as well as, the safety and security of staff and other offenders inmates. A requirement of the interview phase is that the offender inmate provides staff a written autobiography of their gang STG involvement, which is then verified by staff for completeness and accuracy.

(c) Offenders inmates undergoing the debriefing process shall may be subject to a period of observation in a housing setting with other offenders inmates who are also undergoing the debriefing process. The period of observation shall be no greater than 12 up to 6 months in duration.
Upon completion of the debriefing process, the offender inmate shall be housed in a facility commensurate with the offender's inmate's safety needs. In the absence of safety needs, the offender inmate shall be housed in a facility consistent with his or her classification placement score and other case factors.

New subsection 3378.5(e) is relocated and renumbered from existing section 3378.2 and is amended to read:

(e) A waiver of the right against self-incrimination is not a precondition of an offender inmate/parolee (subject) undergoing a debriefing since the information is provided for administrative purposes. A subject shall not be required to complete the debriefing process and the subject is free to terminate the debriefing at any time. If, during a debriefing, a subject makes a statement that tends to incriminate the subject in a crime, the Security Threat Group (STG) gang coordinator/investigator may stop any discussion about the matter and continue on with another topic. Prior to questioning the subject about the incriminating matter, the subject must waive the right against self-incrimination. The decision by the subject to exercise the right against self-incrimination shall not affect the determination of whether the subject successfully participated in the debriefing.

New subsection 3378.5(f) is adopted to read:

(f) Condemned inmates are subject to the debriefing process as outlined in this section.

Authority and Reference citations from existing sections 3378.1 and 3378.2 are identical and are unchanged.


New Section 3378.6 Title is adopted to read:

3378.6 Review and Action Following Receipt of Debrief Reports

New section 3378.6 Initial paragraph is adopted to read:

Upon receipt of a completed Debrief Report by institution staff, the following process will be followed:

New subsections 3378.6(a) through 3378.6(d) are adopted to read:

(a) STG investigative staff will review the report to identify inmates (other than the debriefing inmate) who are addressed in the report and currently housed at the institution.

(1) STG behavior or activity by the identified inmate which is documented in the Debrief Report shall be investigated by STG staff or their designee to establish facts in corroboration of the information being provided.

(2) Staff shall document the findings of the investigation in a CDC Form 128-B General Chrono (Rev. 4/74), which is incorporated by reference, marked “Confidential”, or in memorandum. Staff shall prepare a CDCR Form 1030 (11/13), Confidential Information Disclosure Form, which
is incorporated by reference, as appropriate, documenting as much information as can be disclosed without identifying the source.

(3) STG behavior or activity determined to have occurred within CDCR jurisdiction and within the previous four (4) years shall be reviewed by the STG Lieutenant or an appropriate Lieutenant to determine if the activity/behavior warrants issuance of Rules Violation Report, in accordance with section 3378.4(a) STG Disciplinary Matrix.

(4) Staff shall prepare a CDC Form 115 (07/88), Rules Violation Report, which is incorporated by reference, as appropriate, documenting the information as received from the confidential source and any pertinent information gleaned through the investigation.

(5) The disciplinary process will proceed as outlined in Sections 3310 through 3326, Inmate Discipline.

(6) Dependent upon the STG status/designation of the inmate, the completed CDC Form 115 shall be referred to the STG Unit Classification Committee in accordance with section 3376(d)(5) or ICC in accordance with section 3341.5(c)(11) for review of the inmate’s activities/behavior.

(b) STG behavior or activity determined to have occurred outside the jurisdiction of the department or formal disciplinary process shall be documented in a CDCR Form 128-B (4/74), General Chrono. The completed CDC Form 128-B shall be referred to the STG Lieutenant for consideration of validation and/or referral to appropriate staff for the inmate’s placement in the SDP in accordance with section 3378.4(c)(7).

(c) The ICC will consider information obtained from approved Debrief Reports as follows:

(1) STG related behavior or activities must have occurred within the last 4 years to be considered in making housing determinations.

(2) If the inmate is already in the SDP and the behavior occurred while he/she was in the SDP, utilize the SDP Placement Options as described in section 3378.4(b) to determine appropriate movement within the SDP.

(3) If the inmate is already in the SDP and the behavior occurred prior to his/her placement in the SDP, the inmate may be eligible for consideration of the Determinate SHU Term; however, there shall be no impact to the inmate’s SDP placement.

(4) If the inmate is not in the SDP, addressing the behavior and/or housing needs will be in accordance with section 3378.4(c)

(d) Condemned inmates are subject to procedures outlined in section 3378.6.


New section 3378.7 is relocated and renumbered from existing section 3378.3 and is amended to read:
3378.37. Transitional Housing Unit.

New section 3378.7 Initial paragraph through subsections 3378.7(a)(2) are relocated and renumbered from existing section 3378.3 Initial paragraph through subsections 3378.3(a)(2) and are amended to read:

The Transitional Housing Unit (THU) shall provide a program of observation to evaluate that an inmate has successfully disassociated from STG prison gang activity and is capable of programming in a general population (GP) setting. Inmates must have completed the debriefing process from a validated STG prison gang, as described in section 3378.45, in order to be placed into the THU. Placement into the THU can be from either a Security Housing Unit or from GP. THU inmates shall be housed separately from other GP inmates due to potential safety concerns.

(a) The debriefing process is designed to review, monitor and evaluate each individual and ensure that the inmate participating in the debriefing process is not a threat to staff or other inmates, and has sincerely renounced all STG related prison gang activities. A period of observation and adjustment may follow the debriefing process to ensure that an inmate will be able to program in a GP setting with inmates of diverse backgrounds all races and ethnic groups, as well as other disassociated STG prison gang members/associates. STG affiliates housed in general population or similar specialized housing prior to beginning the debriefing process may bypass placement in the Transitional Housing Unit, as authorized by ICC. Female offenders who complete the interview phase of the debriefing process, shall complete the observation phase in a general population institution. The minimum eligible criteria to be placed into the THU shall consist of:

(1) The formal debriefing process as set forth in section 3378.45 must be satisfactorily completed at the Institutional level through the STG Institution Gang Coordinator/Investigator, and approved through the Office of Correctional Safety (OCS) via a completed CDC Form 128-B (4/74), General Chrono, which is incorporated by reference.

(2) The inmate must be willing to commit to personal change, pursuant to CCR section 3378.45.

New subsections 3378.7(b) through 3378.7(c)(1) are relocated and renumbered from existing subsections 3378.3(b) through 3378.3(c)(1) and remain unchanged.

New subsection 3378.7(c)(2) is relocated and renumbered from existing subsection 3378.3(c)(2) and is amended to read:

(2) Inmates shall be advised that participation in all assignments and activities is mandatory, and STG gang related activity or behavior will not be tolerated. Any disciplinary behavior for which the inmate is found to be accountable through the disciplinary process, disciplinary action deemed serious in nature, or one that is related to gang activity, shall result in referral to the Institution Classification Committee for program review and possible removal from the THU program.

New subsections 3378.7(c)(2)(A) through 3378.7(c)(2)(B) are adopted to read:

(A) If the behavior identified in section 3378.7(c)(2) had a nexus to the STG and was identified in section 3378.4(a) STG Disciplinary Matrix, consideration shall be given to removal of the inmate from the THU and return to Step 1 of the SDP in the SHU.
(B) If the behavior identified in section 3378.7(c)(2) did not demonstrate a nexus to the STG, consideration shall be given by the ICC to removal of the inmate from the THU and return to the step in the SDP where he was upon entering the debriefing process or being removed from SHU. If the inmate had not begun the SDP, he will be placed in Step 1 of the SDP in the SHU.

**New subsection 3378.7(c)(3) is adopted to read:**

(3) If the inmate elects to discontinue participation in the THU for non-disciplinary related reasons, the inmate shall be scheduled for ICC. ICC shall review the circumstances of the request and the inmate’s case factors in determining appropriate placement in the SDP.

**New subsections 3378.7(d) through 3378.7(e) are relocated and renumbered from existing subsections 3378.3(d) through 3378.3(e) and remain unchanged.**


**New section 3378.8 title is adopted to read:**

**3378.8 Termination of Security Threat Group (STG) Validation Status**

New subsections 3378.8(a) through 3378.8(a)(5) are adopted to read:

(a) STG-I or STG-II Associates:

(1) A validated STG-I or STG-II associate released from Security Housing Unit (SHU) to Step 5; those cases determined to be inactive or dropout status; or those remaining in any type of general population housing, who remains free of STG disciplinary behavior for a period of six (6) consecutive years, while incarcerated within CDCR, may be eligible to have their STG Validation Status terminated. The six years will begin counting toward completion of the required time period as follows:

(A) Validated Associates released from SHU to Step 5: the date committee approved release from segregation.

(B) Validated inmates released from SHU as Inactive or Inactive-Monitored: the date of the Departmental Review Board (DRB).

(C) Validated Associates who remain in general population housing: the date of initial validation

(D) Dropout status inmates: the date of the CDCR Form 128-B2 (Rev. 06/14) Security Threat Group Validation/Rejection Review, which is incorporated by reference, changing their status to “Dropout”.

(2) The criteria for consideration of terminating an STG Validation Status include: within the most recent six consecutive years (while incarcerated within CDCR institutions) with no guilty findings of STG related behavior or additional source criteria items totaling 10 points (as described in section 3378.2.)
(3) Termination of the STG Validation Status will be evaluated during the next regularly scheduled Annual Review. The assigned counselor will review central file and notify the STG Investigator that the inmate is being scheduled for consideration of terminating the STG Validation status. If eligible, the inmate shall be referred to ICC for assessment and determination of terminating the STG status.

(4) Upon ICC terminating an inmate's validation status, the institution shall submit a copy of the CDC Form 128-G (10/89), Classification Chrono, which is incorporated by reference, to the Office of Correctional Safety (OCS), who shall generate an updated CDCR Form 128-B2 (Rev. 06/14), Security Threat Group Validation/Rejection Review, which is incorporated by reference, reflecting “Terminated”. The original CDCR Form 128-B2 shall be returned to the institution. Review by the STG Unit Classification Committee will not be required to review/approve this document.

(5) Inmates who have had their STG status terminated shall be eligible to participate in any recognized housing/programs consistent with other general population inmates with similar case factors. If additional STG related activity or behavior is subsequently discovered, a new validation package shall be required to change the inmate’s STG status.

New subsections 3378.8(b) through 3378.8(b)(5) are adopted to read:

(b) STG-I or STG-II Members:

(1) A validated STG-I or STG-II member released from SHU to Step 5; those cases determined to be inactive or dropout status; or those remaining in any type of general population housing, who remains free of STG disciplinary behavior for a period of eleven (11) consecutive years, while incarcerated within CDCR, may be eligible to have their STG Validation Status terminated. The eleven years will begin counting toward completion of the required time period as follows:

(A) Validated Members released from SHU to Step 5: the date committee approved release from segregation.

(B) Validated inmates released from SHU as Inactive or Inactive-Monitored: the date of the Departmental Review Board (DRB) hearing.

(C) Validated Members who remain in general population housing: the date of initial validation.

(D) Dropout status inmates: the date of the CDCR Form 128-B2 changing their status to “Dropout”.

(2) The criteria for consideration of terminating an STG Validation Status include: within the most recent eleven consecutive years while incarcerated within CDCR institutions there have been no guilty findings of STG related behavior or additional source criteria items totaling 10 points (as described in section 3378.2).

(3) Termination of the STG Validation Status will be evaluated during the next regularly scheduled Annual Review. The assigned counselor will review central file and notify the STG Investigator that the inmate is being scheduled for consideration of terminating the STG Validation status. If eligible, the inmate shall be referred to ICC for assessment and determination of terminating the STG status.
(4) Upon ICC terminating an inmate's validation status, the institution shall submit a copy of the CDC Form 128-G, Classification Chrono, to OCS, who shall generate an updated CDCR Form 128-B2 reflecting “Terminated”. The original CDCR Form 128-B2 shall be returned to the institution. Review by the STG Unit Classification Committee will not be required to review/approve this document.

(5) Inmates, who have had their STG status terminated, shall be eligible to participate in any recognized housing/programs consistent with other general population inmates with similar case factors. If additional STG related activity or behavior is subsequently discovered, a new validation package shall be required to change the inmate’s STG status.


3504. Parole Assessment.

Subsection 3504(a) remains unchanged.

Subsection 3504(a)(1) is amended to read:

(1) High Control means the highest level of supervision based on commitment offense(s) and prior criminal history. Cases designated high control shall be reserved for persons with violent felony commitments as described in Penal Code (PC) section 667.5(c), PC section 290 registrants; cases generating extensive media or public attention; or cases involving membership in Security Threat Group (STG) gangs, as stated on CDCR Form 128-B2 (Rev. 06/14) Security Threat Group Validation/Rejection Review, which is incorporated by reference CDC Form 812-A (Rev. 9/92) Notice of Critical Information - Prison Gang Identification, or membership in a disruptive group, as identified on CDC Form 812-B (Rev. 9/92) Notice of Critical Information - Disruptive Group Identification. The following minimum contact requirements shall apply to these cases:

Subsections 3504(a)(1)(A) through 3504(a)(3)(D) remain unchanged.

Subsection 3504(a)(3)(E) is amended to read:

(E) Parolees who complete 180-days of satisfactory parole will automatically be assigned to the minimum supervision category. Exceptions to the automatic reduction shall include violent felony commitments described in PC section 667.5, PC section 290 registrants, cases generating extensive media or public attention, and STG gang members, as documented on CDCR Form 128-B2 (Rev. 06/14) Security Threat Group Validation/Rejection Review, which is incorporated by reference 812-A (Rev. 9/92).

Subsections 3504(a)(4) through 3504(d) remain unchanged.

Note: Authority cited: Section 5058, Penal Code. Reference: Sections 290, 667.5(c), 3000.03 and 5054, Penal Code; and Sections 3151 and 3152, Welfare and Institutions Code.

3505. Non-Revocable Parole.

Subsections 3505(a) through 3505(a)(5) remain unchanged. Subsection 3505(a) is shown to provide context.
(a) Inmate/parolees who meet the following criteria shall be placed on non-revocable parole, as described in section 3000, and pursuant to Penal Code (PC) section 3000.03:

Subsection 3505(a)(6) is amended to read:

(6) Is not validated as a STG-I member or associate an active or inactive prison gang member or associate as defined in section 3000 3378(c) by the Chief, Office of Correctional Safety, or a designee.

Subsections 3505(a)(7) through 3505(c) remain unchanged.

Note: Authority cited: Section 5058.3, Penal Code. Reference: Sections 3000.03, 3067 and 5054, Penal Code.

3545. Persons to Participate in Continuous Electronic Monitoring.

Subsections 3545(a) through 3545(c)(3) remain unchanged.

Subsection 3545(c)(4) is amended to read:

(4) STG-I Gang Members

Subsections 3545(c)(5) through 3545(d)(7) remain unchanged.

Note: Authority cited: Sections 5058 and 5058.3, Penal Code. Reference: Sections 3004, 3010, 3010.1, 3010.2, 3010.3, 3010.4, 3010.5, 3010.6, 3010.7, 3010.8, 3010.9 and 5054, Penal Code.

3561. Global Positioning System Technology on Eligible Parolees Designated as High Risk.

Subsections 3561(a) through 3561(b)(1) remain unchanged.

Subsection 3561(b)(2) is amended to read:

(2) Any validated STG prison gang, street gang, or disruptive group member or associate as indicated on the CDCR Form 812 (Rev. 11/13 8/01), Notice of Critical Case Information-Safety of Persons (Non-confidential Enemies), or CDCR Form 128-B2, (Rev. 06/14) Security Threat Group Validation/Rejection Review, which is incorporated by reference CDC Form 812-A (Rev. 9/92), Notice of Critical Information-Prison Gang Identification, or CDC Form 812-B (Rev. 9/92), Notice of Critical Information-Disruptive Group Identification.

Subsections 3561(b)(3) through 3561(b)(5) remain unchanged.

Note: Authority cited: Sections 5058 and 5058.3, Penal Code. Reference: Sections 3004, 3010, 3010.1, 3010.2, 3010.3, 3010.4, 3010.5, 3010.6, 3010.7, 3010.8, 3010.9 and 5054, Penal Code.

3651. Penal Code Section 186.30 Registrants (Gang Offenders).

Subsections 3651(a) through 3651(b)(1) remain unchanged.
Subsection 3651(b)(2) is amended to read:

(2) The law enforcement agency will serve the parolee with a California Street Terrorism Enforcement and Prevention Act notification which includes, where applicable, that the parolee belongs to a Security Threat Group (STG) gang whose members engage in or have engaged in a pattern of criminal STG gang activity as described in PC section 186.22(e).

Subsections 3651(b)(3) through 3651(f) remain unchanged.

Note: Authority cited: Section 5058, Penal Code. Reference: Sections 186.30, 186.32, 186.33 and 5054, Penal Code.

3721. Discharge Review Reports.

Subsections 3721(a) through 3721(b)(1)(D) remain unchanged.

Subsection 3721(b)(1)(E) is amended to read:

(E) STG Gang Validation. Note any past or present involvement in any STG prison, criminal, or street gang as a validated member, associate, or affiliate, and if the parolee is a validated STG-I an active or inactive validated member, associate, or affiliate.

Subsections 3721(b)(2) through 3721(b)(3)(G) remain unchanged.

A. SUBJECT NAME AND IDENTIFICATION NUMBER

NAME: ____________________________  ID#: ____________________________  INSTITUTION: ____________________________

B. SECURITY THREAT GROUP IDENTIFIED AS:

C. IDENTIFICATION CRITERIA AND VALUE

| SYMBOLS                          | X 2 = | Source Doc: |  |  |
|----------------------------------|-------|-------------|  |  |
| WRITTEN MATERIALS (Not in Possession) | X 2 = | Source Doc: |  |  |
| ASSOCIATION                      | X 3 = | Source Doc: |  |  |
| INFORMANTS                       | X 3 = | Source Doc: |  |  |
| DEBRIEFING REPORTS              | X 3 = | Source Doc: |  |  |
| WRITTEN MATERIALS (Personal Possession) | X 4 = | Source Doc: |  |  |
| PHOTOGRAPHS                      | X 4 = | Source Doc: |  |  |
| STAFF INFORMATION                | X 4 = | Source Doc: |  |  |
| OTHER AGENCIES                   | X 4 = | Source Doc: |  |  |
| VISITORS                         | X 4 = | Source Doc: |  |  |
| COMMUNICATION                    | X 4 = | Source Doc: |  |  |
| SELF ADMISSION                   | X 5 = | Source Doc: |  |  |
| OFFENSES                         | X 6 = | Source Doc: |  |  |
| TATTOOS AND/OR BODY MARKINGS     | X 6 = | Source Doc: |  |  |
| LEGAL DOCUMENTS                  | X 7 = | Source Doc: |  |  |

IDENTIFICATION CRITERIA TOTAL: ____________________________  ____________________________  ____________________________  ____________________________

Information obtained from a CDCR 128-B2 [ ] Yes [ ] No. If Yes, 128-B2 dated: ____________________________  ____________________________  ____________________________

D. STG-I LEVEL OF IDENTIFICATION

10 + POINTS (Using 3 independent source items) 2 to 9 POINTS

[ ] MEMBER  [ ] ASSOCIATE  [ ] DIRECT LINK (Required)  [ ] SUSPECT

E. STG-II LEVEL OF IDENTIFICATION

10 + POINTS (Using 3 independent source items) 2 to 9 POINTS

[ ] MEMBER  [ ] ASSOCIATE  [ ] SUSPECT

F. STAFF COMPLETING FORM:

______________________________
NAME (PRINTED & SIGNATURE)

______________________________
CLASSIFICATION/BANK

______________________________
DATE
**STATE OF CALIFORNIA**  
**RULES VIOLATION REPORT**  
COC 115 (97/R2)

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**CIRCUMSTANCES**


**REPORTING EMPLOYEE (Typed Name and Signature)**


**ASSIGNMENT**


**ROOM**


**DATE**


**DEPARTMENT OF CORRECTIONS**
STATE OF CALIFORNIA
CDOC 128-01 (2/13)

DEPARTMENT OF CORRECTIONS
AND REHABILITATION

SECURITY THREAT GROUP UNIT CLASSIFICATION COMMITTEE – RESULTS OF HEARING

OFFENDER NAME (Last, F1): 

COCR Number: 

INSTITUTION: 

☐ VALIDATION

☐ CONFIRMED STG BEHAVIOR OR INTELLIGENCE

☐ MONITORED, INACTIVE, INACTIVE-MONITORED, OR DROP OUT STATUS

STATEMENT OF FINDINGS:

This finding is based on the following evidence:

DEBRIEFING PROCESS:

You have the option to renounce your association with the STG by participating in the Debriefing Process and attaining “dropout” status. Debriefing is a comprehensive two step process, which includes an interview/investigative phase and an observation/program phase. The purpose of the debriefing interview is to provide staff with information about the STG’s structure, activities, and affiliates. A debriefing is not for the purpose of acquiring incriminating evidence against you. Failure to participate in the Debriefing Process will indicate that you are unwilling to renounce your STG affiliation and may result in placement into the Step Down Program at the assigned SHU Institution.

STEP DOWN PROGRAM:

Provides offenders placed in a Security Housing Unit (SHU) for STG validation and/or confirmed STG behaviors, with a program and increased incentives to promote positive behavior including refraining from participation in STG behavior. The Step Down Program is designed to be completed in 4 years within the SHU. Completion of the Step Down Program may ultimately afford the offender with the ability to return to a GP or SNY setting.

COMMITTEE REQUIREMENTS:

☐ Offender is not literate or non-English speaking -- Staff Assistant Required.

☐ Complexity is such that assistance is necessary to ensure comprehension – Staff Assistant Required.

☐ Disability is such that assistance is necessary for full participation in committee – Staff Assistant Required.

☐ Required ADA assistive devices were available to the offender during committee.

SIGNATURES:

Signature/Title of Committee Chairperson 

Date: 

Signature/Title of Committee Recorder 

Date: 

Offender’s Signature (Certifies that a copy of this document was received) 

Date Received: 

Distribution: Original: Offender; Copies: Central File, Correctional Counselor, STG Investigator
NOTICE OF CRITICAL CASE INFORMATION-SAFETY OF PERSONS (Non-Confidential Enemies)

This non-confidential form is used to document offenders or potential offenders who should be kept separate and offenders suspected of affiliation with a security threat group (STG). Indicate "None" under CDCR number and/or group section if there are no enemies and/or security threat group concerns. Refer to CCR, Title 15, Section 3378 for additional information.

<table>
<thead>
<tr>
<th>CDCR Number</th>
<th>Print Name</th>
<th>Primary/Supporting Documentation</th>
<th>Current Location</th>
<th>Date</th>
<th>Current Location</th>
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DELETION OF PRIOR ENEMIES

<table>
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<tr>
<th>CDCR Number</th>
<th>Print Name</th>
<th>Document Deleting Enemy Issue</th>
<th>Delete Date</th>
<th>Print Name, Title, Inst/Region, Initials of Person Deleting</th>
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SUSPECTED SECURITY THREAT GROUP (STG) AFFILIATION

<table>
<thead>
<tr>
<th>STG Name</th>
<th>Type of Affiliation (Member/Associate)</th>
<th>Date</th>
<th>Primary Supporting Documentation</th>
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</thead>
<tbody>
<tr>
<td>STG-I:</td>
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<tr>
<td>STG-II:</td>
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</tbody>
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STAFF COMPLETING UPDATE

<table>
<thead>
<tr>
<th>Print Name and Write Initials</th>
<th>Title</th>
<th>Institution/Region</th>
<th>Date</th>
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CDCR Number: Offender Name:
This non-confidential form is used to document inmates/parolees or potential inmates who should be kept separate and inmates/parolees suspected of affiliation with a prison gang or disruptive group. If an inmate/parolee is identified with a prison gang, a Notice of Critical Information - Prison Gang Identification (Form CDC 812-A) shall also be completed. A form CDC 812-B shall be completed on Disruptive Group Affiliates. Indicate "NONE" under CDC number and/or group section if there are no enemies and/or gang concerns. Refer to CCR 3378 for additional information.

### NON-CONFIDENTIAL ENEMIES

<table>
<thead>
<tr>
<th>CDC NUMBER</th>
<th>PRINT NAME</th>
<th>PRIMARY SUPPORTING DOCUMENTATION</th>
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### DELETION OF PRIOR ENEMIES

<table>
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<tr>
<th>CDC NUMBER</th>
<th>PRINT NAME</th>
<th>DOCUMENT DELETING ENEMY</th>
<th>ISSUE DATE</th>
<th>DELETE DATE</th>
<th>PRINT NAME</th>
<th>TITLE</th>
<th>FIRST</th>
<th>LAST</th>
<th>RANK</th>
<th>DEPARTMENT</th>
<th>UNIT</th>
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</table>

### SUSPECTED GANG AFFILIATION

<table>
<thead>
<tr>
<th>GANG</th>
<th>TYPE OF AFFILIATION (MEMBER/ASSOCIATE/DROPOUT)</th>
<th>DATE</th>
<th>PRIMARY SUPPORTING DOCUMENTATION</th>
</tr>
</thead>
<tbody>
<tr>
<td>DISRUPTIVE GROUP</td>
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<tr>
<td>PRISON GANG</td>
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### STAFF COMPLETING UPDATE

<table>
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<tr>
<th>PRINT NAME AND INITIALS</th>
<th>TITLE</th>
<th>INSTITUTION / REGION</th>
<th>DATE</th>
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CONFIDENTIAL INFORMATION DISCLOSURE FORM

INMATE NUMBER: __________ INMATE NAME: ___________________________ INSTITUTION: __________

1) Use of Confidential Information.

Information received from a confidential source(s) has been considered in the:

a) CDC Form 115, Rules Violation Report (log number ____________________________ ),
   dated __________ submitted by __________ (Staff Name & Title) ________________________ .

b) CDC Form 114-D, Order and Hearing for Placement in Segregated Housing dated __________.

c) Validation Package as a Member/Associate of the _____________________ Security Threat Group.

2) Reliability of Source.

The identity of the source(s) cannot be disclosed without endangering the source(s) or the security of
the institution. This information is reliable because:

☐ This source has previously provided confidential information which proved to be true.
☐ Other confidential source has independently provided the same information.
☐ This source participated in and successfully completed a Polygraph examination.
☐ The information provided by the confidential source is self-incriminating.
☐ Part of the information provided is corroborated through investigation or by information
   provided by non-confidential sources.
☐ The confidential source is the victim.
☐ Other (Explain) ____________________________________________________________

3) Disclosure of information received.

The information received indicated the following:

__________________________________________________________

__________________________________________________________

__________________________________________________________

(If additional space is needed, attach another sheet.)

4) Type and current location of documentation. (i.e., CDC Form 128B of 5/15/2010 in the confidential
   section of the central file)

__________________________________________________________

__________________________________________________________

__________________________________________________________

_____________________________ __________________________
STAFF SIGNATURE/TITLE DATE DISCLOSED

DISTRIBUTION: WHITE—Central File; GREEN—Inmate; YELLOW—Institutional Use
CONFIDENTIAL INFORMATION DISCLOSURE FORM

INMATE NUMBER: ___________________________ INMATE NAME: ___________________________

1) Use of Confidential Information.

Information received from a confidential source(s) has been considered in that:

a) CDC-116, Disciplinary Report dated ___________________________ submitted by ___________________________

b) CDC-114-D, Order and Hearing for Placement in Segregated Housing dated ___________________________

STAFF NAME, TITLE ___________________________

2) Reliability of Source.

The identity of the source(s) cannot be disclosed without endangering the source(s) or the security of the institution.

This information is considered reliable because:

☐ This source has previously provided confidential information which has proven to be true.
☐ This source participated in and successfully completed a Polygraph examination.
☐ More than one source independently provided the same information.
☐ This source incriminated himself/herself in a criminal activity at the time of providing the information.
☐ Part of the information provided by the source(s) has already proven to be true.
☐ Other (EXPLAIN) ___________________________

3) Disclosure of information received.

The information received indicated the following:

________________________________________________________________________
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________
________________________________________________________________________

(If additional space needed, attach another sheet.)

4) Type and current location of documentation, (for example: CDC-128-B of 5-15-86 in the confidential material folder).

________________________________________________________________________

STAFF SIGNATURE, TITLE ___________________________ DATE DISCLOSED ___________________________

DISTRIBUTION: WHITE — Central File; GREEN — Inmate; YELLOW — Institution Use
On ___________ (Date), a Security Threat Group (STG) validation package regarding subject was received from Institution STG Investigator ____________________________ (Name) at ___________________ (Institution).

TOTAL NUMBER OF ITEMS SUBMITTED FOR REVIEW: ________

The following items meet the validation requirements:
1)
2)
3)
4)
5)
6)
7)
8)

TOTAL NUMBER OF ITEMS WHICH MEET VALIDATION REQUIREMENTS: ________

The following items do not meet the validation requirements and were/shall not be used as a basis for validation:
1)
2)
3)

TOTAL NUMBER OF ITEMS WHICH DO NOT MEET VALIDATION REQUIREMENTS: ________

**ACTION OF REVIEWER**

Pursuant to the validation requirements established in CCR, Title 15, Section 3378, ___________ (Offender Name) is recommended for the following action:

______ VALIDATION  ______ REJECTION OF VALIDATION

as a Member/Associate of the ___________ (Name of the STG) ___________ Security Threat Group.

SPECIAL AGENT, REVIEWER

SPECIAL AGENT, REVIEWER

PRINTED NAME

PRINTED NAME

**DISTRIBUTION:**

Original – Central File
Copy – Classification & Parole Representative/Parole Administrator I
Copy – Institution STG Investigator/Region STG Coordinator
Copy – Office of Correctional Safety – Special Service Unit

STG Unit

STG Unit Classification Committee Review Date:

Designation (i.e., I ML, Associate-STG):

STG Unit Classification Committee Chairperson Printed Name Signature:

DATE: ____________________________ SECURITY THREAT GROUP VALIDATION/REJECTION REVIEW
CALIFORNIA DEPARTMENT OF CORRECTIONS AND REHABILITATION
SECURITY THREAT GROUP CERTIFICATION WORKSHEET

A. NAME OF GROUP

B. NAME OF INSTITUTION

C. HISTORY OF INCIDENTS, BEHAVIORS, AND ACTIONS OF THE GROUP
   (Narrative - be specific with dates, incident log numbers, etc.)

D. NUMBER OF OFFENDERS INVOLVED

E. CURRENT HOUSING OF OFFENDERS IN GROUP
   (GP, SNY, ASU)

F. DESCRIPTION OF HISTORY AND PHILOSOPHIES (if known)
   (Narrative – be specific with dates and document descriptions where this information was collected from)

G. DESCRIPTION OF RANKING STRUCTURE
   (Narrative – be specific)

H. DESCRIPTION OF RECRUITMENT METHODS OR STRATEGIES
   (Narrative – be specific with dates and document descriptions where this information was collected from)

I. DESCRIPTION OF IDENTIFYING SIGNS AND SYMBOLS
   (Narrative – be specific with descriptions and photographs (if available))

J. ROSTER OF MEMBERS/ASSOCIATES/SUSPECTS
   (Separate list may be attached)

For items C, F, G, H, and I – Submit copies of documentation supporting the statements included on this worksheet.

K. STAFF COMPLETING FORM

NAME: ___________________ RANK: ___________________ DATE: ________________

L. SIGNATURE OF APPOINTING AUTHORITY

________________________________________ DATE: ________________

WARDEN

Institution ________________________________
STEP DOWN PROGRAM NOTICE OF EXPECTATIONS (STEP 1)

Based upon your validation/STG Behavior, you have been assigned to the Security Threat Group (STG) Step Down Program (SDP). The SDP is a 5-Step program which allows offenders who have been validated as STG members or associates to not associate, promote, or involve themselves in STG behavior; thus demonstrating to California Department of Corrections and Rehabilitation (CDCR) staff that they are not involved with the STG. This will afford you the opportunity to achieve designated privileges (see attachment), and facilitate your ultimate return to a general population facility.

As a participant in Step 1 of this program, you are required to meet the following expectations:

1. Participate in and successfully complete all mandated educational instruction and program activities, as well as risk and educational assessment, as determined by the Institution Classification Committee (ICC).
2. Follow all staff directives.
3. Cease any and all STG related behavior including but not limited to; planning, organizing, recruiting, promoting, training, communicating in code, using known STG symbolisms, participating in and/or communicating STG activities.

ICC reviews will be completed after each 180 day period in the SDP. You will receive a 6-month accelerated advancement to Step 2 if ICC determines you have completed all required program components and have not been found guilty of STG disciplinary behavior.

You must participate in the SDP in order to progress through each successive step; however, failure to participate will not result in the issuance of a Rules Violation Report. Information gleaned through your participation in programming activities is not intended to be used to validate behavior of an inmate, initiate an investigation into STG related behavior, or identify/corroborate the involvement of other STG participants. However, information specifically intended to convey to staff the occurrence of past, present, or future STG violence, threats of violence, or disruption to institution security, may be considered for further use.

If you choose not to progress through any step of the SDP, you may be returned to a previous step until you demonstrate both a desire and appropriate behavior for movement into the next step. Failure to maintain acceptable behavior and/or refrain from STG activity may result in the loss of privileges and/or regression to a previous step.

If ICC determines that you have declined to participate in the SDP, you will be allowed to plateau in Step 1 or 2, in accordance with your behavior. Once you have plateaued, you will remain in the observation phase until you provide a written request to continue progression in the SDP. If at any time that you want to begin participating in the SDP, notify your assigned caseworker who will schedule your appearance before the ICC within 30 days.

If ICC, thereafter, determines that your case factors, medical need, or mental health status have significantly changed and warrant review for potential alternate housing, ICC may refer the case to the Department Review Board (DRB) for further SDP/SHU housing consideration.

You may choose to enter the Debriefing Process at any time during your participation in the SDP, by notifying your counselor, Investigative Services Unit, or SDP staff.

You are advised to release to prison staff any materials in your possession which may be considered STG related. Should any of these items be found in your property at any time during your participation in the SDP, they will be viewed as evidence of continued STG behavior or other misconduct. You will be subject to appropriate disciplinary action.

Document issued to inmate by:

Printed Staff Name _______________________________ Signature of Staff _______________________________ Date Issued to Inmate ____________________

Cmp: Central Hic; CC: Receiving Institution, Inmate

DATE: _______________________________

******************************************************************************

(STEP DOWN PROGRAM NOTICE OF EXPECTATIONS – STEP 1)
STEP DOWN PROGRAM NOTICE OF EXPECTATIONS (STEP 2)

You have been approved by the Institution Classification Committee (ICC) for transition into Step 2 of the Security Threat Group (STG) Step Down Program (SDP). This Step will allow you to continue to demonstrate to CDCR staff that you are not involved with the STG. Movement into this step will afford you an opportunity to achieve expanded privileges (see attachment) and facilitate your ultimate return to a general population facility.

Step 2 of the SDP will be administered from a Security Housing Unit (SHU). Meals will be consumed in your assigned cell. Showers will be allowed three times per week, except in situations of institutional emergencies. Programming during this phase will generally be conducted in the assigned cell.

As a participant in Step 2 of this program, you are required to meet the following expectations:
1. Participate in and successfully complete all mandated educational instruction and program activities, as determined by the ICC.
2. Follow all staff directives.
3. Cease any and all STG related behavior including but not limited to; planning, organizing, recruiting, promoting, training, communicating in code, using known STG symbolism, participating in and/or communicating STG activities.

ICC reviews will be completed after each 180 day period in the SDP. You will receive a 6-month accelerated advancement to Step 3 if ICC determines you have completed all required program components and not been found guilty of STG disciplinary behavior.

You must participate in the SDP in order to progress through each successive step; however, failure to participate will not result in the issuance of a Rules Violation Report. Information gleaned through your participation in programming activities is not intended to be used to validate behavior of an inmate, initiate an investigation into STG related behavior, or identify/corroborate the involvement of other STG participants. However, information specifically intended to convey to staff the occurrence of past, present, or future STG violence, threats of violence, or disruption to institution security, may be considered for further use.

If you choose not to progress through any step of the SDP, you may be returned to a previous step until you demonstrate both a desire and appropriate behavior for movement into the next step. Failure to maintain acceptable behavior and/or refrain from STG activity may result in the loss of privileges and/or regression to a previous step.

If ICC determines that you have declined to participate in the SDP, you will be allowed to plateau in Step 1 or 2, in accordance with your behavior. Once you have plateaued, you will remain in the observation phase until you provide a written request to continue progression in the SDP. If at any time that you want to begin participating in the SDP, notify your assigned caseworker who will schedule your appearance before the ICC within 30 days.

If ICC, thereafter, determines that your case factors, medical need, or mental health status have significantly changed and warrant review for potential alternate housing, ICC may refer the case to the Department Review Board (DRB) for further SDP/SHU housing consideration.

You may choose to enter the Debriefing Process at any time during your participation in the SDP, by notifying your counselor, Investigative Services Unit, or SDP staff.

You are advised to release to prison staff any materials in your possession which may be considered STG related. Should any of these items be found in your property at any time during your participation in the SDP, they will be viewed as evidence of continued STG behavior or other misconduct. You will be subject to appropriate disciplinary action.

Document issued to inmate by:

Signature of Staff Witness  Printed Staff Name  Date Issued to Inmate

DATE:  (STEP DOWN PROGRAM NOTICE OF EXPECTATIONS – STEP 2)

*************************************************************************
STEP DOWN PROGRAM NOTICE OF EXPECTATIONS (STEP 3)

You have been approved by the Institution Classification Committee (ICC) for transition into Step 3 of the Security Threat Group (STG) Step Down Program (SDP). Transition into Step 3 will generally require an institutional transfer. This step will allow you to continue to demonstrate to CDCR staff that you are not involved with the STG. Movement into this step will afford you an opportunity to achieve expanded privileges (see attachment) and facilitate your ultimate return to a general population facility.

Step 3 of the SDP will be administered from a Security Housing Unit (SHU). Meals will be consumed in your assigned cell. Showers will be allowed three times per week (except in situations of institutional emergencies). Movement to showers will be unrestrained and unescorted, with your cell partner. Programming during this phase may be conducted in your assigned cell and program areas utilizing individual treatment modules.

As a participant in Step 3 of this program, you are required to meet the following expectations:

1. Comply with double cell housing policy.
2. Attend, participate in, and successfully complete all mandated educational instruction and program activities as determined by the ICC.
3. Follow all staff directives.
4. Interact with offenders from other STGs in a cooperative and non-threatening manner.
5. Cease any and all STG related behavior including but not limited to: planning, organizing, recruiting, promoting, training, communicating in code, using known STG symbolism, participating in and/or communicating STG activities.

ICC reviews will be completed after each 180 day period in the SDP. You will advance to Step 4 if the ICC determines you have completed all required program components and have not been found guilty of STG disciplinary behavior.

You must participate in the SDP in order to progress through each successive step; however, failure to participate will not result in the issuance of a Rules Violation Report. Information gleaned through your participation in programming activities is not intended to be used to validate behavior of an inmate, initiate an investigation into STG related behavior, or identify/corroboreate the involvement of other STG participants. However, information specifically intended to convey to staff the occurrence of past, present, or future STG violence, threats of violence, or disruption to institution security, may be considered for further use.

If you choose not to progress through any step of the SDP, you may be returned to a previous step until you demonstrate both a desire and appropriate behavior for movement into the next step. Failure to maintain acceptable behavior and/or refrain from STG activity may result in the loss of privileges and/or regression to a previous step.

If ICC determines that you have declined to participate in the SDP, you will be allowed to plateau in Step 1 or 2, in accordance with your behavior. Once you have plateaued, you will remain in the observation phase until you provide a written request to continue progression in the SDP. If at any time that you want to begin participating in the SDP, notify your assigned caseworker who will schedule your appearance before the ICC within 30 days.

If ICC, thereafter, determines that your case factors, medical need, or mental health status have significantly changed and warrant review for potential alternate housing, ICC may refer the case to the Department Review Board (DRB) for further SDP/SHU housing consideration.

You may choose to enter the Debriefing Process at any time during your participation in the SDP, by notifying your counselor, Investigative Services Unit, or SDP staff.

You are advised to release to prison staff any materials in your possession which may be considered STG related. Should any of these items be found in your property at any time during your participation in the SDP, they will be viewed as evidence of continued STG behavior or other misconduct. You will be subject to appropriate disciplinary action.

Document issued to inmate by:

Signature of Staff Witness  Printed Name of Staff  Date Served to Inmate

DATE:  (STEP DOWN PROGRAM NOTICE OF EXPECTATIONS – STEP 3)

************************************************************************************************************
STEP DOWN PROGRAM NOTICE OF EXPECTATIONS (STEP 4)

You have been approved by the Institution Classification Committee (ICC) for transition into Step 4 of the Security Threat Group (STG) Step Down Program (SDP). This step will allow you to continue to demonstrate to CDCR staff that you are not involved with the STG. Movement into this step will afford you an opportunity to achieve expanded privileges (see attachment) and facilitate your ultimate return to a general population facility.

Step 4 of the SDP will be administered from a Security Housing Unit (SHU). Meals will be consumed either in your assigned cell or on the dayroom floor unrestrained with other SDP offenders. Showers will be allowed three times per week (except in situations of institutional emergencies). Movement to showers will be unrestrained and unescorted, with your cell partner. Programming during this phase will be conducted in your assigned cell, in a program area, utilizing individual treatment modules, and/or with small groups of unrestrained offenders in a dayroom setting. Work assignment within the housing units may be allowed.

As a participant in Step 4 of this program, you are required to meet the following expectations:

1. Comply with double cell housing policy.
2. Attend, participate in, and successfully complete all mandated educational instruction and program activities as determined by the ICC.
3. Follow all staff directives.
4. Interact with offenders from other STGs in a cooperative and non-threatening manner.
5. Cease any and all STG related behavior including but not limited to: planning, organizing, recruiting, promoting, training, communicating in code, using known STG symbols, participating in and/or communicating STG activities.

ICC reviews will be completed after each 90 day period in the SDP. You will advance to Step 5 if ICC determines you have completed all required program components and have not been found guilty of STG disciplinary behavior.

You must participate in the SDP in order to progress through each successive step; however, failure to participate will not result in the issuance of a Rules Violation Report. Information gleaned through your participation in programming activities is not intended to be used to validate behavior of an inmate, initiate an investigation into STG related behavior, or identify/corrobore the involvement of other STG participants. However, information specifically intended to convey to staff the occurrence of past, present, or future STG violence, threats of violence, or disruption to institution security, may be considered for further use.

If you choose not to progress through any step of the SDP, you may be returned to a previous step until you demonstrate both a desire and appropriate behavior for movement into the next step. Failure to maintain acceptable behavior and/or refrain from STG activity may result in the loss of privileges and/or regression to a previous step.

If ICC determines that you have declined to participate in the SDP, you will be allowed to plateau in Step 1 or 2, in accordance with your behavior. Once you have plateaued, you will remain in the observation phase until you provide a written request to continue progression in the SDP. If at any time that you want to begin participating in the SDP, notify your assigned caseworker who will schedule your appearance before the ICC within 30 days.

If ICC, thereafter, determines that your case factors, medical need, or mental health status have significantly changed and warrant review for potential alternate housing, ICC may refer the case to the Department Review Board (DRB) for further SDP/SHU housing consideration.

You may choose to enter the Debriefing Process at any time during your participation in the SDP, by notifying your counselor, Investigative Services Unit, or SDP staff.

You are advised to release to prison staff any materials in your possession which may be considered STG related. Should any of these items be found in your property at any time during your participation in the SDP, they will be viewed as evidence of continued STG behavior or other misconduct. You will be subject to appropriate disciplinary action.

Document issued to inmate by:

---

Signature of staff witness: ______________________________
Printed Name of Staff: ______________________________
Date Served to Inmate: ______________________________

DATE: ______________________________

(STEP DOWN PROGRAM NOTICE OF EXPECTATIONS – STEP 4)

******************************************************************************************************************************************
NOTICE OF CONDITIONS OF MONITORED STATUS (Step 5)

You are being scheduled for review by the Institution Classification Committee as a validated Monitored Security Threat Group (STG) Member/Associate. Based on your newly designated monitored status, ICC may elect to conditionally release you from a Security Housing Unit (SHU) to a general population facility for Step 5 of the Step Down Program (SDP).

You are hereby notified that as a condition of your release to the general population, you will be required to submit to a photograph of all tattoos and body markings and you must refrain from participation in any STG behavior or patterned/repetitive association with any STG affiliates.

Participation in STG related behavior or activities as described on the STG Behavior Disciplinary Matrix shall be addressed through the disciplinary process and reviewed by a classification committee for removal from monitored status and return to the SHU Step Down Program. In addition, intelligence received that has been corroborated demonstrating continued participation in the STG will be reviewed by a classification committee for removal from monitored status and return to the SHU Step Down Program. It would be to your benefit to familiarize yourself with the STG policy which can be found in DOM Chapter 5, Article 22 and the California Code of Regulations, Title 15, Sections 3000, 3023, 3314, 3315, 3323, 3341.5 and 3378.

You are advised to release to prison staff any materials in your property that do not meet the above conditions of your release to the general population. Should any of these items be found in your property at any time, they will be viewed as evidence of active STG behavior or other misconduct. You will be subject to appropriate disciplinary action.

Upon your release to the general population, you are required to meet the following expectations:

1. Comply with double cell housing policy.
2. Comply with random urinalysis testing for 1 year.
3. Participate in classification actions.
4. Follow staff directives, as related to the STG policy.
5. Remain disciplinary free adhering to all departmental rules and regulations.

You may choose to enter the Debriefing Process at any time, by notifying your counselor, Investigative Services Unit, or housing unit staff.

Signature of Staff Witness   Printed Name of Staff   Date Served to Inmate

DATE: (NOTICE OF CONDITIONS OF MONITORED STATUS – Step 5)
On [ ] an investigation was initiated into your suspected membership and/or association with a Security Threat Group (STG) I and/or STG II recognized by the California Department of Corrections and Rehabilitation as defined in Section 3000 of the California Code of Regulations. The investigation revealed sufficient evidence to identify you as a:

☐ MEMBER  ☐ ASSOCIATE  ☐ DROPOUT  OF THE  ☐ STG I: [ ]  ☐ STG II: [ ]

An interview relative to this investigation and suspected STG affiliation shall be held not less than 72-hours from the date of this notification unless otherwise requested by you in writing. During this interview, you will be given an opportunity to be heard and have your opinion documented relative to the evidence considered in this validation. Written rebuttals may be submitted at the time of the interview.

BE ADVISED: ANY STG RELATED BEHAVIOR WHICH OCCURS AFTER THE CONCLUSION OF THE STG VALIDATION INTERVIEW WILL BE DOCUMENTED, AND IF YOU ARE FOUND TO BE ACCOUNTABLE FOR THE BEHAVIOR, IT WILL BE CONSIDERED BY THE INSTITUTION CLASSIFICATION COMMITTEE FOR PLACEMENT IN THE STEP DOWN PROGRAM.

DISCLOSURE OF EVIDENCE
The following source documents were considered in your identification as a member or associate of the aforementioned STG. All confidential documents shall be disclosed via CDC Form 1030, Confidential Information Disclosure Form. Each source document shall be identified by type (I.E. CDC 128-B, CDC 115, Confidential Report, etc.) and date of the report. Staff shall note which item is being used as the direct link, adjacent to the source item. The identity of the individual being used as the direct link shall be disclosed unless it compromises the safety of the source.

☐ SYMBOLS:
☐ WRITTEN MATERIALS (Not in Possession):
☐ ASSOCIATION:
☐ INFORMANTS:
☐ DEBRIEF REPORTS:
☐ WRITTEN MATERIALS (Personal Possession):
☐ PHOTOGRAPHS:
☐ STAFF INFORMATION:
☐ OTHER AGENCIES:
☐ VISITORS:
☐ COMMUNICATIONS:
☐ SELF ADMISSION:
☐ OFFENSES: (STG RELATED):
☐ TATTOOS AND/OR BODY MARKINGS:
☐ LEGAL DOCUMENTS:

Copies of all documents and/or disclosures were provided to the inmate as required per CCR Section 3378 and Departmental Operations Manual (DOM) by:

<table>
<thead>
<tr>
<th>NAME</th>
<th>CLASSIFICATION</th>
<th>DATE</th>
<th>TIME</th>
</tr>
</thead>
</table>

Additional comments: (Use this space to record any comments made by the inmate at the time of disclosure)

Orig: C-File
cc: OCS, STG Investigator, Inmate

SECURITY THREAT GROUP VALIDATION

DATE: [ ]

EVIDENCE DISCLOSURE AND INTERVIEW NOTIFICATION
<table>
<thead>
<tr>
<th>STATE OF CALIFORNIA</th>
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<th>CLASSIFICATION CHRONO</th>
<th>DEPARTMENT OF CORRECTIONS</th>
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<td>OTHER COMMITTEE ACTIONS</td>
<td>HOUSING</td>
<td>RECOMMENDATION TO CSR</td>
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| INSTITUTION | CLASSIFICATION | DATE | SIGNATURE |

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</tbody>
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| INSTITUTION | CLASSIFICATION | DATE | SIGNATURE |
NAME and NUMBER:

On _______, the Security Threat Group (STG) Investigations Unit initiated an investigation of Inmate _________ (CDC #) regarding his current STG status. (Hereafter _________ will be referred to as SUBJECT) Per the California Code of Regulations (CCR) Title 15, Section 3378, there is sufficient evidence to refer SUBJECT to the STG Unit Classification Committee for consideration of validation as Member/Associate of the ___________________ STG.

The following documents are being submitted relative to this investigation:

1) Source Document
2) 
3) Source Document
4) Source Document

DISCLOSURE AND NOTIFICATION

Mental Health Assessment: Subject is/is not a participant in the MHSDS. Subject's reading level is ___ as indicated on _________ (DECS, TABE). Staff assistant was/was not assigned. If assigned Staff Assistant: Staff member's name/title, met with Subject at least 24 hours prior to the source item interview and was/was not present during the interview. Effective communication was achieved by _________ (how E/C was achieved) _________.

On _________, at _________ hours SUBJECT was disclosed all information being utilized in the validation process. If applicable, SUBJECT was disclosed confidential information via CDCR Form 1030, Confidential Information Disclosure Form. SUBJECT was further advised an interview regarding the information obtained during this investigation would be held not less than 72-hours from the time of the disclosure.

INTERVIEW

On _________, at approximately _________ hours SUBJECT was interviewed regarding the documents utilized in the validation package. SUBJECT provided/did not provide a written response addressing all documents used in the review process.

SUBJECT provided the following verbal information related to the identified source items. (Investigator to provide summary of validation interview).

Subject was informed that any STG related behavior which occurs after the conclusion of the STG validation interview will be documented, and if he/she is determined to be accountable for the behavior, it will be considered by the Institution Classification Committee for possible placement in the Step Down Program.

CONCLUSION

Upon reviewing the written response and after a thorough review of the evidence, it was determined SUBJECT'S claims have/have no merit and warrant/do not warrant further investigation.

The STG Investigator has concluded there is/is not sufficient evidence to support referral to OCS and the STG Unit Classification Committee for consideration of validation as a member/associate of the ___________________ STG.

The aforementioned information will be forwarded to the Office of Correctional Safety for review of SUBJECT'S STG validation.

__________________________
STG Investigator

__________________________
STG Lieutenant

Orig: C-File
cc: OCS
     Investigative Unit
     Inmate

DATE: 

SECURITY THREAT GROUP VALIDATION
CHRONO