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1 UNITED STATES DISTRICT COURT  
1 SOUTHERN DISTRICT OF NEW YORK

2 -----x

3 DAVID FLOYD, et al.,

4 Plaintiffs,

5 v.

08 CV 1034(SAS)

6 CITY OF NEW YORK, et al.,

7 Defendants.

8 -----x

New York, N.Y.  
March 20, 2013  
10:00 a.m.

10 Before:

11 HON. SHIRA A. SCHEINDLIN,

12 District Judge

13 APPEARANCES

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1 (In open court)

2 THE COURT: What's the problem with the audiotapes?

3 MS. COOKE: The plaintiffs have provided to us just a  
4 few minutes ago transcripts of audiotapes that they had  
5 prepared and available as, according to them, this past  
6 weekend. Some of the transcripts are for audiotapes that are  
7 going to be used with the witness who had began testifying  
8 yesterday, Officer Polanco.

9 We haven't had an opportunity to review the accuracy  
10 of these transcripts. There were inaudible portions of the  
11 audio. I'm not sure how the transcript has been able to  
12 transcribe inaudibles or not.

13 So that the defendants' position is that these are  
14 belated. It's prejudiced us at this point with respect to the  
15 cross-examination of the witness who is testifying.

16 THE COURT: Then don't use them.

17 I'll take them after you've had a chance to verify  
18 them.

19 We'll hear the tape without the transcript. There is  
20 no jury. I won't have the benefit of the transcript. I'll get  
21 the transcript after you compare it to the tape.

22 MR. MOORE: Just so you understand, we had a court  
23 reporter sit down and transcribe them.

24 THE COURT: That's good but she just got it.

25 I would have loved it. But I can't do it because they

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1 haven't had the chance to compare it. It's that simple.

2 As soon as you've had the chance, I'd like to use it  
3 if you find it accurate. It will make it easier for me. I  
4 have difficulty understanding the tape.

5 Now I came in hoping to talk about Professor  
6 Silverman. I read the affidavit declaration that he wrote  
7 yesterday dated March 18. I found it, generally speaking,  
8 offensive. Essentially he was trying to tell me what to do.  
9 He doesn't like my rulings. They're wrong for this reason that  
10 reason. That's really not his place. Not his business. He's  
11 not a lawyer. Not a judge. And the whole tone of the thing  
12 was offensive.

13 The bottomline, however, is I asked you -- I found the  
14 transcript of the last conference and I asked you to explain  
15 the burden. That's all. I didn't really want him to tell me  
16 what to do, what's relevant and what's not relevant. I just  
17 wanted for you to tell me the burden. Remember that.

18 I looked at the transcript. I said get back to me and  
19 tell me what's so burdensome.

20 I received an e-mail that you sent to my clerk last  
21 night which said: Just to be clear the only outstanding data  
22 issue between the parties is the Ross survey response data for  
23 the responses to questions 1C, D and F in the 2008 survey, and  
24 questions 2D and E in the 2012 survey. And that's right. I  
25 remember that. There was five questions that I said at the end

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1 of Thursday's conference that the defense could have the raw  
2 data.

3 I really found his arguments not persuasive. The  
4 notion that nobody is going to publish this raw data that's  
5 turned over to the defense under a confidentiality order is  
6 nonsense. This is going to make it more publishable because  
7 it's going to be testified to at a high profile trial. So  
8 everybody is going to want his article. That's nonsense. Not  
9 going to prevent his getting an academic publication. I don't  
10 believe it for a minute.

11 Now, I asked you about burden. Tell me about burden.

12 MR. CHARNEY: Your Honor, I think the declaration  
13 refers to the fact that the data is actually in the possession  
14 of Professor --

15 THE COURT: That's true. It did mention that.

16 MR. CHARNEY: And Professor Eterno, it's our  
17 understanding, is away this week at a conference in Texas.  
18 Professor Silverman doesn't have the data. That's just the  
19 bottomline.

20 We can make efforts when Professor Eterno returns,  
21 obviously, to get it as soon as possible.

22 But, again, we're still at a little bit of a loss as  
23 to why that can prevent defendants from deposing him or  
24 cross-examining him to the fullest extent on all of his methods  
25 and all of his results and all his --

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1 THE COURT: I don't think it should prevent the  
2 deposition.

3 Did you talk to Silverman?

4 MR. CHARNEY: We spoke to defendants. We're good to  
5 go on Monday morning for the deposition so that can -- we're  
6 ready to proceed.

7 THE COURT: I think you have to. I think this request  
8 is a bit of a collateral matter. I allowed it. What I didn't  
9 like in his declaration was his telling me I don't intend to  
10 testify about that. He doesn't get a choice. Once he's on the  
11 stand, if something is relevant and the subject of  
12 cross-examination, he has to testify about it. That's the  
13 bottomline. He doesn't get to choose what he testifies to.

14 So he may indeed end up testifying to it because he  
15 may be asked about it. But I can't postpone his deposition.  
16 It has to go forward Monday.

17 As far as getting this raw data to the defendant, it  
18 is under a confidentiality order, is it not?

19 MR. CHARNEY: Yes.

20 THE COURT: So you can't give it away to anybody else.  
21 You can't give it to other academics or journals or anybody.  
22 Right.

23 And it may never be admitted at a public trial. So  
24 I'm not concerned about that. You may use it to do your own  
25 analyses or run your own regressions or whatever, but I doubt

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1 that it's going to be an exhibit. I don't believe his raw data  
2 is going to be an exhibit that's going to somehow leak the  
3 article before it's printed. So I'm not concerned about that  
4 in any event.

5 So the order stands, or at least you have to still  
6 explain to me the burden, which you haven't, but maybe because  
7 you haven't had a chance to talk to Dr. Eterno.

8 This may, in fact, when Silverman testifies, I don't  
9 know when you plan, but since I've ordered it turned over, it  
10 has to be turned over.

11 So when is he supposed to testify?

12 MR. CHARNEY: We were hoping to put him on next week,  
13 Wednesday, Thursday, or Friday. But I guess we have to find  
14 out when Professor Eterno is going to return.

15 THE COURT: I don't know that it requires him. He may  
16 be able to instruct an assistant or Dr. Silverman as to how to  
17 retrieve this stuff. I still thought it sounded from the last  
18 oral argument -- I did review this transcript -- that it  
19 wouldn't be hard to gather. So I don't know that it requires  
20 him coming back. But you need to look into it. Because I've  
21 ordered it, and I don't change my mind.

22 The deposition has to go forward. I didn't find  
23 anything in his declaration particularly persuasive other than  
24 his views as to what's relevant or not, what he's going to  
25 testify to or not, the ruling he would make if he were a judge,

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1 etc.

2 Are we ready for --

3 MR. CHARNEY: Yes, Officer Polanco, on direct  
4 examination.

5 MR. MOORE: Judge, did you decide what we're doing on  
6 the 29th?

7 THE COURT: No. I wanted to play it by ear, see where  
8 we're up to.

9 MR. MOORE: How long were you going to play it by ear?

10 THE COURT: We're finding out who can't be here, and  
11 what witnesses it affects. It may be a particular witness that  
12 people can't be here, no problem.

13 MR. MOORE: Just about scheduling.

14 THE COURT: That's right. So talking to each other  
15 might help. Because those who can't be here may not need to be  
16 here that day. I don't know.

17 You've got big teams. Both sides have big teams. Big  
18 teams. It may be that, to use the day or half the day --

19 MR. MOORE: Okay.

20 THE COURT: You can adjust who it is.

21 MR. MOORE: It's no shorter than a half day, right?

22 THE COURT: No shorter than a half day.

23 MR. MOORE: No longer than a half day?

24 THE COURT: Correct. The worst case, we would stop at  
25 1:00 for everybody's sake.

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1 But check it out. If you can't get a witness for whom  
2 certain people are willing to miss something like that, if you  
3 can't get that kind of witness, then we can't go. But I'm  
4 hoping we can because I have so many down days coming. I know.  
5 It's a long trial. I'm still trying to use it. But, as I  
6 said, I'm not going to interfere with anybody's religious  
7 practices.

8 ADHYL POLANCO, resumed.

9 DIRECT EXAMINATION CONTINUED

10 BY MR. CHARNEY:

11 Q. Good morning again, Officer Polanco.

12 A. Good morning.

13 Q. I just want to remind you that you're still under oath.

14 A. I understand.

15 THE COURT: Would you all speak up. Everybody.  
16 Everybody.

17 Q. Officer Polanco yesterday you were looking at the second  
18 page of Exhibit 419 and it was Bates number NYC\_218924.

19 Can I approach the witness and give him a copy?

20 THE COURT: You don't need to ask.

21 MR. CHARNEY: Thank you.

22 Q. Looking at the second page of this.

23 Do you see the categories of information on this  
24 document. I believe yesterday you testified that you hand this  
25 form, the monthly officer activity form in to your supervisor

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1 every month; is that right?

2 A. Into the immediate supervisor, yes.

3 Q. And what information, again, if you can tell us do you  
4 record on this form?

5 A. Arrests, radio runs, violation, can be parking, moving,  
6 criminal.

7 Q. What information about an arrest would you put on this  
8 form?

9 A. How many, whether it's a felony or it's a misdemeanor.

10 Q. Does this form include any information about the underlying  
11 circumstances of an arrest that you do?

12 A. No.

13 Q. Does this form include any information about the underlying  
14 circumstances of a stop and frisk that you conduct?

15 A. No.

16 Q. So -- I think you testified yesterday that you -- your  
17 supervisor does discuss this information on this form with you  
18 from time to time?

19 A. Yes.

20 Q. When he or she discusses the information on this form with  
21 you, does he discuss with you the underlying circumstances of  
22 any of the arrests that are notated on this form?

23 A. No.

24 Q. Does he or she discuss with you the underlying  
25 circumstances of any stops and frisks that are notated on this

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1 form?

2 A. No.

3 Q. Officer Polanco are you familiar with the term checkpoint?

4 A. Yes.

5 Q. What does that term mean to you?

6 A. Checkpoint is -- I'm sorry.

7 Q. Go ahead.

8 A. Checkpoint is a -- they will assign supposedly -- like I  
9 say, what's in writing and what we do is two very different  
10 things.

11           Supposedly, supposed to have a van with constant  
12 flares. You're supposed to have a spot car or a escape car.  
13 You're supposed to have a spotter. And I believe at least  
14 three or four officers.

15           That's how it's written. How we do it is very  
16 different.

17 Q. How do you do it? When you say how you do it, are you  
18 talking about when you were in the 41st precinct?

19 A. Yes.

20 Q. How did you do it when you were in the 41st precinct?

21 A. One of -- going back to the last question. You also  
22 supposed to set out a pattern on which vehicle you're stopping.  
23 To prevent profiling or anything like that, you're supposed to,  
24 for example, stop every third car or every fourth car. That  
25 way you're not stopping individual based on what they look.

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1 You're just stopping every second or third car. That's how  
2 it's written.

3 How we do it, basically we get told by the lieutenant  
4 or the sergeant: You need X amount of numbers. I don't care  
5 how you get them, get them.

6 THE COURT: Go ahead.

7 THE WITNESS: Sometime they put two cops. Sometime  
8 they put three.

9 I don't remember ever seeing constant flares that  
10 they're supposed to be. Sometime we have escape car. Sometime  
11 we don't. And definitely we almost never follow the pattern of  
12 stopping every third vehicle. We are free to stop whoever we  
13 want. And summons whoever we want. Just to get the numbers  
14 that they want.

15 Q. So are these checkpoints vehicle checkpoints?

16 A. Vehicle checkpoint, yes.

17 Q. And what is the -- according to how you did it in the 41st  
18 precinct, what was the purpose of the checkpoint according to  
19 your supervisors?

20 A. According to them would be to high incident area.

21 MS. COOKE: Objection, your Honor. The witness is  
22 referring to speculative testimony about what the supervisor's  
23 purpose was.

24 THE COURT: No. He said what was the purpose of the  
25 checkpoint according to your supervisor.

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1 Were you fold?

2 THE WITNESS: Yes.

3 THE COURT: Go ahead.

4 THE WITNESS: We were told that they needed the  
5 numbers. That's what they said.

6 Q. Did they tell you what they meant by numbers?

7 A. Summonses or arrests.

8 Q. So -- summonses or arrest. Okay.

9 And how often did you -- did you work or did you  
10 perform any checkpoints during the time that you were a patrol  
11 officer in the 41st precinct?

12 A. Yes, I did.

13 Q. How often did you do it, if you recall?

14 A. At the beginning almost never did it for the first two or  
15 three years. After I reported what I reported to internal  
16 affairs, it was a daily thing.

17 Q. So let's break that down a little bit. You said after you  
18 reported what you reported to internal affairs. What did you  
19 report to internal affairs?

20 A. Back in 2009 I was extremely bothered with what I was  
21 seeing out there. The racial profiling, the arresting people  
22 for no reason, being called to scenes that I did not observe a  
23 violation and being forced to write a summons that I didn't  
24 observe.

25 Complaint reports manipulation. Basically a robbery

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1 would come in and we would be told not to take it for robbery  
2 because the numbers for the week will be too high in order to  
3 keep crime as low as they say it is. And that's what they told  
4 us.

5 Q. So you said that you were bothered by these problems. And  
6 so you reported something to internal affairs?

7 A. Yes, I did.

8 Q. When did you do that?

9 A. I first did it informally. It was about September 2009. I  
10 wrote a letter and I put it in the integrity control officer,  
11 ICO, door. And the letter I believe we have -- I don't know if  
12 you guys have a copy of -- basically expressing my concern  
13 about minorities in the community and how we treating them.  
14 How I grew up in Washington Heights. I know what it's like to  
15 grow up in the hood.

16 Basically that not everybody who lives in a high crime  
17 area is a criminal. And we were handcuffing kids for no  
18 reason. They would just tell us handcuff them.

19 And boss, why are we handcuffing them?

20 Just handcuff them. We'll make up the charge later.

21 Some of those kids were not doing anything. Some of  
22 those kids were just walking home. Some of those kids were  
23 just walking from school.

24 I remember one incident where one kid -- and I  
25 reported this -- they stopped his brother. He was 13. And he

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1 was waiting for him from school at the corner to bring him  
2 home.

3 When he came to us, the officer -- Officer, what's  
4 wrong with my little brother? Was he acting out?

5 He wind up with handcuffs too. For simply asking what  
6 was going on with his brother.

7 Q. Do you remember approximately when this incident occurred?

8 A. 2009. I did report it to internal affairs.

9 Q. Know what part of the year it was?

10 A. No. I don't recall.

11 Q. And you said you observed this incident.

12 A. I was called to the scene, yes. I was actually the one  
13 that transported a group of the kids back to the precinct.

14 Q. And are you the one that spoke to the brother about this  
15 incident?

16 A. Yes. He was in the back of my car when he -- when he told  
17 me exactly what he did and what happened.

18 Q. So you reported this incident to internal affairs?

19 A. That and many others, yes.

20 Q. What other incidents did you report to internal affairs?

21 A. I reported that I was being forced to write summonses by  
22 the commanding officer and Lieutenant Valenzano.

23 I reported to them specifically that on one occasion I  
24 was called to a scene by the captain and I was instructed to  
25 write a summons for a person for no dog license. I reported to

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1 internal affairs that under intimidation we have to write these  
2 summonses. But my problem was I did not observe a dog. And I  
3 was bothered by it. And I reported it.

4 Q. Now you said you were bothered by it. Did you actually  
5 write the summons?

6 A. I had to. I had no choice.

7 Q. Why do you say you had no choice?

8 A. He was the commanding officer. We had been spoken in roll  
9 call, as we're going to hear the audios, on what to do when our  
10 supervisor comes and tells us when to do something.

11 He is the captain. He knows that I'm not supposed to  
12 be writing a summons for something I didn't observe. Why is he  
13 calling me to the scene? And why when I get to the scene he's  
14 telling me to write a summons?

15 Q. When did you report these concerns -- first report these  
16 concerns to internal affairs?

17 A. It was in September of 2009. Informally.

18 Then I make a phonecall in November, I believe. I  
19 made a call to internal affairs with the same allegations,  
20 telling that the way we are being treated in the 41st precinct,  
21 the way they were manipulating the crime statistics, the way  
22 they were treating youth and minorities in the 41st precinct.  
23 And that was in November. And -- yes. I'm sorry.

24 Q. So the first time you contacted them in September how did  
25 you contact them?

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1 A. It was a letter. An anonymous letter that I wrote. I was  
2 afraid. It's not that easy to report corruption. This  
3 precinct -- this police department is not as black and white as  
4 they paint it. It's not that easy to report corruption.

5 Q. Why do you say it's not that easy?

6 A. You seen what happened to Agent Schoolcraft.

7 MS. COOKE: Objection, your Honor. The witness is  
8 going to be testifying about information that's been precluded  
9 from this case by order of the court with respect to Officer  
10 Schoolcraft.

11 THE COURT: I don't know what he meant by what  
12 happened to him.

13 Is he fired?

14 THE WITNESS: Officer Schoolcraft was put in a psych  
15 ward for three days.

16 THE COURT: The discipline that was imposed can come  
17 in. I'm not trying the case as to why it happened, but I can  
18 learn what happened.

19 So I'll take that evidence. He was put on the  
20 sidewalk for three days. Go ahead.

21 THE WITNESS: Psych ward.

22 THE COURT: Side what?

23 THE WITNESS: In the hospital, a mental --

24 MR. MOORE: Psych ward, Judge.

25 THE COURT: Psych ward.

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1 MR. CHARNEY: A psychiatric ward.

2 THE COURT: I'm sorry. He was assigned to work there?

3 MR. CHARNEY: He was committed to one.

4 THE COURT: Then I'm not taking it. I have no idea  
5 why he was committed to a psychiatric ward. So I'm striking  
6 that from the record. I thought it was a reassignment that you  
7 were talking about.

8 MS. COOKE: Thank you, your Honor.

9 Q. I'm sorry. Other than -- let's put Officer Schoolcraft to  
10 the side.

11 Is there any other reason why you were afraid to  
12 report these concerns that you had to IAB?

13 A. Retaliation. I definitely knew that my union was not going  
14 to back me up.

15 Q. And so that -- you sent an anonymous letter.

16 Now the second time you contacted them you said was  
17 November 2009?

18 A. Yeah, I believe in November 2009.

19 Q. And actually going back in September on the letter, did you  
20 address the letter to anyone, any specific person?

21 A. No. I -- it was a letter with no date, no name, no  
22 anything. I was afraid that if they found out it was me that  
23 they were going to retaliate against me.

24 Q. So you didn't identify yourself in the letter.

25 Did you identify what precinct you worked in in the  
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1 letter?

2 A. The South Bronx, yes. Yes, I did. The 41st precinct. I  
3 did, yes.

4 Q. Between September and the second time you contacted them in  
5 November, did anyone from IAB contact you?

6 A. Not necessarily. I don't recall anybody contacting me.  
7 No.

8 Q. And then in November you said you contacted them a second  
9 time. How did you contact them?

10 A. I made a phonecall actually.

11 Q. Did you call someone specific?

12 A. I called the IAB number they gave us.

13 Q. Did you speak to anybody?

14 A. I spoke to an investigator, yes.

15 Q. Do you remember the investigator's name?

16 A. No, I don't.

17 Q. After that phonecall in November did you ever communicate  
18 with IAB again about these concerns?

19 A. After my suspension on December 12, yes.

20 Q. We'll come back to that.

21 Now, in September when you raised concerns with IAB in  
22 your letter did you mention UF 250s at all in that letter?

23 A. Yes.

24 Q. What is a UF 250 again?

25 A. Stop, question, and frisk.

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1 Q. What specifically did you say in the letter about the  
2 UF 250s?

3 A. That we are illegally stopping and we are illegally  
4 searching young Blacks and Hispanic for no reason.

5 Q. Did you give any specifics as to why you believed that was  
6 happening?

7 A. Yes.

8 Q. What did you say specifically?

9 A. It was CompStat.

10 Q. What do you mean by that?

11 A. It's the numeric system that the police department have to  
12 hold police officers and supervisors accountable.

13 Q. In your view, based on your experience why do you think  
14 that CompStat is causing police officers to stop young Black  
15 and Latino people illegally?

16 A. Because they want numbers. They want numbers at all costs.  
17 They want to look good. Which is hard for me to understand.

18 They want to arrest -- they have arrested more people than ever  
19 but at the same time crime is more than ever.

20 Q. When you worked in the 41st precinct did you ever observe a  
21 41st precinct officer make a stop, question, and frisk that you  
22 believed did not -- was not based on reasonable suspicion?

23 A. Many times.

24 Q. How many times do you think?

25 A. Many times. More than 10. I would say more than 20 times.

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1 Q. Yesterday we talked about driving with a supervisor. Do  
2 you remember that?

3 A. Yes.

4 Q. And I believe you testified -- let me just make sure I  
5 don't misstate that. I can just read this is from yesterday's  
6 transcript, your Honor, if your Honor will permit me.

7 This is page 432, line 6.

8 "Q. Are you familiar with the term driving a sergeant or  
9 driving a supervisor?

10 "A. Yes.

11 "Q. What does that mean?

12 "A. That means that whatever it seems like it's going to be.  
13 You have no discretion whatsoever. If you're driving a  
14 supervisor, if you're driving the sergeant in the 41st precinct  
15 at that time, that means that they are going to drive around  
16 and they are going to stop people for you, or tell you to go  
17 250 this guy, go summons this guy, go arrest this guy. You  
18 have absolutely no discretion."

19 Do you recall testifying to that?

20 A. Yes, I do.

21 Q. So, when you mentioned "or tell you to go 250 this guy," is  
22 your recollection that when you drove a supervisor in the 41st  
23 precinct a supervisor directed you to conduct a stop and frisk  
24 on one or more occasions?

25 A. Yes.

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1 Q. And how many times do you recall that happening?

2 A. Many times. I don't have a number on the top of my --

3 Q. More than five?

4 A. More than five, yes, definitely.

5 THE COURT: Would the supervisor just point to  
6 somebody on the street and say go stop that guy?

7 THE WITNESS: Any group of black kids or Hispanic kids  
8 on the corner, in the park, or anywhere, just go grab, go 250  
9 them, go summons them.

10 Sometimes they will ask me to summons them. We will  
11 ask the supervisor why. And they will say unlawful assembly or  
12 something like that.

13 THE COURT: What?

14 THE WITNESS: Unlawful assembly. Because there's more  
15 than three of them on the corner. And we will write a  
16 summonses at the direction of the supervisor.

17 Q. Do you recall which supervisor or supervisors gave you  
18 these instructions?

19 A. Sergeant Rodriguez, Lieutenant Valenzano, and Captain  
20 McHugh, that was his special, that was what he did almost  
21 everyday.

22 Q. So, did you, in fact, yourself conduct any stop, question,  
23 an frisks in the 41st precinct that you did not personally  
24 believe you had a reasonable articulable suspicion for?

25 A. Yes. But I did not have the discretion not to do it.

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D3k9fl01

Polanco

1 Q. So were the only times you did it when -- I'm sorry.  
2 Strike that.

3 Why did you believe you didn't have the discretion?

4 A. Because I had the supervisor next to me. It wasn't a  
5 choice.

6 Q. Did you report any of these incidents to the internal  
7 affairs bureau?

8 A. Yes, I did.

9 Q. When did you do that?

10 A. I did it in the letter in September and I did it in  
11 November, again.

12 Q. Over the phone?

13 A. Over the phone.

14 Q. What specifically did you say in the letter about this  
15 practice of a supervisor instructing you to conduct a stop,  
16 question, and frisk?

17 A. I told them that they had no basis to stop kids, that kids  
18 were just walking up and down the street or coming home, coming  
19 home from school or going to school. With no reason  
20 whatsoever, they will direct us to stop them.

21 I also pointed out a summons. Sometimes they will ask  
22 to even summons them.

23 THE COURT: What did you do with the UF 250 if there  
24 was no basis? What was checked off?

25 THE WITNESS: They would tell us what to do basically.

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D3k9flol

Polanco

1 THE COURT: Check off what?

2 THE WITNESS: In the police department, your Honor,  
3 you do and then you articulate.

4 THE COURT: Right. So did you check off things like  
5 high crime area?

6 THE WITNESS: High crime area. Burglary pattern.  
7 Robbery pattern.

8 THE COURT: Furtive movement?

9 THE WITNESS: Furtive movement was the special of the  
10 day.

11 Anything that can be justified after the stop. Not  
12 before. After the stop.

13 I remember one of the recordings that I heard of  
14 another precinct. And I heard this, but I didn't record it.

15 MS. COOKE: Objection, your Honor.

16 THE COURT: Objection sustained as to what was said.

17 MR. CHARNEY: You can't talk about -- let's just talk  
18 about your precinct and your experience.

19 Q. Did you -- when you complained about this practice of being  
20 told to write 250s to IAB, did you give any specific examples  
21 that you can recall?

22 A. Yes. I believe I did.

23 Q. Can you remember any of those specific examples today?

24 A. Yes. Like the kids with the brother, that came out to  
25 check on his brother. I gave him the address, the date, even

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D3k9fl01 Polanco

1 the person who issued the summonses.

2 One of those kids was actually 13. And the commanding  
3 officer was upset that he couldn't give him a summons. So he  
4 held him in the squad and told the squad to hold him for a few  
5 hours to see if he had any information on guns or any drug  
6 activity in the community.

7 Q. So you said who was upset that they couldn't give him the  
8 summons?

9 A. The commanding officer.

10 Q. Was that Inspector McHugh?

11 A. Inspector McHugh.

12 Q. And why couldn't he give him the summons, if you recall?

13 A. Because he was 13.

14 Q. So why -- can you tell --

15 A. The law don't allow you to give a summons to somebody under  
16 16, I believe.

17 Q. So what did the commanding officer do instead?

18 A. He asked the squad, the detective squad to bring him  
19 upstairs and hold him there until he give him information about  
20 guns or drugs.

21 Q. And what would that information -- how would that make a  
22 difference?

23 A. He wanted -- basically wanted him to snitch or to give them  
24 information. That's what he wanted.

25 Q. Now, you mentioned I think yesterday that, when we were

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D3k9flol Polanco

- 1 talking about roll calls, that you had recorded some of the  
2 roll calls you attended in the 41st precinct?  
3 A. Yes, I did.  
4 Q. Approximately when did you do that?  
5 A. I believe I started in August, September. Went through  
6 maybe December of 2009.  
7 Q. And how did you record those roll calls?  
8 A. With a digital recorder, small digital recorder.  
9 Q. Do you know what kind of digital recorder it was?  
10 A. I got it at Radio Shack. It's one of those smaller ones.  
11 Q. Did you know how to operate it when you used it to record  
12 the roll calls?  
13 A. Yes, I did.  
14 Q. After you recorded the roll calls, what did you do with the  
15 recordings?  
16 A. I kept them in my locker for a while.  
17 Q. You kept them in your locker?  
18 A. For a while, yes.  
19 Q. And how did you store them?  
20 A. I just put it on the shelf on top of my locker.  
21 Q. By -- you mean the recording device?  
22 A. Yes.  
23 Q. At any point in time did you provide copies of the  
24 recordings to the police department?  
25 A. Yes, I did.

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D3k9fl01 Polanco

1 Q. When did you do that?

2 A. I believe it was in December. I believe it was in  
3 December.

4 Q. Of what year?

5 A. Of 2009.

6 Q. And who in the police department did you provide them to?

7 A. Internal affairs. There was -- at the time it was Sergeant  
8 Carter. And there was another female sergeant. I forgot her  
9 name.

10 Q. And in what form did you provide them to the New York  
11 police department?

12 A. They took the actual -- they took an actual recording, the  
13 recorder.

14 Q. So you gave them the actual recorder?

15 A. Yes.

16 Q. Did you alter the recordings in any way before you gave  
17 them to IAB?

18 A. No.

19 Q. I want to show the witness -- show the witness physically  
20 the CD that we have marked as Exhibit 284 and I believe  
21 defendants have a copy of it. I have an extra copy if you want  
22 to look at it. Can I show the witness?

23 THE COURT: Of course.

24 Q. Officer Polanco, you have a CD in front of you marked  
25 Exhibit 284. Have you ever seen this CD before?

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Polanco

1 A. Yes.

2 Q. When did you see it?

3 A. A couple of days ago we reviewed it.

4 Q. Did you open -- in other words did you listen to the  
5 contents of that CD?

6 A. Yes, I did.

7 Q. What was on the CD, if you can recall?

8 A. It was the recording I made on roll call. It was about  
9 three or four of them.

10 Q. And you listened to the entirety of those?

11 A. Yes, I did.

12 Q. Are those recordings true, accurate and complete copies of  
13 the recordings that you gave to the internal affairs bureau?

14 A. Absolutely, yes.

15 MR. CHARNEY: One minute, your Honor.

16 (Pause)

17 Q. Prior to giving your recording device to the internal  
18 affairs bureau, did you provide any other person access to that  
19 recorder that you know of?

20 A. Maybe my partner.

21 Q. When you say you provided him access, how did you provide  
22 him access?

23 A. I played it for him by the locker.

24 Q. Other than that, did you provide access to anybody else?

25 A. Maybe my lawyer. I had a lawyer back then. Yes, maybe.

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Polanco

1 Q. How did you provide access to your lawyer?

2 A. We actually review it together.

3 Q. I think I've already asked you this. I just want to make  
4 sure.

5 Did you alter these recordings in any way before you  
6 gave them to internal affairs?

7 A. No.

8 MR. CHARNEY: One more minute, your Honor. Sorry.  
9 (Pause)

10 Your Honor we would move for the admission of this  
11 exhibit into evidence.

12 THE COURT: Yes. Any --

13 MS. COOKE: No objection, your Honor.

14 THE COURT: Right. Received.

15 (Plaintiffs' Exhibit 284 received in evidence)

16 MR. CHARNEY: We would like to play portions of these  
17 recordings. We've provided the list of the portions to the  
18 defendant. We will obviously announce it before we play each  
19 portion, which track number and which portion.

20 So I believe we're going to start with track 1. And  
21 we're going to start at 3 minutes and 3 seconds and play it  
22 to --

23 (Audio recording played)

24 Not yet. We're going to start at 3 minutes and 3  
25 seconds and play to 8 minutes and 1 second.

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D3k9fl01

Polanco

1 I apologize, your Honor. Hopefully this will work  
2 better than yesterday.

3 (Audio recording played)

4 MR. CHARNEY: Your Honor, we think it might be easier  
5 if we play portions, stop it. We'll tell you where we stopped  
6 it. And then ask some questions and continue.

7 THE COURT: That's fine.

8 MR. CHARNEY: So we just played from 3:03 to -- where  
9 did we stop it -- I'm sorry, 3:03 to I guess 4:26.

10 Q. Officer Polanco did you hear the recording we just played?

11 A. Yes, I did.

12 Q. Do you know who the speaker on that recording is?

13 A. That is a union delegate from my squad.

14 Q. Do you know that delegate's name?

15 A. Officer Herran.

16 Q. Do you recall being present at that -- first of all, was  
17 that a roll call that you recorded?

18 A. Yes.

19 Q. Do you recall being present at that roll call?

20 A. Yes.

21 Q. Do you -- did you hear Officer Herran mention the term  
22 twenty and one in that recording?

23 A. Yes.

24 Q. Again, what is your understanding of twenty and one?

25 A. Twenty summonses and one arrest per month.

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D3k9fl01 Polanco

1 Q. Did you hear Officer Herran say anything about what the  
2 union -- in that recording about what the union's position was?

3 A. He said that the union was backing it up and the trustees  
4 were backing it up.

5 MR. CHARNEY: I'm sorry, your Honor.

6 (Pause)

7 Q. So we're actually going to go back 10 seconds to 4:16 and  
8 then play it through.

9 (Audio recording played)

10 Q. You heard that portion?

11 A. Yes.

12 Q. Did you hear Officer Herran say: I got to go in there and  
13 adjudicate -- and I apologize, your Honor -- fucking CDs on  
14 your activity?

15 A. Yes.

16 Q. Do you know what that means?

17 A. That he got to go adjudicate command discipline, if I may  
18 explain?

19 Q. Yes. Tell us what command discipline is.

20 A. Command discipline is when an officer get in trouble in the  
21 precinct level, not wearing black socks, being late, not  
22 showing up to court, any minor little infraction that a cop  
23 does, you get basically the command -- your supervisor can  
24 write you up for a CD, command discipline, where you can lose  
25 vacation days.

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D3k9fl01 Polanco

1 Q. And do you -- what did you understand Officer Herran to  
2 mean when he said: I got to go in there and adjudicate CDs on  
3 your activity?

4 A. Basically the penalty that they're going to take is going  
5 to depend on what activity we have.

6 Q. What do you mean by that?

7 A. That if we don't have the twenty and one, for example, and  
8 I get a CD for X reason, I didn't have black socks on, the  
9 penalty can be one and admonish, or it can be three or four  
10 vacation days, depending on my activity, how my activity was  
11 for the past basically three months.

12 Q. And how do you know that's, in fact, how they do it, other  
13 than what Officer Herran said?

14 A. That's how the supervisor, that's how all the cops told us  
15 they do it.

16 MR. CHARNEY: Continue.

17 (Audio recording played)

18 Q. Did you -- that was still Officer Herran?

19 A. Yes.

20 Q. Did you hear him referring to a sheet that a platoon  
21 commander has?

22 A. Yes.

23 Q. Do you know what he was referring to?

24 A. It's called the daily recap sheet. The platoon commander,  
25 lieutenant most of the time, he will, after every tour or in

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D3k9fl01

Polanco

1 the middle of the tour he will call us or he will come up to us  
2 and ask us what we have done for the day and he will write it  
3 down.

4 Q. When you say what you had done for the day, what do you  
5 mean by that?

6 A. Specifically he wanted to know how many 250s, how many C  
7 summonses, how many B summonses we have written or how many  
8 people we have arrested.

9 MS. COOKE: Can you just announce the time.

10 MR. CHARNEY: So that was 4:23 to 5:06.

11 So now we're going to start at 5:06 and keep going.

12 Thank you.

13 (Audio recording played)

14 MR. CHARNEY: We stopped at 6:30. So that was 5:06 to  
15 6:30.

16 Q. Officer Polanco you heard that portion?

17 A. Unfortunately, yes.

18 Q. And you heard mention of I believe was it a collar, your  
19 collar is one collar. What -- do you know what that means?

20 A. Yeah, that you have to make one arrest.

21 Q. And then I believe he said something about crush the -- and  
22 again pardon my language -- fucking city. Do you know what  
23 that means?

24 A. What he said was make it on your RDO. Crush the fucking  
25 city.

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D3k9fl01 Polanco

1 Q. What does that mean to you?

2 A. This is shameful that a cop is saying this.

3 When he's saying make it RDO. If I work Monday to  
4 Friday and Saturday will be my next day off, he's asking me to  
5 make an arrest on Friday night so whoever I arrest on Friday  
6 night for whatever reason, I'm guaranteed overtime the next day  
7 and the city will have to pay me time-and-a-half to come in.  
8 That's when my daily gets done, yes.

9 MR. CHARNEY: Continue now from 6:30.  
10 (Audio recording played)

11 Q. Did you on that portion -- you heard that portion?

12 A. Yes.

13 Q. Did you hear a reference again to a daily recap?

14 A. Yes.

15 MS. COOKE: Can I get the end of that.

16 MR. CHARNEY: 7:26.

17 Q. And what is the daily recap again?

18 A. This is the -- a sheet that a platoon commander has that he  
19 will go around to every officer under his platoon and ask him  
20 what he had done for the day.

21 Q. So we're at what -- where are we starting.

22 MR. AZMY: 7:26.

23 MR. CHARNEY: So we got about 30 seconds left. Start  
24 from 7:26.

25 (Audio recording played)

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D3k9fl01 Polanco

1 Q. Do you remember, Officer Polanco, approximately when this  
2 roll call took place?

3 A. I would say between September and November of 2009.

4 Q. I think the next -- we're going to play another portion  
5 from -- we stopped at 8 minutes and one second, I think.

6 We're going to play another portion on track 1. This  
7 is at 9:47 to 13:17.

8 (Audio recording played)

9 Q. Can you just tell us first of all, Officer Polanco, who is  
10 speaking on this portion of the recording?

11 A. It's another union delegate. It's Officer Fundaro.

12 Q. Can you spell that.

13 A. F-U-N-D-A-R-O.

14 Q. Okay. Thank you. We're starting at what point?

15 MR. AZMY: 11:11.

16 MR. CHARNEY: Go ahead.

17 (Audio recording played)

18 Q. Did you hear mention of somebody named Mandy or Mancy and  
19 Angel?

20 A. Mancy.

21 Q. Who is Mancy?

22 A. Mancy is the third union delegate.

23 Q. Who is Angel?

24 A. Angel Herran is the person that spoke previously.

25 Q. Is that the other union delegate?

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Polanco

1 A. Yes.

2 MR. CHARNEY: We're going to start again at -- we're  
3 at 10:34 now.

4 (Audio recording played)

5 Q. Did you just hear Officer Fundaro talk about the one and  
6 twenty?

7 A. Yes.

8 Q. And did you hear him say that it was going to get a lot  
9 worse?

10 A. Yes.

11 Q. Did you know what he meant by that?

12 A. That today it's one and twenty. That it's going to be more  
13 later. It's going to be more activity that's going to be  
14 expected from us.

15 Q. And then did you hear him refer to DVA?

16 A. PBA.

17 Q. PBA?

18 A. The Patrolmen's Benevolent Association.

19 Q. I think he said that's why the -- again pardon my  
20 language -- the fucking PBA, he spoke to them in regards to  
21 activity.

22 Did you hear him say that?

23 A. Yes.

24 Q. Based on your experience when you were in the 41st precinct  
25 and do you know what, if any, conversations the PBA had with

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D3k9fl01

Polanco

1 NYPD management about these issues around activity?

2 MS. COOKE: Object, your Honor. To the extent it's  
3 hearsay. I'm going to object. Conversations about the PBA.  
4 That's certainly not an admission from the city.

5 THE COURT: Sustained.

6 MS. COOKE: Thank you, your Honor.

7 MR. CHARNEY: So let's continue. What time are we  
8 starting at?

9 MR. AZMY: 10:58.

10 MR. CHARNEY: 10:58. Okay.

11 (Audio recording played)

12 MR. CHARNEY: Where did we stop?

13 Well, we'll tell you.

14 Q. Did you hear Officer Fundaro say there at the end you're  
15 fighting against the current?

16 A. Yes.

17 Q. Do you know what that means?

18 A. He's -- I believe he's indirectly talking to me because at  
19 that time it was known around the precinct that I called  
20 internal affairs protesting about the numbers and the  
21 profiling.

22 Q. So is it your testimony that this particular recording,  
23 track 1, was a roll call that took place after you had  
24 contacted internal affairs?

25 A. Yes, either by the letter or by phone, yes.

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D3k9fl01 Polanco

1 Q. And how do you know -- what is your basis for believing  
2 that he was referring indirectly to you?

3 A. My belief is that he said that you fighting the current.  
4 Basically I'm a single officer, minority officer going against  
5 the whole department.

6 Q. Well let me ask you this. What is your basis for believing  
7 that he or anyone else in the 41st precinct knew that you had  
8 contacted internal affairs?

9 A. Because they in the precinct roster in the cafeteria next  
10 to my name they wrote the name rat.

11 THE COURT: They wrote what?

12 THE WITNESS: Rat.

13 MR. CHARNEY: We're starting at.

14 MR. AZMY: 11:31.

15 (Audio recording played)

16 MR. CHARNEY: So we ended at I guess 13:17.

17 Q. Officer Polanco, did you ever yourself go to your union  
18 either delegate or somebody else in the PBA with these  
19 concerns?

20 A. Yes.

21 Q. When did you do that?

22 A. Several times. In the precinct. I went to Fundaro. I  
23 went to Herran. I went to Mancy.

24 Q. Do you know what period of time this was that you did that?

25 A. Throughout 2009.

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D3k9fl01 Polanco

1 Q. And at any point -- I'm sorry. What did you tell them  
2 specifically?

3 A. What's going on here? What's up with these numbers?  
4 What's up with this quota?

5 And I basically was told everything was dealt in the  
6 last contract, that it was part of the contract, and that the  
7 union was backing it up.

8 MR. CHARNEY: I want to listen to track number two  
9 now. And we're going to go to -- those first two portions we  
10 played were both from track one. We're going to play from  
11 track two from the beginning to 2:29.

12 (Audio recording played)

13 Q. Can you just first of all tell us --

14 MS. COOKE: Time.

15 MR. CHARNEY: I'm sorry. The time?

16 MR. AZMY: Eleven seconds.

17 Q. Do you recognize this as -- I'm sorry. Do you recognize  
18 the speaker on the recording?

19 A. Yes, I do.

20 Q. Who is that speaker?

21 A. Sergeant Mervin Bennett.

22 Q. How do you spell the last name?

23 A. B-E-N-N-E-T-T. Bennett.

24 Q. I think you told us this yesterday, but who is Sergeant  
25 Bennett?

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D3k9fl01

Polanco

1 A. He was one of the sergeants assigned to the platoon. Not  
2 directly my sergeant but he's a platoon sergeant also.

3 Q. So it's correct that he does supervise patrol officers or  
4 did supervise patrol officers in the 41st precinct in 2009?

5 A. Yes.

6 Q. And this recording that we're listening to, is this one of  
7 the roll call recordings, to your recollection, that you made?

8 A. Yes, it is.

9 Q. And do you recall being present at this roll call?

10 A. Yes, I do.

11 MR. CHARNEY: Okay. Let's continue at eleven seconds.

12 (Audio recording played)

13 MR. CHARNEY: What time did we stop at.

14 38 seconds, okay.

15 Q. In the portion we just listened to did you hear Sergeant  
16 Bennett referring to the twenty and one?

17 A. Yes.

18 Q. Did you hear him refer to any other numbers in that part?

19 A. Yes.

20 Q. What other numbers did you hear him refer to?

21 A. He said it could be thirty-five and one. It could be  
22 forty-five and one.

23 Q. Did you hear him say anything else on that portion?

24 A. He said that unless we want to become a Pizza Hut  
25 deliveryman we better do as they say.

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D3k9fl01

Polanco

1 MR. CHARNEY: Let's continue playing at 38 seconds.

2 (Audio recording played)

3 Q. In that section did you hear Sergeant Bennett refer to  
4 being a zero?

5 A. Yes.

6 Q. Do you know what he meant by that?

7 A. Being a guy who doesn't do as he said, that doesn't meet  
8 the quota.

9 Q. How do you know that's what he meant?

10 A. He said it before that if you want to fight the power and  
11 fight the quota and want to be a zero, basically if you don't  
12 do what they ask the one and twenty, you're a zero. That's how  
13 they consider you.

14 MR. CHARNEY: We stopped at what time?

15 1:35. Okay. Let's continue at 1:35.

16 (Audio recording played)

17 Q. So did you hear Sergeant Bennett on that portion say that  
18 if you're a solid cop he will fight for you but if you're a  
19 zero I'm not fighting?

20 A. Once again, if you meet his numbers, he will talk for you.  
21 He will stick his head out for you, which he didn't anyway.  
22 But if you don't, then he won't back you up. He won't  
23 recommend you for anything.

24 Q. When you say stick his neck out for you, what do you mean  
25 by that?

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D3k9flol

Polanco

1 A. Basically if somebody else comes in with a complaint about  
2 any officer. And he sees that that officer have his numbers,  
3 he will say: No, no, this is one of my best cops. Look at his  
4 numbers. Can you please leave him alone. Or on the contrary,  
5 he can say: Yeah, this cop doesn't meet my numbers. Do  
6 whatever you want with him.

7 He doesn't have that power.

8 Q. I'm sorry?

9 A. He doesn't have that power, as you say. He's a sergeant.

10 Q. How do you know he doesn't have that power?

11 A. Because what happens at the precinct level is beyond the  
12 sergeant. It's lieutenant and captains and inspector who  
13 decide what's going to happen.

14 Q. Let's -- we're starting at 2:19. We're almost done. So  
15 let's play the last ten seconds.

16 (Audio recording played)

17 Q. Move through this. We're going to play now track three  
18 starting at 4:50 and going to 8:33.

19 (Audio recording played)

20 MR. CHARNEY: Can we stop a second. Can we go back to  
21 the beginning. Start at 4:50.

22 (Audio recording played)

23 (Continued on next page)

24  
25

D3K8FLO2 Polanco - direct

1 Q. First of all, do you recognize the voice on this recording?

2 A. Yes.

3 Q. Who is this person?

4 A. At the time it was Captain McHugh.

5 Q. Who is Captain McHugh?

6 A. He was the commanding officer at the 41st Precinct.

7 MR. CHARNEY: I apologize, your Honor. Can we go back  
8 and just start it again at 4:50?

9 (Audiotape played)

10 Q. Did you hear Inspector McHugh there say, "The summonses are  
11 coming full circle again. The Bronx came in and" -- actually,  
12 it says "broadest to the city," but that's not what I heard.

13 Do you understand what he said on that portion?

14 A. Yes. He said that the Bronx came lower in the city.

15 THE COURT: The Bronx came what?

16 THE WITNESS: Lower.

17 Q. Do you know what he meant by "the summonses are going full  
18 circle again"?

19 A. A summons is a money generating machine for the city. So  
20 the more summonses were issued, the more money the city  
21 generates.

22 Q. Is that what you understood him to mean by that?

23 A. That was my understanding.

24 Q. Do you know what he meant by the Bronx came in worse or was  
25 worse than the city?

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D3K8FLO2 Polanco - direct

- 1 A. They compare all the boroughs, like all the summonses from  
2 the Bronx, from Manhattan, and see which borough is having more  
3 than others. Apparently, the Bronx came out lower.  
4 Q. How do you know that they do that comparison?  
5 A. I used to do crime analysis. I have a little understanding  
6 of how they operate.  
7 Q. When you say "they," who are you speaking about?  
8 A. The chiefs.  
9 Q. Chiefs of?  
10 A. Borough, chief of personnel, chief of patrol.  
11 Q. Now, you said you did crime analysis. What did you mean by  
12 that?  
13 A. I used to be in the precinct where I used to do the audits  
14 for the complaint reports. In that office, they also did the  
15 summonses. I was also in charge of putting the summonses in  
16 the system, putting the 250s in the system.  
17 Q. This was in the 41st Precinct?  
18 A. Yes.  
19 Q. What period of time did you do that?  
20 A. It was for a short period of time in 2009, about four, five  
21 months.  
22 Q. We are going to continue at 4:57.  
23 (Audiotape played)  
24 Q. Did you hear that portion?  
25 A. Yes, I did.

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D3K8FLO2 Polanco - direct

1 Q. What did you hear Inspector McHugh -- Chief McHugh,  
2 although he is now an inspector, correct?

3 A. Something like that. Yeah.

4 Q. We will call him Inspector McHugh. What did you hear  
5 Inspector McHugh say on that portion?

6 A. Chief Giannelli, who used to be the chief of the  
7 department, together with Chief Purtell, Chief Purtell used to  
8 be the chief of the Bronx, that he basically screamed at him.  
9 That's what I understand.

10 Q. Just to clarify the record, you said Chief Giannelli was  
11 the chief of the department?

12 A. I'm not sure. He was not the borough chief. He was the  
13 one on top of Purtell, and Purtell was basically the chief of  
14 the Bronx.

15 Q. Do you know who Inspector McHugh would report to directly?

16 A. I think borough chief Purtell.

17 Q. Let's continue at 5:11.

18 (Audiotape played)

19 Q. Did you hear at the end of that portion Inspector McHugh  
20 say, "Headquarters is now yelling at Chief Purtell and he is  
21 given the business"?

22 A. Yes.

23 Q. Do you know what Inspector McHugh meant by that?

24 A. Headquarters is the one that control CompStat and the  
25 numbers. So when they don't like the number for certain

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D3K8FLO2 Polanco - direct

1 borough, they will call that chief and scream at him, whatever  
2 he said.

3 Q. Let's continue at 6:05.

4 (Audiotape played)

5 Q. Did you hear at the end there Inspector McHugh say, "If you  
6 don't do it now, I am going to have you work with the boss to  
7 make sure it happens"?

8 A. Yes.

9 Q. Do you know what he meant by that?

10 A. He also said it was nonnegotiable.

11 Q. Yes.

12 A. We didn't have a choice. Basically, like I explain before,  
13 he will have us drive a supervisor, and when you do that, your  
14 discretion is out the door; you have to do what they say.

15 Q. When you say "do what they say," what are you referring to?

16 A. If they see a group of kids on the corner, they are going  
17 to go summons them, to go 250 them, to go pat them down, to do  
18 everything that I would normally not do if they weren't around,  
19 if I didn't have reason to do it.

20 Q. Did you also hear Inspector McHugh say, "What we can do,  
21 though, to get some of our people that aren't chipping in to go  
22 to some of the locations we are having problems and give them  
23 the business, rightfully when they should." What does "give  
24 them the business" mean to your understanding?

25 A. My understanding is again the summonses. The business that

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D3K8FLO2 Polanco - direct

1 the city have, and it's a shame they call it a business, is  
2 generate money through summonses. We are supposed to hand them  
3 the business.

4 Q. We are going to start at 6:43.

5 (Audiotape played)

6 Q. On that portion, did you hear Inspector McHugh say,  
7 "Because you know what, they control the overtime too guys. If  
8 they think we are a bad borough, they won't give us overtime."  
9 Do you know what he meant by that?

10 A. Basically, if we don't come up with the numbers, we are not  
11 going to get overtime.

12 MS. COOKE: What is the time?

13 Q. We are going to start at 7:11.

14 (Audiotape played)

15 Q. On that last portion, and we stopped at the end 8:33, did  
16 you hear Inspector McHugh saying, "But what it does help us is  
17 it helps us, they know that we are going to get our fair share  
18 of some resources like they have." Did you hear him say that?

19 A. Yes.

20 Q. Do you know what he meant by that?

21 A. I think, I believe he probably is referring to the  
22 overtime. If I might explain?

23 Q. Sure.

24 A. Overtime is one of the biggest thing for most of the  
25 officers. A lot of officers have family, have kids, multiple

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D3K8FLO2 Polanco - direct

1 families, and a lot of officers depends on the overtime. It  
2 will be embarrassing to say that most of the officers that get  
3 a lot of arrests, they make a lot of arrests, they make it for  
4 the overtime.

5 MS. COOKE: Objection. The answer is speculative.

6 A. Based on my experience --

7 THE COURT: One moment.

8 Only the sentence will be stricken where he mentions  
9 being embarrassing to say those that get a lot of arrests, they  
10 make it for the overtime. That part I will strike, but the  
11 previous portion I will allow. Basically, the previous  
12 sentence that the people need overtime for their families.

13 Q. Officer Polanco, yesterday you made a comment in your  
14 testimony that you are not a fan of overtime. When you worked  
15 at the 41st Precinct, did you ever work any overtime tours?

16 A. Occasionally, yes.

17 Q. Can you explain what you meant by I am not a fan of it?

18 A. There are certain types of overtime. I am a father and  
19 have three kids. Any time I can spend with my kids, I am going  
20 home. But there's different type of overtime. The one that  
21 came most handy was the impact overtime. The impact overtime  
22 where they will assign officers that were supposed to be off  
23 their day to high-crime areas.

24 When you assigned to impact overtime, the integrity  
25 control officer will be in charge of it most of the time, and

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D3K8FLO2 Polanco - direct

1 there will be a requirement for you to be assigned, to be given  
2 the overtime. Most of the time you have to agree to write five  
3 summonses, five 250s, or an arrest in order to get the  
4 overtime.

5 Q. What is your basis for that statement? How do you know  
6 that that was the requirement?

7 A. This is what the ICO used to call it. This is what you  
8 need to do if you want the overtime.

9 Q. By ICO, you mean integrity control officer?

10 A. Yes, a lieutenant.

11 Q. That person is a lieutenant in the 41st Precinct?

12 A. Yes.

13 Q. Is it your testimony that your integrity control officer in  
14 the 41st Precinct, in fact, told you that if you want to work  
15 impact overtime, you have to either make five summonses, five  
16 250s, or one arrest?

17 A. Yes.

18 Q. Do you recall when he or she said that to you?

19 A. Throughout 2009.

20 Q. What is that integrity control officer's name?

21 A. Lieutenant Dominguez at the time.

22 Q. Did you ever actually work an impact overtime tour?

23 A. I did a few times, yes.

24 Q. Did you comply with these requirements that Lieutenant  
25 Dominguez communicated to you?

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D3K8FLO2 Polanco - direct

1 A. Sometime I did, sometime I didn't. Because sometime they  
2 assign you the overtime based on whoever wants to do it.  
3 Sometime they didn't have the resources so they will force you  
4 to do the overtime.

5 Q. When you say forced you, what do you mean?

6 A. They will give you a notification you have to show up  
7 tomorrow, that you have no choice but to come in.

8 Q. So you said that there were times when you did fulfill the  
9 requirement of five summonses or five 250s or one arrest?

10 A. Yes.

11 Q. In any of those occasions, do you recall whether any of the  
12 stops you made were without reasonable suspicion?

13 A. Some of them were not because some of them -- like in  
14 impact overtime, I will be driving the sergeant, and you  
15 usually go from 6 to 2, 6 p.m. to 2 in the morning. So if it  
16 was like 11, 11:30, and we didn't have the four or five, we  
17 will hop in a van or go in a car with a supervisor, and he will  
18 just do the same, grab those kids, summons those kids, write  
19 this one, 250 this one, and in some of those occasions it was  
20 not warranted.

21 Q. Then when you had finished the tours, impact overtime  
22 tours, did you have to provide documentation of your activity  
23 to anybody?

24 A. Yes.

25 Q. Who did you provide it to?

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D3K8FLO2

Polanco - direct

- 1 A. If the ICO was there or the supervisor in charge of the  
2 platoon that was doing the overtime.
- 3 Q. Let's play track 5 on Exhibit 284. We are going to play it  
4 from the beginning to 1 minute and 9 seconds.  
5 (Audiotape played)
- 6 Q. We stopped at 13 seconds.  
7 Do you recognize the voice on that recording?
- 8 A. Yes, I do.
- 9 Q. Who is that?
- 10 A. Lieutenant Andrew Valenzano. Now he is a captain.
- 11 Q. At the time, this recording was made in the fall of 2009?
- 12 A. Yes.
- 13 Q. What was his position in 2009, if you recall?
- 14 A. He was a platoon commander of the 41st Precinct.
- 15 Q. Let's continue from 13 seconds.  
16 (Audiotape played)
- 17 MR. CHARNEY: Where did we stop? 44 seconds.
- 18 Q. That was Lieutenant Valenzano still?
- 19 A. Yes.
- 20 Q. Did you hear him say, "But if you see people over there on  
21 the bikes carrying the bags, you know, that's what we need,  
22 good stops"?
- 23 A. Yes.
- 24 Q. Do you know what he meant by that?
- 25 A. To stop them and frisk them, if they were simply on a bike

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D3K8FLO2 Polanco - direct

1 carrying a bag.

2 Q. Earlier did you hear him refer to certain locations, 165  
3 area, Pine Avenue, "those are the areas, we want you guys over  
4 there for that reason"?

5 A. Yeah.

6 Q. Do you know what he meant by that?

7 A. No.

8 Q. So the portion where he said, stop the guys on the bikes  
9 carrying the bags, what did you understand him to mean by that?

10 A. Simple as that. Stop and frisk anybody who is on a bike  
11 carrying a bag.

12 Q. Did he ever tell you or did any of your supervisors ever  
13 tell you why they wanted you to stop people on bikes or people  
14 carrying bags?

15 A. No.

16 Q. Let's continue from 44 seconds.

17 (Audiotape played)

18 Q. We stopped at the end, which was 1:09.

19 Can you tell me who that voice was?

20 A. At the end, it was at the beginning of McHugh's track.

21 Q. Did you hear him say, "Thank you for cooperating with, you  
22 know, making sure we get everyone on board towards depressing  
23 conditions"?

24 A. Yes.

25 Q. Do you know what he meant by that?

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D3K8FLO2 Polanco - direct

1 A. By meeting the quota, by writing the amount of summons that  
2 he wanted.

3 Q. Is that what he meant by conditions?

4 A. Yeah.

5 Q. So now we are going to play from track 6 on this CD, from 1  
6 minute to 2 minutes and 29 seconds.

7 (Audiotape played)

8 Q. Do you recognize that voice?

9 A. Yes.

10 Q. Who is that?

11 A. Delegate Angel Herran.

12 Q. Who is Herran again?

13 A. A union delegate.

14 Q. You were present for this roll call, if you recall?

15 A. Yes, I was.

16 MR. CHARNEY: We stopped at what point in time?

17 Q. We stopped at 1:39. So we are going to continue from  
18 there.

19 (Audiotape played)

20 MR. CHARNEY: I apologize, your Honor. I want to play  
21 it from the beginning because I think we need to hear the whole  
22 thing. So we are going to start again from 1 minute.

23 (Audiotape played)

24 Q. Did you hear Officer Herran say there, "We will pull over  
25 the car. So I pull over the car. What do you want me to do?"

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D3K8FLO2 Polanco - direct

1 Give him a summons. Well, I didn't observe it."

2 A. Yes.

3 Q. Do you know what he was talking about there?

4 A. He was giving an example of one day that he was driving  
5 with Valenzano.

6 Q. How do you know that's the example he was giving?

7 A. I was there. He said one time he was in the car with him,  
8 and he asked him to pull the car that made a left, and Herran  
9 told him that he didn't see it, and he tell him to write a  
10 summons.

11 Q. Let's continue from 1:13.

12 (Audiotape played)

13 Q. Did you hear Officer Herran on that portion?

14 A. Yes.

15 Q. What did you hear him say?

16 A. According to Officer Herran, this is how I got in trouble  
17 again, when the supervisor do that, when they ask you to write  
18 a summons for something they didn't observe, they supposed to  
19 scratch off the part they didn't observe and sign it. That's  
20 what he is referring to, that the supervisor is supposed to  
21 scratch off the part that say I did observe, and they will sign  
22 it.

23 Q. In other words, the supervisor will sign it?

24 A. He supposed to do it.

25 Q. We are going to start at 1:21.

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D3K8FLO2 Polanco - direct

1 (Audiotape played)

2 Q. Did you hear Officer Herran there say, "You have to show  
3 something, you have to show something to your police officer"?

4 A. Yes.

5 Q. Do you know what he meant by that?

6 A. Numbers, you have to show numbers.

7 Q. And we stopped at 1:38. We are going to start again.

8 (Audiotape played)

9 Q. We stopped at 1:55.

10 So did you hear Officer Herran in that section say,  
11 "You meant to tell me for 30 fucking days" -- sorry -- "you  
12 haven't seen any violations on parking, any violations and any  
13 kind of arrests? If you have 25 to 26 days on patrol, it's  
14 impossible. Show something." Did you hear him say that?

15 A. Yes.

16 Q. What did you understand him to mean?

17 A. He was talking that maybe some officers were not doing  
18 absolutely anything. That's not possible. Like somebody turn  
19 in a blank activity log, and he said that it's not possible,  
20 you have to show something. But he is not making reference to  
21 the 1 and 20. They want 1 and 20. 30 days of patrol, 25 days  
22 of patrol. For example, in January of 2009, I have five days  
23 of patrol, and I am still required to bring the 1 and 20.

24 Q. Was it your experience that, regardless of how many days  
25 you worked in a given month, the numbers were the same?

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D3K8FLO2 Polanco - direct

1 A. Exactly, 20 and one.

2 Q. How do you know that?

3 A. That's what they said. They didn't say get 20 and one if  
4 you have ten days of patrol, if you have 15 days of patrol.  
5 They basically say you have to get 20 and one.

6 Q. Let's continue at 1:55.

7 (Audiotape played)

8 MR. CHARNEY: Where did we stop? 2:06.

9 Q. Did you hear Officer Herran in that portion refer to a  
10 bible you have?

11 A. Yes.

12 Q. Do you know what he is referring to there?

13 A. As I said before, the bible is the book with every officer  
14 individual activity that a commanding officer holds in his  
15 office. It usually go back three months when you have to  
16 adjudicate a command discipline.

17 Q. When Officer Herran said they are going back to the bible  
18 you have, do you know what he meant by that?

19 A. Your CD is going to be adjudicated according to how the  
20 book looks.

21 Q. What do you mean by according to how the book looks?

22 A. If you have been doing your numbers, you will be all right.  
23 If you haven't, then you're going to have a problem.

24 Q. Did you hear him say it's coming from IR?

25 A. Higher up.

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D3K8FLO2

Polanco - direct

1 Q. Higher up?

2 A. Yes.

3 MR. CHARNEY: Can we play that portion again just so I  
4 can hear it. We are going to play the same portion we just  
5 played.

6 (Audiotape played)

7 MR. CHARNEY: That's the end at 2:29.

8 Q. So did you hear on that portion Officer Herran say, "It's  
9 not coming from me, it's coming from higher up"?

10 A. Yes.

11 Q. Then he mentioned the unions agreeing to it?

12 A. Yes.

13 Q. Do you know what he meant by that?

14 A. That the union trustees and the union president all  
15 acknowledge what is going on and they agree with it.

16 Q. Just so we are clear, when you say "it," what are you  
17 referring to?

18 A. The quota.

19 Q. I want to ask you again about some of the timing of when  
20 you went to IAB.

21 You said you first went to them or you wrote to them  
22 in September of 2009, is that right?

23 A. Yes, around September.

24 Q. When did you provide the recordings to them?

25 A. I believe it was in December after my suspension.

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D3K8FLO2 Polanco - direct

1 Q. Why did you wait until December to provide the recordings  
2 to them?

3 A. I wasn't sure if I wanted to give it to IAB. I didn't  
4 trust them.

5 Q. Why didn't you trust them?

6 A. They have no independency. They are a police department.  
7 They have no independency. I didn't think they wanted to hear  
8 me.

9 Q. Then how come after your suspension you did decide to turn  
10 over the tapes?

11 A. They came to my lawyer's office, and for some reason I felt  
12 like I had to explain to them why I got suspended and listening  
13 to the recording would help them understand what happened.

14 Q. Let's talk about why you got suspended.

15 Can you tell me when you got suspended?

16 A. It was December 12, 2009.

17 Q. What happened on December 12, 2009?

18 A. On that date I was assigned to a checkpoint again because  
19 they knew that I had reported it.

20 MS. COOKE: Objection, your Honor. The witness said  
21 "they knew."

22 THE COURT: Sustained as to that part. That is  
23 stricken.

24 Q. Let's just talk about what you were doing, not why you were  
25 doing it.

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D3K8FLO2 Polanco - direct

1 A. I was assigned to a checkpoint again. It was about 6:00.  
2 They wanted numbers. The lieutenant wanted ten summonses from  
3 me and my partner each. So he assigned us to a checkpoint.  
4 Once again, no cones, no flares, no safeguard, no pattern to be  
5 followed, nothing. The sergeant just told us the lieutenant  
6 want ten summonses from you guys, let's get them and get out of  
7 here.

8 Q. Which sergeant was it?

9 A. Rodriguez.

10 Q. Which lieutenant was he referring to, if you remember?

11 A. Andrew Valenzano.

12 Q. So you were doing the checkpoint. They told you that you  
13 needed ten summonses. What happened?

14 A. It was a cold night and nothing was coming by. We had a  
15 few. But then the lieutenant came like 40 minutes later to  
16 check on what we had, and he wasn't satisfied with what we had.  
17 So he started putting his driver to stop everybody that came  
18 down the street, any car that came he asked for license and  
19 registration.

20 While we were doing that, I notice that my partner,  
21 Officer Pete Rodriguez, who I had been working for the past  
22 five years, was pale. He called me over and said, Polanco, I  
23 am not feeling well. I immediately called the sergeant. I  
24 took his jacket off. He told me that his chest was killing  
25 him, that he had very strong chest pain. I was concerned

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D3K8FLO2

Polanco - direct

1 because about a month or two before that an officer dropped  
2 dead in the Bronx from a heart attack. I lost my father four  
3 months prior to that.

4 I proceeded -- we call an ambulance. I proceeded to  
5 the ambulance. At no point was I told to go with my partner.  
6 I went in the ambulance. When the ambulance came, I got in the  
7 ambulance. About two minutes in, Lieutenant Valenzano asked me  
8 to get out, and I did. I wasn't too happy about it, but I  
9 wasn't verbal or confrontational. I asked the lieutenant, is  
10 there any reason why I can't go with my partner? He told me,  
11 keep writing summonses, I will have the sector go. OK. I  
12 walked out of the ambulance. At no point I scream to him. At  
13 no point I say racial slurs or anything like that. I just  
14 walked out.

15 About five minutes later, I looked into the back of  
16 the ambulance, between three and five minutes, and I saw my  
17 partner on an oxygen mask. He look even worse than what he  
18 looked before. He was really out of color. I tried to call  
19 his uncle. I couldn't get in touch. He told me not to call  
20 his wife or his five daughters yet.

21 MS. COOKE: Objection.

22 THE COURT: It doesn't matter.

23 It's really time for the break so I am going to  
24 interrupt him anyhow. It's 11:30. We will reconvene at 20 to  
25 12.

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D3K8FLO2

Polanco - direct

1 (Recess)

2 BY MR. CHARNEY:

3 Q. Officer Polanco, before the break we were discussing an  
4 incident on December 12, 2009, involving you and your partner,  
5 and I guess Lieutenant Valenzano. I wanted to continue with  
6 that discussion. I believe you left off with your partner in  
7 the back of the ambulance?

8 A. Yes. I approached the back of the ambulance on the  
9 outside. I saw his condition. He was worse. He had an oxygen  
10 mask. He was turning a little blue. When I saw his condition,  
11 I was extremely worried.

12 At that point, I approached the lieutenant and I told  
13 him, lieutenant, you can do as you please, I am going with my  
14 partner. And he asked me again, what? I said, you can do what  
15 you please, I am going with my partner. At that time, the  
16 lieutenant grabbed me by the chest, twist me around, asked me  
17 for my gun and shield and suspended me on the spot.

18 Q. Did you in fact give him your gun and shield?

19 A. Later on, yes.

20 Q. Did you at any point in time say any derogatory remarks to  
21 him?

22 A. No, not at all.

23 Q. Did you threaten him?

24 A. No.

25 Q. Did you physically assault him?

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D3K8FLO2 Polanco - direct

1 A. No. I simply, when he grabbed me, after he grabbed me, I  
2 simply push his hands off my chest.

3 Q. After that, did you make any other physical contact with  
4 him?

5 A. Not at all, no.

6 Q. This incident was December 12, 2009?

7 A. Yes.

8 Q. Earlier you testified about how you had seen the word rat  
9 next to your name in the precinct cafeteria?

10 A. Yes.

11 Q. Do you know approximately what point in time you saw that?

12 A. Mid-November, mid to late November 2009.

13 Q. So is it correct that you saw that word written next to  
14 your name before this incident with Lieutenant Valenzano?

15 A. Yes.

16 Q. Do you know whether or not any of your supervisors in the  
17 41st Precinct were aware you had gone to Internal Affairs with  
18 your complaints prior to this incident on December 12, 2009?

19 A. Yes.

20 Q. How do you know that?

21 A. Sergeant Rodriguez told me to look out, that they were  
22 looking to hurt me, that they know that I reported it, that I  
23 went to IAB.

24 Q. When did he tell you that?

25 A. This was -- he told me a few time. He told me I think a

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D3K8FLO2 Polanco - direct

1 couple of hours before the checkpoint. But also in the month  
2 of November he told me the same thing.

3 Q. Now, prior to this incident on December 12, 2009, had you  
4 ever been disciplined by the NYPD when you worked as a patrol  
5 officer in the 41st Precinct?

6 A. Disciplined, I was in an off duty incident where I was  
7 modified for four months. It was a domestic incident where  
8 somebody made allegations. The allegations went nowhere. They  
9 were unsubstantiated. I did not get any time taken off. I did  
10 not get -- I didn't even have to see the -- anything. It was  
11 unsubstantiated. But I was modified for four months until the  
12 investigation was being conducted.

13 Q. During that modification, what were you doing?

14 A. I was doing crime analysis.

15 Q. Where?

16 A. In the 41st Precinct.

17 Q. So you were not reassigned to a different precinct during  
18 that time?

19 A. No. The inspector actually wrote a letter to personnel to  
20 keep me in the precinct.

21 Q. Which inspector was that?

22 A. McHugh.

23 Q. Other than that four-month modification, did you receive  
24 any other discipline prior to December 2009?

25 A. The only thing I remember, again, I went to court because

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D3K8FLO2

Polanco - direct

1 after they put me back on the four months, I was doing the job  
2 of two officers. They didn't have nobody to do crime analysis  
3 so I used to do the crime analysis job and then jump on patrol.  
4 I used to do both. So one day I had court, and when you do  
5 crime analysis administrative, you don't have to wear your vest  
6 or your uniform to court. I show up to court without the vest.  
7 My lieutenant told me that it was OK. And when I show up to  
8 court, the sergeant send me back to put my vest on. When I  
9 came back to the court section, he issued me a command  
10 discipline for not having the vest. That's the only thing  
11 other than that.

12 Q. So other than that command discipline and the four-month  
13 modification, did you receive any other discipline prior to  
14 December 2009 when you worked in the 41st Precinct?

15 A. No.

16 Q. During your work as a patrol officer in the 41st Precinct,  
17 did you ever receive a negative quarterly performance  
18 evaluation?

19 A. No.

20 Q. Did you ever receive a negative annual performance  
21 evaluation?

22 A. No.

23 Q. Officer Polanco, at any point in time, did you provide  
24 copies of those recordings we listened to earlier to anybody  
25 outside of the police department other than your lawyer?

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D3K8FLO2 Polanco - direct

1 A. I don't recall.

2 Q. Did you ever provide it, for example, to any members of the  
3 media?

4 A. I think I did. I think -- or my lawyer did. I'm not sure.  
5 He provided them to Channel 7.

6 Q. Do you know when that was?

7 A. Actually, we were talking to Channel 7 in September of that  
8 year. Three months before my suspension we were looking to  
9 expose everything. We were just waiting for the right moment  
10 and the right time to do it.

11 Q. Why did you want to go to the press with these issues?

12 A. Because the department is not willing to listen to me.  
13 They are still not willing to listen to me. They don't want to  
14 hear it.

15 Q. You mentioned earlier that one of the reasons why you  
16 waited until you did to actually report a lot of this stuff is  
17 you were worried about retaliation?

18 A. Yes.

19 Q. Are you worried about retaliation today?

20 A. I cannot say I don't. I'm not in fear, but I do worry  
21 about what they are going to do next.

22 Q. Why did you decide to testify as a witness in this case?

23 A. The reason I decided to testify and come forward when I  
24 did, I'm a father, I have three kids. I grew up in the  
25 Heights. I grew up in the hood. I come from a very poor

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1 country. I know what it's like when it's 95 degrees in an  
2 apartment on the fifth floor and you go downstairs because you  
3 had a fight with your sister because it's simply too hot. And  
4 for you to go downstairs and get arrested in your own building  
5 for trespassing, as we have seen, get summons, get pat down,  
6 get harassed by police, I don't believe that's why I joined the  
7 police department.

8 Q. Why did you join the police department?

9 A. I joined the police department to help. I was a baseball  
10 coach in high school for about four years. I worked with  
11 youths. I joined the police department because I wanted to  
12 interact with my community. Before the 90s, minority cops were  
13 not abundant in the department. It was in the early 90s, late  
14 80s that they decided that it would be a good thing to  
15 integrate the police department so we can help our own  
16 community. What was happening before that is my question.

17 So, unfortunately, a lot of us that come into the  
18 police department forget where we come from. I don't want my  
19 kids to be harassed by anybody. I don't need my kid to get  
20 shot by any cop who was chasing him to fill out a 250. And the  
21 problem that we have is a lot of us, when we think of a male  
22 black, a male Hispanic, we think of a third person. I don't.  
23 When I see an unarmed man get shot, I think of my kid. My  
24 scenario is coming home to my kid and finding my kid with a  
25 bullet to the chest.

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D3K8FLO2 Polanco - direct

1 Unfortunately, a lot of us have the luxury to live in  
2 different communities, and when we hear of a male shot because  
3 he was running from police, the law allows him to run from  
4 police, but not when you're black or Hispanic, unfortunately.  
5 When you hear of teenagers that get beaten by police. When you  
6 hear a cop testify that he --

7 MS. COOKE: Objection. I don't think this is any  
8 longer responsive to the question.

9 THE COURT: It's responsive, but it's a long speech,  
10 and a courtroom is not a place for a long speech. I think you  
11 have made the point. I think that's probably sufficient.

12 Q. Officer Polanco, do you believe that stop, question and  
13 frisk is an appropriate tool for police officers to use?

14 A. Absolutely, yes. Absolutely. It's a great tool.

15 THE COURT: Sorry?

16 THE WITNESS: It is a great tool.

17 A. We need it because I have no problem harassing criminals.  
18 I have no problem harassing those that are committing the crime  
19 or about to commit the crime. I am not in denial that Hispanic  
20 and blacks are the ones that are committing the crime. I am  
21 not in denial. And we need help. And I am here asking for  
22 help. How can we help this minority people drop the gun? How  
23 can we make them not carry the gun? How can we help them? I  
24 am not in denial that we need help. But my understanding is  
25 that when somebody commit a crime, you don't bring the whole

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D3K8FLO2 Polanco - direct

1 family to court. So why should we bring the whole culture and  
2 hold the whole culture accountable for --

3 MS. COOKE: Objection.

4 THE COURT: That's responsive to the question because  
5 this one, I think, Mr. Charney would argue goes to the remedies  
6 question. He is explaining his point of view.

7 A. What I was saying was that when somebody commit a crime in  
8 a family, you don't bring the whole family to court. You bring  
9 the criminal. So if some black or the majority of blacks are  
10 committing the crime, we cannot hold the whole culture  
11 responsible for what some of them are doing.

12 MR. CHARNEY: One minute, your Honor.

13 Q. Officer Polanco, you said you were suspended on December  
14 12, 2009, correct?

15 A. Yes.

16 Q. Are you currently still suspended?

17 A. I am on modified assignment still, three and a half years  
18 later.

19 Q. Where is your modified assignment?

20 A. I'm in the VIPER unit in the 77th precinct on Utica Avenue.

21 Q. Do you know whether or not any formal disciplinary charges  
22 were filed against you?

23 A. Yes, they did.

24 Q. Do you know what the status of those charges are?

25 A. My trial was done about two weeks ago. Several people came

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D3K8FLO2 Polanco - direct

1 to testify contrary of what the lieutenant allege I did.

2 MS. COOKE: Objection. He is testifying to hearsay.

3 THE COURT: Sustained.

4 Q. Has there been a finding or a ruling in that trial yet?

5 A. No. Three and a half years later, no.

6 Q. Do you have any idea why it has taken three and a half  
7 years since the incident to finish the trial in your  
8 disciplinary case?

9 A. It's very contradictory. For example, the lieutenant gave  
10 a story about what happened, not knowing that I had a recording  
11 in my pocket. When he gave the story, he say X, Y and Z.

12 MS. COOKE: Objection.

13 THE COURT: Sustained as to what he said.

14 Q. Let me ask the question a little more narrowly. Do you  
15 know why it has taken three and a half years to finish the  
16 disciplinary trial in your case?

17 A. They know I am innocent. That's my belief.

18 Q. I want to show another exhibit. This has been marked as  
19 Plaintiffs' 98.

20 MR. CHARNEY: Is there any objection to this exhibit,  
21 the patrol guide section?

22 MS. COOKE: No.

23 MR. CHARNEY: This is the NYPD patrol guide section on  
24 stop, question and frisk. We would move for it to be admitted  
25 in evidence. We can pull it up on the screen so your Honor can

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1 see it.

2 THE COURT: Is there any objection before you put it  
3 on the screen?

4 MS. COOKE: No objection.

5 THE COURT: What is the exhibit number?

6 MR. CHARNEY: Plaintiffs' 98.

7 THE COURT: 98 is received.

8 (Plaintiff's Exhibit 98 received in evidence)

9 MR. CHARNEY: Can I give a copy to the witness?

10 THE COURT: Yes. Sure.

11 Q. Officer Polanco, do you recognize this document Exhibit 98?

12 A. Yes, I seen it.

13 Q. What is this document?

14 A. This is the patrol guide referring to stop, question and  
15 frisk.

16 Q. If you can look at the bottom of the first page. Next to  
17 paragraph number 9, you see where it says, "Submit stop,  
18 question and frisk report work sheet to desk officer, precinct  
19 of occurrence." Do you see that?

20 A. Yes.

21 Q. Was that your practice when you worked as a patrol officer  
22 in the 41st Precinct?

23 A. No, not all the time.

24 Q. Who, if anyone, did you submit the stop, question and frisk  
25 report work sheet to when you completed it?

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D3K8FLO2 Polanco - direct

1 A. Sometime the desk officer, most of the time the platoon  
2 commander when he is doing his recap sheet, and sometime our  
3 immediate supervisors. At some point, even to the crime  
4 analysis person who was in charge of putting it in, we hand it  
5 directly to that person.

6 Q. I'm sorry. The crime analysis person was in charge of  
7 putting it into what?

8 A. The system.

9 Q. What do you mean by the system?

10 A. Type it into the system.

11 Q. Moving to the second page, see at the top it says,  
12 paragraph number 10 next to "desk officer" it says, "Review  
13 each stop, question and frisk report work sheet and (a)  
14 instruct member preparing work sheet if necessary."

15 We already know the desk officer was not always the  
16 person you handed it in to, but as a general matter, whether it  
17 was the desk officer or a sergeant or a crime analysis person,  
18 in your experience, did that person instruct you on what was on  
19 the work sheet?

20 A. Never, no. If I might, the only thing they will make sure  
21 is that your name, your tax number, the address is legible,  
22 that they can read what was in it, the name is legible. That's  
23 what they will care about.

24 Q. At any point when you worked in the 41st Precinct, were you  
25 ever asked by a supervisor to explain the factual basis for a

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D3K8FLO2 Polanco - direct

1 stop, question and frisk that you had conducted?

2 A. Never.

3 Q. Officer Polanco, do you keep in touch with any officers in  
4 the 41st Precinct?

5 A. Not really. Not really.

6 Q. You said that your supervising sergeant was -- who was your  
7 supervising sergeant?

8 A. Sergeant Padilla, Edgar Padilla.

9 Q. I can't remember, but you testified earlier about several  
10 supervisors who had talked about the numbers. Was he one of  
11 those?

12 A. Not really. He would rarely mention it. He wouldn't  
13 mention it that much.

14 MR. CHARNEY: One minute, your Honor.

15 No further questions, your Honor.

16 THE COURT: All right. Ms. Cooke.

17 MS. COOKE: Yes. Thank you.

18 CROSS-EXAMINATION

19 BY MS. COOKE:

20 Q. Good afternoon, Officer Polanco.

21 A. Good afternoon.

22 Q. Prior to your report to IAB the first time, I believe you  
23 said it happened in September 2009, is that correct?

24 A. Yes.

25 Q. Let me clarify first. The report to IAB in September 2009,

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D3K8FLO2 Polanco - cross

1 that was the anonymous letter you posted on the ICO tour at the  
2 41st precinct?

3 A. Yes.

4 Q. So it was the ICO of the precinct, not to Internal Affairs?

5 A. Because it's my understanding that he have no choice but to  
6 forward it to Internal affairs.

7 Q. But you yourself didn't contact Internal Affairs when you  
8 posted the anonymous letter?

9 A. No.

10 Q. And then the second time you contacted IAB was in November  
11 of 2009?

12 A. I believe, yes.

13 Q. That was a telephone call?

14 A. Yes.

15 Q. It was an anonymous call, you didn't provide your name or  
16 information of who you were, correct?

17 A. I believe.

18 Q. You believe it was anonymous?

19 A. Yes.

20 Q. So as of your second contact with IAB in 2009, you were  
21 still remaining anonymous, as far as you were concerned,  
22 correct?

23 A. Yes.

24 Q. But your call that you made to IAB on September 14, 2009,  
25 after your incident with Lieutenant Valenzano on September 12,

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D3K8FLO2 Polanco - cross

1 you identified yourself to IAB in that call?

2 A. Yes, I did.

3 Q. In addition to repeating claims that you had made in your  
4 anonymous letter, in your anonymous call in September and  
5 November of 2009, in addition to repeating claims about quotas  
6 and improper stops, you also added claims of retaliation by  
7 Lieutenant Valenzano against you, correct?

8 A. Yes.

9 Q. That was because of the incident that had happened on  
10 December 12?

11 A. I'm sorry?

12 Q. That was because of the incident that happened on December  
13 12, 2009?

14 A. Yes, and other stuff.

15 Q. It's fair to say what prompted your call on September 14  
16 was the incident on December 12?

17 A. Yeah.

18 Q. Let's talk a minute about that incident on December 12.

19 You were upset about your partner having chest pains  
20 on December 12, 2009, right?

21 A. Did I use the word upset?

22 Q. I am asking, were you upset?

23 A. No. I wasn't upset.

24 Q. Were you worried?

25 A. I was worried, yes.

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D3K8FLO2 Polanco - cross

1 Q. You were upset with the lieutenant when the lieutenant  
2 asked you to step out of the ambulance?

3 A. I did not use the word upset.

4 THE COURT: Were you upset?

5 THE WITNESS: No.

6 THE COURT: How did you feel when he asked you to step  
7 out of the vehicle?

8 THE WITNESS: I was confused.

9 Q. You testified on direct that you were asked to surrender  
10 your gun and your badge while on the scene on December 12,  
11 correct?

12 A. Which time frame is this? There's two versions of the  
13 evidence.

14 Q. I am asking if you testified on direct that you were asked  
15 while at the scene to surrender your gun and your badge?

16 A. Yes.

17 Q. And you said you didn't do it at that time?

18 A. Yes.

19 Q. But you surrendered it later?

20 A. Yes.

21 Q. In fact, you were asked several times to surrender your gun  
22 and badge at the scene, weren't you?

23 A. No.

24 Q. You in fact were angry with Lieutenant Valenzano when you  
25 were at the scene, correct?

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D3K8FLO2 Polanco - cross

1 A. At one point I was.

2 Q. You were yelling?

3 A. At one point I was.

4 Q. You were yelling at Lieutenant Valenzano?

5 A. At one point, yes.

6 Q. You shoved Lieutenant Valenzano with your hands onto his  
7 chest?

8 A. I think you're skipping a point. The reason I was  
9 yelling --

10 Q. If you can answer my questions as I ask them. You shoved  
11 Lieutenant Valenzano with your hands onto his chest?

12 A. I push him away from me, yes.

13 Q. Your hands onto his chest?

14 A. Yes, to take his hands away from me.

15 THE COURT: Why did you do that?

16 THE WITNESS: Because he had his hands on me.

17 THE COURT: Did he have his hands on you before you  
18 pushed him away?

19 THE WITNESS: The only reason I push him was to take  
20 his hands away from me.

21 THE COURT: So he put his hands on you first?

22 THE WITNESS: Yes.

23 Q. The reason that Lieutenant Valenzano put his hands on you  
24 was to stop you from getting back into the ambulance he ordered  
25 you out of, isn't that right?

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D3K8FLO2 Polanco - cross

1 A. I want to make sure I understand this question before I  
2 answer it.

3 THE COURT: The question is, this occurred after he  
4 told you to get out of the ambulance, but you tried to get back  
5 in, so he put his hands on your chest to stop you from going  
6 back into the ambulance.

7 THE WITNESS: That's not correct because that's not  
8 what I testified to. I never went back in the ambulance.

9 THE COURT: Did you try to?

10 THE WITNESS: I tried to get back in the ambulance. I  
11 wasn't allowed to.

12 THE COURT: Did you try to?

13 THE WITNESS: I made an attempt to go back in the  
14 ambulance.

15 THE COURT: Is that when he put his hands on your  
16 chest?

17 THE WITNESS: Yes.

18 THE COURT: To stop you from going back in the  
19 ambulance?

20 THE WITNESS: Yes.

21 Q. After he attempted to stop you from getting back in the  
22 ambulance is when you shoved him with your hands on his chest?

23 A. Yes.

24 Q. And it was after that time you were asked to surrender your  
25 gun and badge, correct?

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D3K8FLO2

Polanco - cross

- 1 A. It was while he have his hands on me that he was asking me  
2 for my firearm.
- 3 Q. And you didn't give it to him at that time?
- 4 A. Why should I?
- 5 Q. In fact, you told him he didn't have the authority to do  
6 that?
- 7 A. He didn't have the authority to have his hands on me.
- 8 Q. Then you were asked by additional officers at the scene to  
9 surrender your gun and badge, correct?
- 10 A. By who? No.
- 11 Q. By other officers at the scene you were not asked to  
12 surrender your gun and badge?
- 13 A. Can you give me the name of which officer?
- 14 Q. I am asking you if other officers at the scene asked you to  
15 surrender your gun and badge?
- 16 A. The only person that asked me at the end was ESU, and I did  
17 give them the firearm, yes.
- 18 Q. So there were other officers who made the request at the  
19 scene?
- 20 A. They were carrying an order through the lieutenant. The  
21 lieutenant gave the ESU officer an order to treat me as an  
22 emotionally disturbed person and remove my firearm, yes.
- 23 Q. You also shouted slurs at Lieutenant Valenzano with respect  
24 to his ethnicity, isn't that correct?
- 25 A. That's not correct.

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D3K8FLO2 Polanco - cross

1 Q. You were shouting at Lieutenant Valenzano at the scene for  
2 several minutes, correct?

3 A. Shouting what?

4 THE COURT: Shouting anything. Excuse me. Were you  
5 shouting at all?

6 THE WITNESS: I was shouting, why do you have your  
7 hands on me? Why the F are you touching me?

8 Q. Why the what?

9 A. Why the F are you touching me.

10 Q. You were using foul language, curse words?

11 A. He touched me, yes.

12 Q. You testified on direct examination that you had been in  
13 contact with the television, the news, Channel 7 I think?

14 A. Yes.

15 Q. Beginning in September, early September 2009?

16 A. Yes.

17 Q. Before your suspension. It was because you wanted to get  
18 the story out, is that right?

19 A. Yes.

20 Q. So that was before you contacted the ICO with your  
21 anonymous letter, is that right?

22 A. It was actually after.

23 Q. I thought you contacted the ICO in October of 2009?

24 A. No. The letter went out in September.

25 Q. So you issued an anonymous letter and then you contacted

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D3K8FLO2 Polanco - cross

1 the television?

2 A. Yes.

3 Q. And ultimately you spoke with a reporter Jim Hoffer from  
4 Channel 7, ABC News?

5 A. Yes.

6 Q. You spoke to him several times between September and when  
7 the television interview aired in March 2010?

8 A. A few times, yes.

9 Q. The television interview aired in March of 2010 on Channel  
10 7, correct?

11 A. Yes.

12 Q. In that interview, you discussed your allegations of quotas  
13 and unlawful stops, is that correct?

14 A. Yes.

15 Q. And Channel 7 played audio of roll calls from the 41st  
16 Precinct during that interview, isn't that correct?

17 A. Yes.

18 Q. Those were the audios that you had recorded at the 41st  
19 Precinct?

20 A. That I recorded, yes.

21 Q. Some of the ones we heard today?

22 A. Yes, I believe.

23 Q. Because you had provided those audio recordings to Channel  
24 7, correct?

25 A. It might have been my lawyer. I don't remember doing it

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D3K8FLO2 Polanco - cross

1 myself.

2 Q. You provided them to your lawyer, correct?

3 A. Yes.

4 Q. Then your lawyer provided them to Channel 7?

5 A. I would be speculating if I said. I don't know what he  
6 did. He might have.

7 Q. Are you aware that anyone else made those recordings that  
8 were played with your interview on Channel 7?

9 A. No.

10 Q. So they were your recordings?

11 A. The best of my belief, yes.

12 Q. Is it fair to say that at the time you contacted Channel 7  
13 in September 2009, you were dissatisfied with several of your  
14 supervisors at the 41st precinct?

15 A. The system, not only the supervisors. It was the system,  
16 the whole system itself.

17 Q. Specifically, the supervisors, individual people, you were  
18 dissatisfied with several people at the 41st Precinct?

19 A. It wasn't particularly towards people once again. It was  
20 towards the system, the way the whole precinct was operating.

21 Q. Inspector McHugh came to the 41st Precinct sometime in  
22 2008, isn't that correct?

23 A. I believe, yes.

24 Q. Do you recall when?

25 A. No, I don't.

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D3K8FLO2 Polanco - cross

1 Q. I believe your testimony at your deposition was, when  
2 Inspector McHugh arrived, that's when the quotas were imposed  
3 at the 41st precinct?

4 A. They had numbers, but the 1 and 20 came when McHugh  
5 arrived, later after his arrival.

6 Q. The 1 and 20, meaning one arrest, 20 summonses?

7 A. Yes.

8 Q. Was the quota ever something lower, 1 and 10, 1 and 15?

9 A. They used to -- it was not mandatory before. It was with  
10 McHugh.

11 Q. So prior to Inspector McHugh, you're saying that there were  
12 also quotas?

13 A. There were numbers, but they were not mandatory. So I  
14 wouldn't call them quotas.

15 Q. You actually knew Inspector McHugh from the 46th precinct,  
16 didn't you?

17 A. Not that I knew him. I seen him.

18 Q. You had worked at the 46th precinct prior to the 41st?

19 A. Yes.

20 Q. And Inspector McHugh was the commanding officer at the 46th  
21 precinct?

22 A. No. He was executive officer for impact.

23 Q. You aren't making any complaints that Inspector McHugh had  
24 quotas while he was the executive officer at the 46th precinct  
25 for impact?

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D3K8FLO2 Polanco - cross

1 A. That was not his precinct.

2 Q. You testified earlier today that actually Inspector McHugh  
3 wrote a letter for you on your behalf when you had had the  
4 domestic dispute incident?

5 A. Yes.

6 Q. To keep you at the command?

7 A. Yes.

8 Q. Because, in fact, you could have been transferred out of  
9 the command at that point?

10 A. Yes.

11 Q. You testified that the supervisor of the 41st Precinct,  
12 including the commanding officer, which would be Inspector  
13 McHugh, would come to roll call and address the officers and  
14 speak about quotas, is that right?

15 A. Yes. We heard it, yes.

16 Q. But none of the audio recordings that we heard today had  
17 Inspector McHugh discussing numbers and quotas on the  
18 recordings, did they?

19 A. Did you listen to the recording?

20 Q. I am asking you.

21 A. When he spoke about the summonses and how we are not going  
22 to get overtime if we don't do the summons, he is talking about  
23 quotas.

24 Q. Did you hear Inspector McHugh on those audio recordings  
25 saying one and twenty, or one and five, or one and ten, or

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D3K8FLO2 Polanco - cross

1 issuing a quota in the form of a number on those audio  
2 recordings?

3 A. No.

4 THE COURT: Now, that's the audio recordings. Did you  
5 hear him do it at all? Forget the audio.

6 THE WITNESS: The inspector and the captain washed  
7 their hands in the police department and they send a message  
8 through channels. They don't say it themselves. They will say  
9 it to the lieutenant. The lieutenant will tell it to the  
10 sergeant and the sergeant will come to us.

11 THE COURT: So you never heard McHugh use the actual  
12 numbers?

13 THE WITNESS: No. But if I may explain? The delegate  
14 said that he met with the CO and this is what he wanted.  
15 That's how they communicated it.

16 THE COURT: Thank you.

17 Q. So none of the recordings we listened to included Inspector  
18 McHugh making a statement with respect to numbers being a quota  
19 that the officers were supposed to achieve, correct?

20 A. I wouldn't agree with that, no.

21 Q. Inspector McHugh wasn't on the audios discussing a number,  
22 was he?

23 A. Yes. Indirectly he was, yes.

24 Q. Did he use a number?

25 THE COURT: We have already covered that. He didn't

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D3K8FLO2

Polanco - cross

1 use a number, and he didn't use a number even if it wasn't  
2 recorded. He said that. He didn't use numbers.

3 (Continued on next page)

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D3k9flo3

Polanco - cross

1 Q. Other than the recordings we've listened here to today, do  
2 you have other recordings where Inspector McHugh does use a  
3 number?

4 A. No.

5 THE COURT: And he told you McHugh never used numbers.

6 MS. COOKE: Thank you, your Honor.

7 THE COURT: Okay.

8 Q. You also stated that there was a quota for UF 250s that was  
9 imposed sometime in late 2009?

10 A. Yes.

11 Q. And that quota was five per month, right?

12 A. Five per month.

13 Q. You testified that Lieutenant Valenzano told you about that  
14 quota?

15 A. Many of them. Lieutenant Valenzano, McHugh. I said McHugh  
16 not directly. Through the -- Sergeant Rodriguez, Sergeant  
17 Bennett, the PBA delegates. Yes.

18 Q. So Lieutenant Valenzano, we didn't hear him on any of the  
19 audio recordings we listened to this morning?

20 MR. CHARNEY: That's not true. We did.

21 THE COURT: Let me hear the question.

22 Q. You did not hear Lieutenant Valenzano on any of the audio  
23 recordings we heard this morning?

24 MR. CHARNEY: That's not --

25 THE COURT: Please, please. Now we're going to do it  
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D3k9flo3 Polanco - cross

1 a third time because I didn't hear the question.

2 Q. We didn't hear Lieutenant Valenzano on any of the audio  
3 recordings this morning discussing a quota of five UF 250s, did  
4 we?

5 A. No.

6 Q. You testified that on several occasions a supervisor would  
7 direct you to write a UF 250 for a stop that you didn't  
8 observe; is that correct?

9 A. Yes.

10 Q. And in those situations you didn't observe the  
11 circumstances leading up to the stop, correct?

12 A. Yes.

13 Q. But you didn't ask the supervisor what happened leading up  
14 to the stop, did you?

15 A. We're not allowed to do that.

16 Q. So you didn't?

17 A. No. We're not allowed to question the supervisor. That's  
18 what they told us. Don't question us.

19 Q. Did you ever try asking the question?

20 A. I remember sometime in October Lieutenant Valenzano came up  
21 to me -- I was in the car with him. And he said Polanco  
22 whenever we call you to a scene to give you numbers it's  
23 because you're not taking care of your own. So don't put  
24 inform by because if we give you a summons we are not going to  
25 go testify on your behalf.

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D3k9flo3 Polanco - cross

1 Q. I'm asking you about 250s here.

2 A. Or 250s. It's the same, yes.

3 Q. It's the same?

4 A. Not the same because you don't testify for a 250. But they  
5 said don't question us. Just do what we said.

6 Q. You never asked the supervisor what the crime suspected to  
7 be on the 250 you wrote for a stop you didn't observe?

8 A. Sometime he say put anything.

9 THE COURT: Sometime what? I'm sorry.

10 A. He will say put anything. Fit the description. Or  
11 burglary pattern. Whatever. He say just put something in.

12 Q. But the question was you never asked.

13 A. Maybe a few times. Basically we were told what to put.

14 Q. And you don't have copies of these 250s that you were  
15 directed to write by a supervisor for a stop you didn't  
16 observe, do you?

17 A. We don't keep copies of 250s.

18 Q. Are you not allowed to keep copies?

19 A. It's not our policy to keep copies.

20 Q. But you don't have copies?

21 A. No, I don't.

22 Q. So you can't tell us the dates that these stops occurred or  
23 the locations?

24 A. I did give a few of them to internal affairs. And I don't  
25 know what they did with them up to this date.

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D3k9flo3 Polanco - cross

1 Q. You gave them copies of UF 250s? I thought you said you  
2 don't keep them?

3 A. I gave them locations and times of 250s. I also gave them  
4 copies for summonses that --

5 Q. We'll get to the summonses in just a minute.

6 You also -- during -- do you recall giving a  
7 deposition in this case?

8 A. Yes.

9 Q. And in that deposition you testified that there were also  
10 quotas for anticrime cops; is that correct?

11 A. Yes.

12 Q. And the anticrime cop quota was what?

13 A. How many pages was the deposition?

14 Q. Several.

15 A. How many questions? It would be impossible for me to  
16 remember everything.

17 Q. If I told you that you said that the quota for anticrime  
18 cops was higher than patrol officers, would you agree with  
19 that?

20 A. Yes.

21 Q. You also had claimed the conditions teams cops had had  
22 higher quotas; is that correct?

23 A. Yes.

24 Q. You never worked on anticrime or conditions at the 41, did  
25 you?

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D3k9flo3

Polanco - cross

1 A. No.

2 Q. I believe you also at your deposition identified the school  
3 unit had quotas; is that correct?

4 A. Yes.

5 Q. And their quota, you identified, was arresting five people  
6 a month or summonsing 25?

7 A. Yes.

8 Q. You never worked in the school unit, did you?

9 A. No.

10 Q. You never looked at the monthly activity reports for any of  
11 those units, did you?

12 A. No.

13 Q. So you wouldn't actually know the activity of the  
14 anticrime, conditions or school units?15 A. In a precinct like at 41 that would have a hundred cops, we  
16 talk to each other, yes.

17 Q. You didn't review their monthly activity reports, did you?

18 A. It's not my job to review any officer's activity report.

19 Q. You didn't review the monthly activity reports of officers  
20 in your own unit, did you?

21 A. It's not my job to do that, no.

22 Q. So but you didn't review it?

23 A. It's not -- no, I didn't.

24 Q. So you only know about your activity when you were at the  
25 41st precinct; is that correct?

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D3k9flo3 Polanco - cross

- 1 A. I only know about my activity, no. I only review my own  
2 activity. But is, as cops, we speak. And yes, I know  
3 people -- yes, I know officers in the school unit. Yes, I know  
4 officers in anticrime. And yes, I know officers in the  
5 conditions unit. And I was offered these positions a couple of  
6 times. And one of the supervisors told me that that's what  
7 they wanted.
- 8 Q. But at your deposition you couldn't recall a single name of  
9 an anticrime officer who told you what the quota is; isn't that  
10 correct?
- 11 A. Up to today, I can't recall it.
- 12 Q. At the time of your deposition you couldn't recall it  
13 either, correct?
- 14 A. Yeah.
- 15 Q. You couldn't -- likewise, you couldn't recall the name of a  
16 conditions officer who told you of a quota, correct?
- 17 A. No.
- 18 Q. And you couldn't recall the name of a school unit officer  
19 who told of a quota, correct?
- 20 A. There was a few of them.
- 21 Q. You couldn't recall a name though, correct?
- 22 A. No.
- 23 Q. You couldn't recall the name of a supervisor from any of  
24 those units who told you about quotas, correct?
- 25 A. Like I said, it's a long deposition with a lot of answers.

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D3k9flo3

Polanco - cross

1 MS. COOKE: I'm handing the witness a copy of his  
2 deposition from March 29, 2010.

3 Q. Directing your attention to page 144, line 16. I'll read  
4 the following questions and answers.

5 "Q. So when did they tell you about their quotas?

6 "A. Did they tell me -- they offered me conditions. They  
7 offered me crime. In order for you to go there, you know what  
8 the numbers are.

9 "Q. Who told you the numbers?

10 "A. Supervisors.

11 "Q. Which supervisors?

12 "A. I don't recall.

13 "Q. Who is the supervisor of conditions?

14 "A. Sergeant Rafter R-A-F-T-E-R.

15 "Q. Did Sergeant Rafter tell you what the quotas are for  
16 conditions?

17 "A. No.

18 "Q. A different supervisor did?

19 "A. No.

20 "Q. Did a supervisor tell you what the quotas were for  
21 conditions?

22 "A. No.

23 "Q. I'm not trying to be difficult. I just don't understand  
24 how you came to learn if a supervisor didn't tell you and none  
25 of the officers on conditions team told you, who told you?

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D3k9flo3 Polanco - cross

1 "A. Why is it so hard for you to believe that there's a quota.  
2 I don't understand."

3 Did you give those answers to those questions at your  
4 deposition?

5 A. Yes, I did.

6 Q. So you didn't provide the name of a supervisor who  
7 identified the quotas for the conditions team at your  
8 deposition in March of 2010?

9 A. No.

10 Q. When you were an officer at the 41st precinct would you  
11 consider it to have been a busy precinct?

12 A. Busy as of what?

13 Q. In terms of numbers of jobs, 911 calls?

14 A. On and off.

15 Q. And you worked four to twelve the entire time you were  
16 there?

17 A. Yes.

18 Q. Is four to twelve a busy tour?

19 A. Yeah, can be considered busy.

20 Q. More busy than a day tour?

21 A. It depends. Everyday. Crime don't have happen on a  
22 schedule. Sometime it happen at night. Sometime it happen at  
23 day. It depends.

24 Q. Were you aware of gang activity in the 41st precinct when  
25 you worked there?

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D3k9flo3 Polanco - cross

1 A. There might have been, yes.

2 Q. Do you recognize gang names MOB, a Block, Avenue St. John's  
3 Boys, Moneymakers.

4 Do you recognize any of those gang names?

5 A. It's been three-and-a-half years later. I do not recall  
6 any of those.

7 Q. Were there drug dealing conditions in the 41st precinct?

8 A. Probably yes.

9 Q. Probably?

10 A. Yeah, there were.

11 Q. Did you ever make a drug arrest?

12 A. Yes, I did.

13 Q. Were there chop shops in the 41st precinct?

14 A. What are chop shops?

15 Q. Illegal car -- selling car parts?

16 A. As a patrol officer, for me to know this illegal activity,  
17 it's almost impossible.

18 Q. You don't know about illegal activity in the 41st precinct  
19 as a patrol officer?

20 A. Not illegal activity. About they're selling car parts.  
21 Where I'm answering radio runs, it's hard to find out. Yes.

22 Q. So you weren't aware of that as a condition in the 41st  
23 precinct?

24 A. No, I wasn't.

25 Q. What about burglaries and robberies?

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D3k9flo3 Polanco - cross

1 A. There is plenty of those.

2 Q. What about domestic violence incidents?

3 A. A lot of those, yes.

4 Q. Shootings?

5 A. Yeah, there were shootings.

6 Q. What about problems with prostitution and pimps?

7 A. We did the three to eleven. From where I started to what  
8 it is, it's not much. It's not much of a problem like it used  
9 to be.

10 Q. What do you mean "like it used to be?" Are you referring  
11 to time period?

12 A. When I was there in 2007, 2006 there was a lot of  
13 prostitutes. With time going by, I don't know whether they die  
14 or whatever, but it's not the same.

15 Q. Were there problems with strip clubs and the violence  
16 attendant with strip clubs?

17 A. I do three to eleven. The clubs usually open after eleven.  
18 I don't know.

19 Q. What about commercial truck violations? Speeding? Trucks  
20 speeding?

21 A. Trucks speeding. As a patrol officer, I would have to look  
22 up to the highway to see the trucks, yes. I wouldn't know  
23 about that.

24 Q. And you were expected to do work each day that you came to  
25 the 41st precinct for your tour, correct?

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D3k9flo3 Polanco - cross

- 1 A. What is your definition of work?  
2 Q. I'm asking you. Were you expected to do work?  
3 A. What work are we talking about?  
4 Q. A police officer. Law enforcement?  
5 A. A police officer's job starts when they leave their house.  
6 Q. So you worked then for, during your tour, while you were at  
7 the 41st precinct?  
8 A. Yes.  
9 Q. Because you weren't getting paid for doing nothing; isn't  
10 that right?  
11 A. What is your definition of nothing? That's what I want to  
12 understand.  
13 Q. You have duties and responsibilities as a police officer,  
14 correct?  
15 A. Yes, I do.  
16 Q. I believe we looked at an exhibit yesterday. Counsel  
17 showed you an exhibit. The duties and responsibilities of a  
18 police officer; is that correct?  
19 A. Yes.  
20 Q. Would you like to look at that exhibit again?  
21 A. I remember it.  
22 Q. So those are some of your duties and responsibilities,  
23 right?  
24 A. Yes.  
25 Q. Because you said, in fact, that list wasn't entirely

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D3k9flo3 Polanco - cross

1 complete, I believe -- there was other things as an officer you  
2 would do?

3 A. Yes.

4 Q. And those were the kinds of things you were getting paid  
5 for when you came to work to perform your job as a police  
6 officer, right?

7 A. I don't understand your question at all.

8 Let me just clarify to you. The definition of work --

9 THE COURT: Wait. Wait. If you don't understand the  
10 question, let her rephrase it.

11 What are you asking, Ms. Cooke?

12 THE WITNESS: I'm sorry.

13 THE COURT: Would you rephrase.

14 Q. You received a paycheck while you were an officer at the  
15 41st precinct, correct?

16 A. Yes.

17 Q. And that paycheck was for the time you worked as an officer  
18 in the 41st precinct, correct?

19 A. Yes.

20 Q. And you were expected to perform certain job duties and  
21 responsibilities when you were working as an officer in the  
22 41st precinct; is that correct?

23 A. Yes.

24 Q. And your tour was 8 hours and 35 minutes; is that correct?

25 A. Yes.

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D3k9flo3 Polanco - cross

1 Q. And would you work approximately 20 days a month?

2 A. Sometime, yes. But not all the times.

3 Q. Not all the times because you would have vacation days or  
4 sick days?

5 A. Court. Vacation. Sent to another borough for other  
6 details. There was many reasons, yes.

7 Q. But on the days when you are in court or you get sent to  
8 another precinct, you were working those days?

9 A. Not necessarily.

10 Q. You were getting paid?

11 A. If, for example, I have to go to court, that day I don't go  
12 on patrol.

13 Q. I'm distinguishing I suppose between RDOs, vacation days,  
14 or sick days and days on patrol, days on assignment outside of  
15 the command, days in court.

16 So the total number of days you would be on patrol, or  
17 on assignment in another command, or in court would be an  
18 average of about 20 days a month?

19 A. Yes.

20 Q. Would you say that more often than not you were on patrol  
21 those 20 days?

22 A. Yes.

23 Q. In fact, in 2009 there were days where -- or there were  
24 months where you had no 250 activity or no arrest activity for  
25 the month, correct?

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D3k9flo3

Polanco - cross

- 1 A. Yes.  
2 Q. And some days you wouldn't issue any summonses when you  
3 were on patrol, correct?  
4 A. Yes.  
5 Q. You each day you arrived at work you would receive an  
6 assignment as patrol officer; isn't that right?  
7 A. I'm sorry?  
8 Q. Each day you arrived at work you would receive an  
9 assignment as patrol officer, right?  
10 A. A sector, yes.  
11 Q. Well not necessarily a sector, correct?  
12 A. Most --  
13 Q. Might be the telephone switchboard operator in the  
14 precinct?  
15 A. Sometime, yes.  
16 Q. Or you might guard a prisoner at the hospital?  
17 A. Sometime, yes.  
18 Q. Guard a prisoner in the cells?  
19 A. Yeah, sometimes.  
20 Q. Or you might be driving a supervisor?  
21 A. In my case I didn't -- I did not do that much.  
22 Q. But you did sometimes drive a supervisor?  
23 A. But -- sometime but not too often.  
24 Q. But that's an assignment that an officer can receive?  
25 A. I'm sorry?

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D3k9flo3 Polanco - cross

- 1 Q. That's an assignment an officer can receive for their tour,  
2 drive a supervisor?  
3 A. Yes. Yes.  
4 Q. You could also work a footpost; isn't that correct?  
5 A. Yes.  
6 Q. And, as you mentioned, you could be sent outside of the  
7 command on some special assignment, correct?  
8 A. Yes.  
9 Q. Like CRV?  
10 A. Yes.  
11 Q. Critical vehicle response?  
12 A. Yes.  
13 Q. Critical response vehicle. I'm sorry.  
14 Or parade?  
15 A. Yes. Details.  
16 Q. Some special detail. Yes.  
17 A. Yes.  
18 Q. All and all these things we've just discussed, these are  
19 assignments that need to be covered by a police officer; isn't  
20 that correct?  
21 A. Yeah, but if I might explain when you're on patrol,  
22 90 percent of the time that you come to the precinct you be on  
23 patrol.  
24 Q. But these are all other assignments that you conducted  
25 yourself as a police officer, correct?

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Polanco - cross

- 1 A. Yes.
- 2 Q. But you consider several of these assignments punishments;  
3 isn't that right?
- 4 A. Yes.
- 5 Q. Particular footpost. You consider that a punishment?
- 6 A. Yes. It's considered a punishment yes.
- 7 Q. You consider it a punishment?
- 8 A. Many officers consider it a punishment.
- 9 Q. Well we're just talking about you.
- 10 A. Okay.
- 11 Q. You consider driving a supervisor a punishment?
- 12 A. Depends.
- 13 Q. Depends on which supervisor?
- 14 A. No. Depends on every supervisor have their own drivers.  
15 They choose who drive them permanently.
- 16 If you are driving a supervisor for one day and one  
17 purpose, yes, it's a punishment.
- 18 Q. The supervisor needs a driver; isn't that correct?
- 19 A. Yes.
- 20 Q. You also consider working a checkpoint a punishment; isn't  
21 that correct?
- 22 A. Yes.
- 23 Q. As a police officer is one of your responsibilities  
24 enforcing the law?
- 25 A. Yes.

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D3k9flo3

Polanco - cross

1 Q. And issuing summonses is a means of enforcing the law;  
2 isn't that correct?  
3 A. Sometime, yes.  
4 Q. Sometimes. Is there a time when issuing a summons isn't  
5 enforcing the law?  
6 A. When you break the law to issue a summons it's not  
7 enforcing the law.  
8 Q. But -- and you've, in fact, issued summonses without  
9 probable cause; isn't that correct?  
10 A. I might have.  
11 Q. You might have?  
12 A. I was called to scenes to write summons by supervisors --  
13 other supervisors, yes.  
14 Q. Didn't you just have a department trial in which two  
15 summonses you wrote lacked probable cause and the charges for  
16 false statements?  
17 A. Would you like the details of that?  
18 MR. CHARNEY: Objection, your Honor.  
19 THE COURT: Would you like what did you say?  
20 THE WITNESS: The details. I can give her the details  
21 of those two summonses.  
22 THE COURT: You object to that?  
23 MR. CHARNEY: Because we tried --  
24 THE COURT: If you do, that's that.  
25 MR. CHARNEY: -- to ask questions about the details of  
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D3k9flo3 Polanco - cross

1 the trial.

2 THE COURT: Mr. Charney, if you object, I'm going to  
3 sustain it.

4 MR. CHARNEY: We do.

5 THE COURT: If you don't object, he wants to provide  
6 the details.

7 MR. CHARNEY: I object. I think the question should  
8 be stricken.

9 THE COURT: Nevermind. Do you want the details or  
10 not?

11 MR. CHARNEY: I don't.

12 THE COURT: You don't. All right.

13 Objection sustained.

14 MS. COOKE: If I may, it goes to false statements.

15 THE COURT: You made the point already. You said did  
16 you participate in a trial for writing false summonses. And I  
17 thought you said, and you were found to write false summonses.  
18 Then he said yes, but would you like the details. There was an  
19 objection to the details. I sustained it.

20 MR. CHARNEY: No. My objection is to the question.  
21 If you're going to allow the question, then I want the witness  
22 to have the right to explain.

23 THE COURT: That's what I thought.

24 MR. CHARNEY: So you're not going to sustain the  
25 objection to the question?

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D3k9flo3

Polanco - cross

1 THE COURT: Correct. I'm not going to sustain the  
2 objection to the question.

3 MR. CHARNEY: Well then I would like him to answer.

4 THE COURT: He can explain what happened.

5 Go ahead.

6 THE WITNESS: Early when I started testifying, when I  
7 report to internal affairs with a letter, in that letter  
8 there's an incident of the no dog license that was I was forced  
9 to write that I provided to internal affairs.

10 When I anonymously called internal affairs, I provided  
11 the same sample of the same no dog license that I was forced to  
12 write and for them to please do something about it.

13 When I got interviewed with them in December I gave  
14 them the copy of those two summonses saying yes, I wrote them  
15 under intimidation because the boss called me over. I was not  
16 there. I did not observe a dog.

17 A year later they took care of the investigation by  
18 charging me with perjury for writing the summons and promoting  
19 the supervisor.

20 THE COURT: And promoting the supervisor?

21 THE WITNESS: Promoting the supervisor.

22 THE COURT: Oh, and they promoted.

23 THE WITNESS: And they promoted, and charged me with  
24 perjury, yes, the summons that I reported, yes.

25 Q. So the first summons, the one for the lack of a dog

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D3k9flo3 Polanco - cross

1 license, you testified about that on your direct examination as  
2 well; is that correct?

3 A. Yes.

4 Q. And you identified Inspector McHugh, the individual who  
5 stopped and called you to the scene?

6 A. Yes.

7 Q. And when you arrived Inspector McHugh asked you to write a  
8 summons for someone not having a dog license?

9 A. Yes.

10 Q. But he didn't give you anymore specifics about how to write  
11 that summons up?

12 A. Nope.

13 Q. And you claim you did not see a dog, right?

14 A. I did not see a dog.

15 Q. But you wrote the summons for the person not having a dog  
16 license?

17 A. I was instructed by McHugh to write them a summons for no  
18 dog license, yes.

19 Q. And when you wrote that summons, you read the summons  
20 carefully, and you knew that it indicated that if you did not  
21 observe what you were recording you observed, you shouldn't  
22 sign that summons; isn't that correct?

23 A. I'm an officer. Yes. I knew that.

24 He was a captain. He should have known better.

25 Q. But you signed the summons; is that correct?

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D3k9flo3 Polanco - cross

1 A. He asked me to write it, yes.

2 Q. Did you ask the person where their dog was?

3 A. I told the person to fight it and bring it to court and I  
4 will see him there.

5 No, I didn't.

6 Q. Officer Polanco my question is: Did you ask the person you  
7 were writing a summons for lack of a dog license where their  
8 dog was?

9 A. He said he didn't have no dog.

10 Q. Did you ask him where his dog was?

11 A. I asked him about the dog. He said he didn't have one.

12 Q. Did you ask Inspector McHugh where the dog was?

13 A. Nope.

14 Q. For the second summons that's the subject of your  
15 department trial, that's what Inspector McHugh also called you  
16 to the scene when he had individuals stopped?

17 A. It was the same scenario.

18 Q. It's not the same scenario. It's not a dog license.

19 Isn't that correct?

20 A. But it's the same scenario.

21 Q. It's not a dog license, correct?

22 A. No, it's not.

23 THE COURT: What do you mean by the same scenario?

24 THE WITNESS: It's the same incident. There was two  
25 individuals in the same incident. That's what I'm trying to

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D3k9flo3

Polanco - cross

1 say.

2 Q. This one was a disorderly conduct summons; is that correct?

3 A. Yes.

4 Q. You claim the only reason that Inspector McHugh stopped  
5 these people was because they were black?

6 A. Yes. Absolutely, yes.

7 Q. But you weren't there at the time of the stop, were you?

8 A. But I was there after, yes.

9 Q. But you weren't there at the time of the stop, right?

10 A. No, I wasn't.

11 Q. And you don't know what the circumstances Inspector McHugh  
12 observed leading to the stop, do you?13 A. He told me he saw a dog with no license. That's why he  
14 stopped him. Supposedly.15 Q. You didn't know if there had been a radio run; is that  
16 right?

17 A. No. Because that was my sector. No radio runs.

18 Q. You don't know if the person fit the description of a  
19 perpetrator for crime, do you?

20 A. That's not how they put it out over the radio, no.

21 Q. You don't know that, do you?

22 A. If, in fact, it would be a description of somebody of a  
23 crime or anything like that, then he would have asked me to  
24 write a 250 or something for it.

25 Q. You don't know that those individuals that were stopped

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D3k9flo3 Polanco - cross

- 1 didn't fit the description of perpetrators of a crime, do you?  
2 A. I don't know it. And I was not told by the commanding  
3 officer, no.  
4 Q. You don't know if the people that were stopped were  
5 identified by victims of a crime, do you?  
6 A. No.  
7 Q. And you wrote and signed that summons as well, correct?  
8 A. Yes.  
9 Q. You're aware that pursuant to department policy, summonses  
10 are to be recorded in your memo book; isn't that correct?  
11 A. Yes.  
12 Q. But you don't always record the fact you've issued a  
13 summons in your memo book, do you?  
14 A. Sometime I did. Sometime I didn't.  
15 Q. You were trained on how to issue a summons when you were at  
16 the police academy, correct?  
17 A. I'm sorry?  
18 Q. You were trained on how to issue a summons at the police  
19 academy?  
20 A. Maybe. I don't recall.  
21 Q. Trained on memo book entries?  
22 A. Probably, yes.  
23 Q. That would include memo book entries for the summons?  
24 A. Probably, yes.  
25 Q. And you understand, as we discussed, the summons has a

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D3k9flo3 Polanco - cross

1 statement at the bottom that says: I personally observed the  
2 commission of the offense charged; is that correct?

3 A. Yes.

4 Q. And then you, as the officer, would sign as the  
5 complainant, as the person who observed the offense, correct?

6 A. Yes.

7 If I may, do you have a copy of those summonses?

8 Q. I don't have a copy of summonses.

9 There are instances in which the officer who fills out  
10 the summons is not the complainant who signs the summons; isn't  
11 that right?

12 A. Yes.

13 Q. In those instances the patrol guide instructs the officer  
14 to draw a line through the phrase "I personally observed."  
15 Isn't that correct?

16 A. No.

17 Q. No?

18 A. No.

19 Q. You're not familiar with the patrol guide section which  
20 instructs an officer to do that?

21 A. I'm not familiar with putting a line through an official  
22 document, no.

23 Q. So you've never reviewed patrol guide section 209-11?

24 A. What I was told --

25 Q. My question is if you've ever reviewed patrol guide section

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D3k9flo3 Polanco - cross

1 209-11?

2 A. I don't remember reviewing it.

3 Q. Signing the summons as the complainant when you don't  
4 personally observe the violation is considered a false  
5 statement under the law; isn't that right?

6 A. Yes.

7 Q. And only uniformed members of service below the rank of  
8 captain are required to carry books of summonses; isn't that  
9 correct?

10 A. To carry the books of summonses.

11 Q. To carry.

12 A. Not to issue them. To carry them. Yes.

13 Q. Yes.

14 So then if a captain or a deputy inspector or an  
15 inspector or someone of higher rank wanted to issue a summons,  
16 they would need to find an officer who does carry a book of  
17 summonses; isn't that correct?

18 A. Yes.

19 Q. No supervisor at the 41st precinct ever told you to sign  
20 the summons when you didn't personally observe it, did they?

21 A. Lieutenant Valenzano a week before, yes.

22 Q. Did a supervisor ever refuse to sign a summons that you  
23 completed that you had not personally observed?

24 A. They never asked for it.

25 Q. My question is did a supervisor ever refuse to sign a

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D3k9flo3

Polanco - cross

- 1 summons that you wrote out for a summonable offense you did not  
2 observe?  
3 A. No.  
4 Q. You testified that you have observed officers making stops  
5 in the 41st precinct that lacked reasonable suspicion; is that  
6 correct?  
7 A. Yes.  
8 Q. But you never raised this issue with supervisors at the  
9 41st precinct?  
10 A. Yes, I did.  
11 Q. Which supervisor?  
12 A. Sergeant Bennett.  
13 Q. Did you report the misconduct to IAB?  
14 A. Excuse me?  
15 Q. Did you report that misconduct to IAB?  
16 A. Yes. In September and November and December.  
17 Q. Prior to September of 2009 in -- you joined the police  
18 department in 2005, correct?  
19 A. Yes.  
20 Q. Between 2005 and 2009 you observed officers make stops  
21 without reasonable suspicion; is that correct?  
22 A. Yes.  
23 Q. And you never reported that conduct until 2009; is that  
24 correct?  
25 A. Yes.

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D3k9flo3

Polanco - cross

- 1 Q. In these stops that you observed officers making stops  
2 without reasonable suspicion, were you there at the time of the  
3 stop?
- 4 A. Some of them, yes.
- 5 Q. So some of them you were not?
- 6 A. Some of them I wasn't.
- 7 Q. So some of them you weren't aware of the circumstances that  
8 led to the stop?
- 9 A. Some of them, no. But I will hear. I will listen to both  
10 sides.
- 11 Q. You weren't the partner of the officer making each of those  
12 stops, were you?
- 13 A. Maybe at some point, yeah. Maybe. I don't know.
- 14 Q. You didn't record these suspicionless stops in your memo  
15 books, did you?
- 16 A. No.
- 17 Q. The stops that you weren't present for the circumstances  
18 leading up to the stop, you weren't aware that there wasn't a  
19 radio run prompting the stop, were you?
- 20 A. Some of them.
- 21 Not some of them, no. I'm sorry.
- 22 Q. For the stops that you weren't present leading to the  
23 circumstances, you weren't aware if there was a radio run?
- 24 A. Some -- no.
- 25 Q. You weren't aware whether or not the person stopped fit a

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D3k9flo3 Polanco - cross

1 description, were you?

2 A. No.

3 Q. You weren't aware of whether the person stopped was  
4 suspected of carrying a weapon?

5 A. No.

6 Q. You weren't aware of whether the person stopped was  
7 identified by a victim of a crime?

8 A. No.

9 Q. So your belief that these persons were stopped,  
10 suspicionless stopped in the 41st precinct is because the  
11 communities in which these stops took place are largely black  
12 and Hispanic; isn't that right?

13 A. Yes. Absolutely.

14 MR. CHARNEY: Objection.

15 THE COURT: And it was also your own experience, is  
16 that not right?

17 THE WITNESS: Yes. And I work in all the communities  
18 where we don't interact with people that way. We interact in  
19 the Bronx.

20 THE COURT: You've made those stops and filled out  
21 those forms yourself --

22 THE WITNESS: Some of them.

23 THE COURT: -- like you're supposed to do; is that  
24 right?

25 THE WITNESS: Yes, some of them.

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D3k9flo3 Polanco - cross

1 THE COURT: So that's part of your experience also,  
2 right?

3 THE WITNESS: Yes, your Honor.

4 Q. During your time you worked in the 41st precinct, you were  
5 aware that the residents of the 41st precinct were largely  
6 black and Hispanic; is that correct?

7 A. Yes.

8 Q. And you would agree that at the same time the majority of  
9 complainants of crime in the 412 precinct were also black and  
10 Hispanic?

11 A. The majority. Not all of them.

12 Q. The majority?

13 A. Yes. The majority I agree.

14 THE COURT: Let me interrupt now. It's 12:45.

15 I'm going to stop now for the luncheon recess and  
16 reconvene at 2:00. But I have a request.

17 Off the record.

18 (Discussion off the record)

19 (Luncheon recess)

20

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D3k9flo3

Polanco - cross  
AFTERNOON SESSION  
2:05 p.m.

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(Trial resumed)

THE COURT: Please be seated.

Ms. Cooke.

BY MS. COOKE:

Q. Officer Polanco?

A. Yes.

Q. Unlike the summons paperwork, there is no place on a UF 250 for an officer to sign that they personally observed the stop; is that correct?

A. I believe not.

THE COURT: I can't hear you.

THE WITNESS: I believe not.

Q. I'm handing the witness what has been introduced into evidence as Plaintiffs' Exhibit 19 -- 419 into evidence and marked for identification Plaintiffs' Exhibit 420 and Defendants' Exhibit X-11. Three documents we're going to be using. Your Honor, paper copies for you.

THE COURT: Is there any objection to receiving these?

MR. CHARNEY: No.

THE COURT: They're all received; 419, 420. What's the other one.

MS. COOKE: Defendants' X-11.

THE COURT: All right. Those are the three that are

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D3k9flo3 Polanco - cross

1 received.

2 (Plaintiffs' Exhibits 419 and 420 received in  
3 evidence)

4 (Defendants' Exhibit X-11 received in evidence)

5 Q. Officer Polanco, do you recognize these documents?

6 A. The top one, it's not one that I usually see because that  
7 one is done by a supervisor.

8 Q. Are you looking at Plaintiffs' Exhibit 419 when you're  
9 saying the top one?

10 A. The top one is 923?

11 Q. Yes.

12 A. 419, yes. That's filled out by a supervisor.

13 Q. Then if you turn the page. On the second page of this  
14 exhibit, Plaintiffs' 419, the Bates stamp ending 924, that's  
15 your monthly performance report for January 2009. I think we  
16 discussed yesterday with your counsel.

17 A. I believe so, yes.

18 Q. And the other documents that I've handed you that have been  
19 admitted into evidence, Defendants' X-11, are these similar  
20 documents for different months?

21 A. Yes.

22 Q. And Plaintiffs' 420, the third document, are those again  
23 similar documents for different months?

24 A. 420?

25 Q. Yes. The one that says Bates stamp ending 944?

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D3k9flo3 Polanco - cross

1 A. Yes, I believe so.

2 Q. We're going to start with Plaintiffs' Exhibit 419 and the  
3 Bates stamp the second page that begins -- that ends Bates  
4 stamp 924.

5 That's your January 2009 monthly performance report,  
6 correct?

7 A. Yes.

8 Q. And in January of 2009 what were the quotas for arrests,  
9 summonses, and 250s at the 41st precinct?

10 A. I don't recall -- for that particular -- I don't recall  
11 exactly.

12 Q. You don't recall?

13 A. For that month. For that particular month, no.

14 Q. Were there quotas for arrests, summons, and 250s in January  
15 of 2009?

16 A. Yes, I believe so.

17 Q. What's the first month that you do recall in 2009 that  
18 there was a number for a quota?

19 A. I don't recall a specific month. But I will probably guess  
20 February, March, maybe.

21 Q. Okay. You think February?

22 A. To the best of my recollection.

23 Q. Okay. Let's turn to the Bates stamp in the same document  
24 Bates stamp 18926 which is your February 2009 monthly  
25 performance report; is that correct?

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D3k9flo3

Polanco - cross

- 1 A. Yes.
- 2 Q. And what is it you recall were the quotas in February of
- 3 2009 at the 41st precinct?
- 4 A. I believe it's 21. I'm not sure.
- 5 Q. Twenty-one?
- 6 A. I believe. I'm not --
- 7 Q. All right. And how -- 20 was for the number of summonses
- 8 for the month, correct?
- 9 A. Yes.
- 10 Q. And looking at this NYC\_2\_0018926 Bates stamped page of
- 11 Plaintiffs' Exhibit 419. I see that you make zero arrests for
- 12 the month; is that correct?
- 13 A. Yes.
- 14 Q. And you issued zero summonses?
- 15 A. According to this paper -- this is not a paper I've been
- 16 holding so I don't know what's been done to this paper.
- 17 Q. You haven't been holding it but is this your handwriting on
- 18 this document?
- 19 A. I couldn't even attest to that. I'm sorry.
- 20 Q. You don't believe you completed this paper?
- 21 A. I might have. I might have not. I've been out of the
- 22 department for almost four years. I don't know who wrote this.
- 23 Q. Do you recognize your name at the top?
- 24 A. It's definitely my name, yes.
- 25 Q. Do you not believe you wrote this piece of paper?

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D3k9flo3 Polanco - cross

1 A. I'm not saying I didn't. I'm not say I did.

2 I'm saying this document was out of my hands for more  
3 than four years. So I don't know what's been done to it. I  
4 cannot testify to it.

5 Q. Is there a document in here that you do recognize your  
6 handwriting?

7 A. No. Not really.

8 Q. Turning to Bates stamped page 8929 which is the March 2009  
9 monthly performance report for Officer Polanco.

10 Do you see that page?

11 A. Yes.

12 Q. And you see for March 2009 you recorded one arrest?

13 A. Like I said, I cannot testify to what's here. This  
14 document been out of my hands for almost four years. I don't  
15 know whether, through a warrant, if they did --

16 Q. Does the document reflect one arrest for the month of  
17 March?

18 A. (No response).

19 Q. As you look at it here?

20 A. Yes, it does.

21 Q. Does it reflect four summonses?

22 A. Yes. I believe that's what it reflects.

23 Q. Turning to Bates stamped page 18931 -- I'm sorry. 18932  
24 which is the April 2009 officer monthly performance report.

25 A. Yes.

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D3k9flo3 Polanco - cross

1 Q. Do you see that page?

2 A. Yes.

3 Q. Is this your handwriting?

4 A. I cannot secure whether it is my handwriting. I'm not  
5 sure.

6 Q. Is your name at the top?

7 A. That's my name, yes.

8 Q. Does this document reflect one arrest on a warrant for the  
9 month?

10 MR. CHARNEY: Which page is this?

11 MS. COOKE: The Bates stamp is cut off but it's  
12 189350.

13 THE COURT: Well it says April 2009 on the upper  
14 right-hand corner. April 2009.

15 Q. Does this April 2009 monthly performance report for you,  
16 Officer Polanco, reflect one arrest made on a warrant?

17 A. It reflects that, yes.

18 Q. And it reflects 14 summonses?

19 A. Where?

20 Q. If you total, in the summons column, I see parking  
21 violation, there's a total of one.

22 That's a summons, correct?

23 A. Yes. Like I said --

24 Q. Moving violation. There's a three?

25 A. Yes.

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D3k9flo3 Polanco - cross

1 Q. And criminal court, you see summonses, there's a 10?

2 A. That's what the paper says.

3 Q. So that would total 14, correct?

4 A. Yes.

5 Q. And UF 250s for the month of April 2009 on the performance  
6 report for Officer Polanco, it's four, correct?

7 A. According to this paper, yes.

8 Q. Do you have any reason to believe you had more activity for  
9 the month of April 2009 than is reflected on this report?

10 A. I know how capable this department is of doing wrong stuff  
11 that I don't honestly put it past them that they just threw  
12 numbers in here. It's a possibility.

13 Q. Do you recall filling out a monthly performance report for  
14 each month you were at the 41st precinct?

15 A. Yes.

16 Q. Finally, the last page of this document, from May of 2009.  
17 The Bates stamp is 18934.

18 A. Yes.

19 Q. Do you see that there are two arrests reflected on this  
20 performance report for you, Officer Polanco, for May of 2009?

21 A. According to this paper, yes.

22 Q. Do you see there are ten -- a total of ten summonses and it  
23 is hard to read. I apologize.

24 A. According to the paper, yes.

25 Q. And three UF 250s for the month of May 2009?

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D3k9flo3

Polanco - cross

- 1 A. Yes.
- 2 Q. So for none of those months we just reviewed, January,  
3 February, March, April, or May of 2009 did you have 20  
4 summonses; is that correct?
- 5 A. According to this paper, no.
- 6 Q. Turning to Defendants' Exhibit X-11, Officer Polanco?
- 7 A. Yes.
- 8 Q. And the second page of the document which is Bates stamped  
9 18936. It's a monthly performance report for you for June 2009  
10 with your name at the top. Do you see that?
- 11 A. Yes.
- 12 Q. Do you recognize this handwriting?
- 13 A. No.
- 14 Q. On this document do you see that it reflects one arrest for  
15 you for the month of June 2009?
- 16 A. Yes.
- 17 Q. And do you see five summonses for the month?
- 18 A. Yes.
- 19 Q. And do you see one UF 250?
- 20 A. Yes.
- 21 Q. And that month you had eight days on patrol, correct?
- 22 A. I'm going to speak according to the paper. Yes.
- 23 Q. The document reflects that this is monthly activity for  
24 Officer Polanco and it indicates eight days on patrol. That's  
25 what the document reflects, correct?

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D3k9flo3 Polanco - cross

1 A. That's what the document reflects, yes.

2 Q. Turning to the fourth page of this document or fifth page

3 Bates stamp 18938. I'm sorry. Wait. Hold on. 18939.

4 18939. I'm sorry. August 2009.

5 A. Yes.

6 Q. Just one second. Do you see that this has your name at the  
7 top, Officer Polanco?

8 A. Which one are we talking about?

9 Q. August 2009.

10 A. Yes. Yes.

11 Q. Do you recognize this handwriting?

12 A. No.

13 Q. You don't believe it's your handwriting?

14 A. It can be. It could be. I'm not -- I cannot affirm that  
15 it is. No.

16 Q. Do you recall filling out a monthly performance report for  
17 August 2009 at the 41st precinct?

18 A. Possible, yes.

19 Q. Do you see that this document reflects had you seven days  
20 on patrol this month?

21 A. Yes.

22 Q. And one arrest?

23 A. Yes.

24 Q. And four summonses?

25 They were all criminal court summonses?

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D3k9flo3

Polanco - cross

1 A. Yes.

2 Q. And zero UF 250s?

3 A. That's what the paper says.

4 Q. So you didn't satisfy a summons quota of twenty in the  
5 month of August 2009 according to this paperwork?

6 A. According to this paper.

7 THE COURT: What?

8 THE WITNESS: According to the paper, no.

9 Q. You didn't have any 250s. So you didn't reach a quota for  
10 the 250s for August 2009?

11 A. According to this paperwork.

12 Q. If I could direct your attention to Bates stamped page  
13 18938 which is the squad supervisor's recapitulation for August  
14 of 2009.

15 Was Sergeant Edgar Padilla your squad supervisor?

16 A. Yes, he was.

17 Q. Is that his name at the top of this document?

18 A. I believe, yes.

19 Q. And at the bottom of the document do you see a comment in  
20 the section it says comments by squad supervisor?

21 A. I can roughly see it. Yes.

22 Q. Do you see the handwriting appears to read, and I'll read  
23 it out loud, 7 MOS. What is MOS?

24 A. Member of service.

25 Q. So members of service in squad. No SQFs. Impossible with  
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D3k9flo3 Polanco - cross

1 a car break pattern.

2 Do you see that?

3 A. I cannot make it out just by seeing it. I can't. It's not  
4 legible.

5 Q. Okay. Do you have a reason to think it doesn't read  
6 "impossible with a car break pattern"?

7 A. I cannot make it out. I don't know how you can, but.

8 Q. So the comment indicates that there was seven members of  
9 service in this squad this month who had no UF 250s; is that  
10 correct?

11 A. Yes.

12 Q. And you were one of those seven members of the squad with  
13 no 250s this month, correct?

14 A. According to the paper, yes.

15 Q. I'd like to play track five of your audio recording roll  
16 call. It's one minute and nine seconds in total. I'm going to  
17 play the entire track.

18 MR. KUNZ: I'll hit play right now.

19 (Audio recording played)

20 MS. COOKE: What time did we stop that?

21 MR. KUNZ: We stopped at 48 seconds.

22 Q. The speaker you identified this morning that was Lieutenant  
23 Valenzano, correct?

24 A. Yes.

25 Q. Did you hear Lieutenant Valenzano in that recording say:

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D3k9flo3

Polanco - cross

1 We're still having problems with car break-ins, guys. If you  
2 see people over there on bikes carrying bags, you know, good  
3 stops?

4 A. Yeah.

5 Q. Did you hear that?

6 A. Yeah.

7 Q. Do you believe this recording was made -- you believe these  
8 recordings were made after September of 2009, correct?

9 A. Yes.

10 Q. Do you recall a car break-in pattern in the 41st precinct  
11 in the fall of 2009?

12 A. It's been a long time. I don't recall, no.

13 Q. Do you ever recall that there was a car break-in pattern in  
14 the 41st precinct when you were there?

15 A. Not to my recollection, no.

16 Q. You don't recall a car break-in pattern focused in sectors  
17 Frank and Charlie in late 2009?

18 A. I don't recall.

19 Q. Did you ever patrol in sectors Frank and Charlie?

20 A. Frank and Charlie are not two sectors. He doesn't even  
21 know what he's talking about.

22 THE COURT: Say that again.

23 THE WITNESS: In the 41, sector Frank and Charlie  
24 doesn't exist.

25 THE COURT: Doesn't exist?

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D3k9flo3

Polanco - cross

- 1 THE WITNESS: Nope. He's talking out of I don't know  
2 where. It doesn't exist.  
3 Q. I said Frank and Charlie.  
4 A. He said Frank and Charlie also. He did. He's wrong.  
5 Because it doesn't exist.  
6 Q. So there is no sector F and there is no sector C in the  
7 41st precinct?  
8 A. No F and C. There's Eddie, Frank, Ida. And there's Boy  
9 and Charlie. There's no Frank -- F and C.  
10 Q. You mean that multiple alphabet letters are combined and  
11 they form a sector. Is that what you're telling us?  
12 A. Yes. And he combined F and C, which they don't.  
13 Q. F and C geographically are not adjacent to each other?  
14 A. No.  
15 Q. But they exist. They just are grouped E, F and G. And  
16 then B and C. Correct?  
17 A. Yes.  
18 Q. You testified that one of the punishments for failing to  
19 meet a quota would be driving a supervisor; is that right?  
20 A. There's two terms of driving a supervisor, yes.  
21 Q. But one of them you considered a punishment, correct?  
22 A. The one where you driving for that day, for one solely  
23 purpose, yes, it's a punishment.  
24 Q. Looking at Plaintiffs' Exhibit 419, Bates stamped page  
25 18926, which is your February 2009 -- well the February 2009

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D3k9flo3 Polanco - cross

1 monthly performance report for Officer Adhyl Polanco.

2 Do you see the assignments listed down the left-hand  
3 column of the page?

4 A. I'm still looking for it.

5 Q. I'm sorry. February 2009.

6 A. Yes.

7 Q. Do you see on the twenty -- am I correct that the numerical  
8 column on the far left represents each day of the month. So  
9 one would indicate the first day; two the second day of the  
10 month. Correct?

11 A. Yes.

12 Q. And so down on 24 that would be the 24th day of February,  
13 2009?

14 A. Yes.

15 Q. And it says there's SGT OP is that sergeant's operator?

16 A. Yeah.

17 Q. Is that your assignment for the day?

18 A. According to this paper, yes.

19 Q. And according to this paperwork on this day as sergeant's  
20 operator you made ten radio runs?

21 A. What was the date? I'm sorry.

22 Q. The 24th.

23 A. Yes.

24 Q. And no -- you issued -- you made no arrests?

25 A. According to the paper, no.

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D3k9flo3 Polanco - cross

1 Q. And issued no summonses, according to the paper?

2 A. According to the paper.

3 Q. And you conducted three stop and frisks, correct?

4 A. Yes.

5 Q. In fact, turning to March 2009 in the same document Bates  
6 stamped page 18929, the monthly performance report for  
7 Professor Polanco -- sorry, Officer Polanco.

8 A. I wish.

9 Q. March 2009?

10 A. Yes.

11 Q. Do you see on the fourth day of the month you have  
12 assignment for sergeant operator?

13 A. Yes.

14 Q. And also on the 25th day of the month you were a  
15 sergeant's operator; is that correct?

16 A. Yes.

17 Q. And looking at the fourth day of the month. There were six  
18 radio runs conducted as the sergeant's operator?

19 A. According to this paper.

20 Q. According to this paper.

21 And no other activity under any column on this report.  
22 So that would be no arrests, no summons, and no UF 250s.

23 Is that correct?

24 A. According to this paper, again, yes.

25 Q. And down on the 25th day of the month, you were also the

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D3k9flo3 Polanco - cross

1 sergeant's operator.

2 There was no radio runs, correct?

3 A. No.

4 Q. No arrests?

5 A. Nope.

6 Q. One summons.

7 And no UF 250s. Is that correct?

8 A. According to this paper, again.

9 Q. Officer Polanco, you were never denied a day off for  
10 failure to meet a quota, were you?

11 A. Very often.

12 Q. Very often?

13 A. Mm-hmm.

14 Q. How many times?

15 A. Plenty of times. We were told simply not to put in for the  
16 day if we didn't have the numbers.

17 Q. Did you make a request for a day off that was denied?

18 A. I asked the supervisor. And he said don't -- if you don't  
19 have the numbers, don't even bother.

20 Q. To receive a day off, you have to complete a piece of  
21 paper?

22 A. Most of the time we'll ask the supervisor if we can put in  
23 for it.

24 Q. But to put in for a day off, there's a document you have to  
25 submit?

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D3k9flo3 Polanco - cross

1 A. Sometime, most of the time, in patrol before we even bother  
2 with the paper we will ask the supervisor first.

3 Q. But did you ever submit a piece of paper completed for a  
4 day off and were denied because --

5 A. I might have. I might have.

6 Q. You've never had a shift change because failure to meet a  
7 quota, have you?

8 A. Myself, no.

9 Q. You do claim, though, that other officers were transferred  
10 from the 41st precinct because of failure to meet quotas; is  
11 that correct?

12 A. I know of at least one, yes.

13 Q. And the one you're referring to is Officer Velazquez?

14 A. Yes.

15 Q. In fact, you claim that he was sent to the firearms tactic  
16 section because he failed to meet quotes; isn't that right?

17 A. Yes.

18 Q. But, in fact, Officer Velazquez wasn't transferred because  
19 of failure to meet quotas; isn't that right?

20 A. How would I know?

21 Q. Well Officer Velazquez was transferred because he was on  
22 restricted due to a line-of-duty injury; isn't that right?

23 MR. CHARNEY: Objection, your Honor. She's  
24 testifying.

25 THE COURT: Sustained.

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D3k9flo3 Polanco - cross

1 Q. While you were at the 41st precinct, you never put in for  
2 a recommendation and were denied, did you?

3 A. Recommendation as of -- that's a poor question. What is a  
4 recommendation?

5 Q. Well you've testified in this case in a deposition in  
6 March 2010, correct?

7 A. Yes.

8 Q. So directing -- do you still have your deposition, correct?

9 A. Yes, I do.

10 Q. Directing your attention to page 156 of the deposition  
11 transcript.

12 A. What page are we looking at?

13 Q. 156.

14 A. Yes.

15 Q. Line 12.

16 "Q. Was there ever an occasion where -- actually I couldn't  
17 understand what you -- I wasn't sure what you were saying  
18 earlier, whether you were saying that you need to show your  
19 commanding officer your activity when you need a recommendation  
20 signed or did you say an accommodation?

21 "A. Accommodation -- or accommodation, if anything, if you're  
22 applying for a unit, if you're applying to go to another  
23 precinct, or if you're applying to get an off-duty job, if  
24 you're applying to pay detail, those things he has to sign in  
25 order for you to get.

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D3k9flo3 Polanco - cross

1 "Q. Have you ever put in for a recommendation and been denied  
2 the recommendation?

3 "A. No.

4 "Q. Have you ever put in for an accommodation and been denied  
5 the accommodation?

6 "No.

7 "Q. Have you ever put in for paid detail and been denied the  
8 paid deal.

9 "No.

10 "Q. Have you ever put in for off duty work and been denied off  
11 duty work?

12 "A. No."

13 Were you asked those questions and did you provide  
14 those answers during your deposition?

15 A. Yes.

16 Q. I believe you testified this morning that you never  
17 received a low performance evaluation at the 41st precinct;  
18 is that correct?

19 A. Not to my knowledge, no.

20 Q. That was either on quarterly evaluation or an annual  
21 evaluation?

22 A. Not to my knowledge, no.

23 Q. And you testified yesterday that you didn't ever seek  
24 overtime and were denied because of failure to meet quotas?

25 A. Seek?

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D3k9flo3

Polanco - cross

1 Q. Request?

2 A. I don't remember giving those exact words, no.

3 Q. You said you weren't much of an overtime person?

4 A. That I said.

5 (Continued on next page)

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D3K8FLO4 Polanco - cross

- 1 Q. Do you recall requesting overtime and getting denied  
2 because of low activity?  
3 A. They basically told us, this is what you have to do, and if  
4 you're not willing to do it, don't ask for it.  
5 Q. Did you ever ask for overtime and get denied?  
6 A. I didn't bother to ask for it.  
7 Q. So you never asked?  
8 A. Sometime, but like I said, they told me this is the  
9 numbers, and if you don't agree to do these numbers, you don't  
10 get it, simple as that.  
11 Q. Do you recall a time where you requested overtime and were  
12 denied the opportunity to work overtime?  
13 A. No. Overtime is not usually requested.  
14 Q. I understand. But my question is, do you recall a time you  
15 requested to work overtime and you were denied?  
16 A. No.  
17 Q. You did work impact overtime tours occasionally?  
18 A. Yes, I did.  
19 Q. During those tours, you didn't necessarily always meet the  
20 quota for the tour, correct?  
21 A. I believe in some of them I didn't.  
22 Q. Some of them you did not?  
23 A. Yes.  
24 Q. But you still were able to work an overtime tour again  
25 after that, correct?

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D3K8FLO4 Polanco - cross

1 A. After I don't do the five I have to wait till they forget  
2 about it to get it again.

3 Q. But you did work overtime again after you worked a tour  
4 where you failed to meet a quota?

5 A. I can't knowledgeably respond to that. I don't recall  
6 that.

7 Q. Do you recall that there was a time you failed to meet a  
8 quota on overtime and then were never allowed to work overtime  
9 again?

10 A. Never? No.

11 Q. Every time that you worked an overtime tour you were  
12 compensated for the overtime, correct?

13 A. There is a law for that, yes.

14 Q. Officer Polanco, you testified yesterday that you didn't  
15 think supervisors at the 41st Precinct cared about your  
16 activities as an officer unless they involved a UF0-250, a  
17 summons, or an arrest, is that right?

18 A. Of course, yes.

19 Q. You said supervisors don't care about how many radio runs  
20 you conduct?

21 A. They don't.

22 Q. Or domestic violence calls you would go on?

23 A. They don't.

24 Q. But radio runs and domestic violence incidents are counted  
25 on all those monthly activity reports we just reviewed,

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D3K8FLO4 Polanco - cross

1 correct?

2 A. They are posted.

3 Q. They are counted. There is a box and a line and a column  
4 and they are totaled for the month?

5 A. Yes.

6 Q. You don't know what kind of review takes place of this  
7 monthly activity report after you submit it to your supervisor,  
8 do you?

9 A. They only want to know of one thing and one thing only.

10 Q. My question, Officer Polanco, is, you don't know what  
11 review takes place of this document after you submit it to your  
12 supervisor, do you?

13 A. To my knowledge and my experience, the review that takes  
14 place is about the quantity of arrests, summonses, and 250s  
15 that you write.

16 Q. You don't know who looks at this document and for what  
17 purpose, do you?

18 A. The sergeant and the platoon commander and the CO.

19 Q. In fact, isn't it true, Officer Polanco, that there are  
20 some domestic violence situations where you can't, in fact,  
21 mediate the incident, you must arrest?

22 A. Yes, of course.

23 Q. So, in fact, even if you wanted to use your discretion and  
24 not take law enforcement action in a situation, you would be  
25 required to take law enforcement action, isn't that correct?

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D3K8FLO4 Polanco - cross

1 A. Yes.

2 MS. COOKE: I have no further questions at this time.

3 THE COURT: Mr. Charney.

4 MS. COOKE: One moment.

5 A couple of more questions

6 Q. Looking at Defendants' Exhibit X11, Officer Polanco.

7 This is the August 2009 monthly performance report?

8 A. Yes.

9 MS. COOKE: 18939.

10 Q. Looking at the column on the far left, which has your  
11 assignments or absences for the month, do you see the beginning  
12 on August 9, you were RDO, which means regular day off,  
13 correct?

14 A. Yes.

15 Q. RDO means you're not working?

16 A. Yes.

17 Q. So you were RDO the 9th, the 10th, and the 11th, correct?

18 A. Yes.

19 Q. And then it says VAC, that's vacation?

20 A. Yes.

21 Q. You had vacation the 12th, the 13th, the 14th, the 15th,  
22 the 16th, correct?

23 A. Yes.

24 Q. And then on the 17th, I believe that says RDO again?

25 A. Yes.

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D3K8FLO4 Polanco - cross

1 Q. And the 18th, RDO?

2 A. Yes.

3 Q. Then the 19th, it says VAC, so it's vacation again?

4 A. Yes.

5 Q. The 19th, the 20th, the 21st, the 22nd, the 23rd, correct?

6 A. Yes.

7 Q. Then the 24th and 25th say RDO, is that correct?

8 A. Yes.

9 Q. So according to this document, from the 9th to the 25th of  
10 August 2009, you were out of the command and not working either  
11 because of RDO or vacation day, correct?

12 A. Yes.

13 Q. So you weren't denied those days off in the month of August  
14 2009?

15 A. Those days cannot be denied. That's my annual vacation.

16 Q. Thank you.

17 THE COURT: Mr. Charney, redirect.

18 REDIRECT EXAMINATION

19 BY MR. CHARNEY:

20 Q. Officer Polanco, you testified earlier that you considered  
21 getting foot post to be a punishment. Do you remember that?

22 A. Yes.

23 Q. Why do you consider it to be a punishment?

24 A. If you're a patrol officer who is used to a car for so many  
25 years, and all of a sudden they want you to do a foot post,

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D3K8FLO4

Polanco - redirect

1 when you have people with less seniority than you on the  
2 command, it's because something is not right.

3 Q. You also testified earlier that doing checkpoints you  
4 considered to be a punishment. Why is that?

5 A. Because the checkpoints that we were doing were not legal.  
6 They were checkpoints solely to get numbers and following  
7 absolutely no legal pattern.

8 Q. You also testified earlier that you believe that driving  
9 the supervisors when you're doing it just on an assigned day,  
10 that that was a punishment. Why do you consider that to be a  
11 punishment?

12 A. Because if you are driving him -- there's occasion where  
13 you're driving just because you're driving. It happens once or  
14 twice a month. But there is occasion when you're driving him,  
15 McHugh said that he will have you drive a supervisor, when he  
16 does it under those terms, it's solely to get numbers.

17 Q. We also heard defense counsel ask you whether or not  
18 Officer McHugh referred to any specific numbers on the  
19 recording that we heard him speak on. Do you recall that?

20 A. Yeah.

21 Q. And you said he did not, right?

22 A. Directly, I don't think he mentioned 20/1 directly, no.

23 Q. Did you hear him use any language on that recording that  
24 made you think that the numbers that you had heard from other  
25 supervisors were mandatory?

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D3K8FLO4

Polanco - redirect

1 A. Of course.

2 Q. What language was that?

3 A. When he said it was nonnegotiable.

4 Q. You also testified, I think on cross-examination, that you  
5 waited until September 2009 to report your concerns to Internal  
6 Affairs?

7 A. Yes.

8 Q. Can you tell us why you waited till September 2009 to  
9 report your concerns?

10 A. I fear retaliation, and up to this day I do.

11 Q. Why do you fear retaliation?

12 A. Today or then?

13 Q. Then.

14 A. Then because every time a cop step out of the blue wall, as  
15 they call it, we are considered rats. That's what they call us  
16 around the precinct. They want you to see and be quiet; they  
17 don't want you to open your mouth.

18 Q. You also testified on cross-examination that the first two  
19 times you spoke to IAB you did not identify your name, you  
20 spoke to them anonymously. Do you recall that?

21 A. Yes.

22 Q. At any point in time, did you speak to IAB and actually  
23 give them your name?

24 A. Yes. In December 2009.

25 Q. Did you speak to them at any point after that?

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D3K8FLO4

Polanco - redirect

1 A. I believe it was the day after the Channel 7 stuff aired.  
2 All of a sudden IAB group one show up to my house, supposedly  
3 they needed to help me. It was the day after the news aired.

4 Q. You had a meeting with them then?

5 A. Yeah. They came to my lawyer's office.

6 Q. Do you remember what you discussed with them in that  
7 meeting?

8 A. I discussed with them all the evidence that I had provided.  
9 I told them that I was suspended based on an allegation that I  
10 called racial slurs to our lieutenant, that I refused to leave  
11 an ambulance. And I provided them with an audio of the  
12 incident, and they listened for themselves that such accusation  
13 never happen. I gave that to Internal Affairs also.

14 Q. When you say that accusation, which accusation are you  
15 referring to?

16 A. The retaliation for me reporting corruption. That's what I  
17 believe I got suspended for.

18 Q. What was on the recording that you provided IAB?

19 A. It was the actual incident I had, the incident when the  
20 ambulance happened, the lieutenant did not know that I had a  
21 recorder in my pocket. So he went and gave his version of what  
22 happened without knowing it was recorded.

23 Q. In your recollection, what did that recording in your view  
24 and your recollection indicate about that incident?

25 A. Basically --

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D3K8FLO4

Polanco - redirect

1 MS. COOKE: Objection, your Honor. To the extent that  
2 he is going to be testifying about hearsay about a recording,  
3 about statements from people.

4 MR. CHARNEY: I am not asking what was said on the  
5 recording. I am asking for his recollection of what was  
6 depicted. He testified that what was on the recording  
7 contradicted what the lieutenant told IAB, and I am trying to  
8 get an understanding of why he believes that.

9 THE COURT: Doesn't that inevitably call for him to  
10 say what the lieutenant said on the recording?

11 MR. CHARNEY: There were things that were said and  
12 things that were done during that incident by various people.

13 THE COURT: Things that were done he can describe.  
14 It's not a statement.

15 Q. So you provided them with this recording you said -- when  
16 was this that you provided IAB with this recording?

17 A. With that particular recording, they came in -- I believe  
18 it was right after the media. It might have been before. It's  
19 been a long time. I don't want to give you false information.  
20 It might have been before.

21 Q. Is it fair to say it was in March of 2010?

22 A. Around that time, yes.

23 Q. After March of 2010, did IAB ever speak to you about again  
24 about the allegations you had made?

25 A. They did. And what they did was they brought me to ask me

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D3K8FLO4

Polanco - redirect

1 about the summonses that I reported; they asked me for a copy  
2 of them. And that's when a year later IAB charged me with  
3 perjury.

4 Q. These are the two summons you testified about on  
5 cross-examination?

6 A. Yes.

7 Q. So, to your knowledge, does the Internal Affairs Bureau  
8 have a copy of the audio that you say was taken during the  
9 incident between you and Lieutenant Valenzano?

10 A. Yes, they do.

11 Q. Is it your testimony that there are statements made by  
12 Lieutenant Valenzano on that recording?

13 A. Yes.

14 MR. CHARNEY: Your Honor, we believe that that's an  
15 admission of a party opponent. He is a pretty high-ranking  
16 NYPD official making statements on a recording. So it seems to  
17 me that we should be allowed to ask what those statements are.

18 MS. COOKE: That has to do with an incident involving  
19 a partner in an ambulance.

20 THE COURT: That's the point. It's a statement of a  
21 party opponent. The question is, is that sufficient?

22 One minute.

23 801(d)(2), "A statement is offered against a party and  
24 was made by the party in an individual or representative  
25 capacity." So it doesn't have to be an issue raised in this

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D3K8FLO4

Polanco - redirect

1 lawsuit. Of course, all evidence has to be relevant under 403,  
2 but I think this has become relevant because we have heard a  
3 lot of testimony about this incident. You brought out who  
4 pushed who first. He stopped him from getting into the  
5 ambulance. I think it's relevant. And since there is no  
6 qualification under 801(d)(2)(A), it has to be about an issue  
7 in the suit, then I can turn to 403, and under 403 it is  
8 relevant, or 401.

9 MS. COOKE: I still object. I do believe it is  
10 hearsay.

11 THE COURT: It can't be. Wait. It's not hearsay. I  
12 just read you the rule. Definitions that apply to this  
13 article. Exclusions from hearsay, right? 801(d), statements  
14 that are not hearsay. (d)(2)(A), opposing party statement. "A  
15 statement is offered against an opposing party and was made by  
16 the party in an individual or representative capacity." He was  
17 being questioned about incident.

18 Then one just has to look at that point at 401. It  
19 says test for relevant evidence. "Evidence is relevant if it  
20 has a tendency to make a fact more or less probable than it  
21 would be without the evidence."

22 MS. COOKE: Respectfully, I disagree that it's an  
23 admission by a party.

24 THE COURT: It doesn't say the word admission. Do you  
25 want to hear it for a third time or do you want to pull out

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D3K8FLO4 Polanco - redirect

1 your copy? 801(d)(2). A statement is offered against opposing  
2 party, and it is, and was made by the party in an individual or  
3 representative capacity. And that's true. He is a lieutenant.  
4 That's all. Of course it's not hearsay. Bring it out because  
5 I am allowing it.

6 Mr. Charney, let's go.

7 BY MR. CHARNEY:

8 Q. On that recording, what did Lieutenant Valenzano say that  
9 contradicts his version of the events?

10 MS. COOKE: At this point is he testifying about a  
11 document that is not in evidence or a recording that is not  
12 before the Court?

13 THE COURT: It's what the lieutenant said. It happens  
14 that it is also on a recording, but he probably remembers it  
15 because he was there when he said it and he recorded it. He is  
16 testifying to what the lieutenant said. It's just helpful that  
17 it happens to be on a recording, but he also knows what he  
18 said.

19 Do you remember what he said?

20 THE WITNESS: Absolutely.

21 THE COURT: What did he say?

22 THE WITNESS: When I was in the ambulance, the  
23 lieutenant said, Polanco, I have the sector going.

24 THE COURT: Polanco what?

25 THE WITNESS: I have the sector going.

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D3K8FLO4

Polanco - redirect

1 THE COURT: I have the sector going?

2 THE WITNESS: Meaning the sector car.

3 THE COURT: Sector car?

4 THE WITNESS: I have the sector. That's how we  
5 understand. He has the sector car going.

6 He was outside the ambulance. I was inside. So as I  
7 was coming out he said, Polanco, I have the sector going. I  
8 said, What was that, lieutenant? I got the sector going. OK.  
9 Lieutenant, is there any reason why I can't go with my partner?  
10 He said, No, I told you I have the sector going. He wrote the  
11 summons. So I walk away.

12 At that point there was no interaction, there was no  
13 pushing, there was no racial. How am I going to scream racial  
14 if I have a recorder in my pocket? That was what happened.

15 Five minutes later is when I came back to him and I  
16 ask him, you can do as you please, I am going with my partner.  
17 I am outside the ambulance. This is when he assaulted me, a  
18 criminal charge. He put his hands on me. And that's why I  
19 push him. I didn't punch him as I should have. I didn't kick  
20 him. I push his hands off my chest because he assaulted me.  
21 He gave me a reason for me to strike him.

22 Q. Was this recording played at the disciplinary hearing you  
23 concluded a couple of weeks ago?

24 A. Yes. Absolutely.

25 Q. I want to ask you about Defendants' Exhibit X11. Is that

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D3K8FLO4 Polanco - redirect

1 still on the screen? Do you have that in front of you X11, the  
2 monthly report?

3 A. Yes, I do.

4 Q. I want to turn to page 18939, which was the August 2009 one  
5 that we were looking at?

6 A. Yes.

7 Q. Do you see on the second page --

8 THE COURT: What do you mean second page?

9 Q. The page after that, where it says 18940.

10 THE COURT: OK.

11 A. Yes. The last page.

12 Q. Can you read what it says under number 7, additional  
13 supervisory comments?

14 A. "PO Polanco activity was low due to his administrative  
15 assignment in crime analysis."

16 Q. Do you know what that means?

17 A. I was doing crime analysis work and that's why my --  
18 administrative work. I was working inside.

19 Q. Was that as opposed to doing something else?

20 A. Opposed to going on patrol.

21 Q. How many days on patrol do you have for August according to  
22 page 18939?

23 A. I had seven.

24 Q. In your experience as a patrol officer in the 41st  
25 Precinct, if an officer is working full time as a patrol

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Polanco - redirect

1 officer and not doing administrative duties, typically how many  
2 days on patrol would he have?

3 A. 20, 25. I'm sorry, if I could explain. This is what I  
4 doubt the legibility of this paperwork, because nowhere here it  
5 says I do crime analysis.

6 Q. What about again on page 18940, do you see what is written  
7 under number 3 on that page?

8 A. Yes.

9 Q. What is written under that?

10 A. "PO Polanco activity was low due to his administrative  
11 assignment in crime analysis."

12 THE COURT: That was number 7. He said number 3.

13 A. "PO Polanco is always courteous and respectful."

14 Q. Earlier I think you were asked on cross-examination whether  
15 or not you recorded how many radio runs you did in a month on  
16 your monthly activity report, and I think you said yes.

17 My question is, when your supervisors would review  
18 these monthly activity reports with you, did they ever discuss  
19 with you your radio run activity for a month?

20 A. No, absolutely not.

21 MS. COOKE: Objection. I don't believe the witness  
22 ever testified he reviewed these reports with the supervisor.

23 MR. CHARNEY: He did on direct, and I have the  
24 transcript which I can read from.

25 THE COURT: Did your supervisors review the monthly

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D3K8FLO4 Polanco - redirect

1 activity reports with you?

2 THE WITNESS: Yes.

3 THE COURT: Go ahead.

4 So when they did, did they ever discuss with your

5 radio run activity for a month?

6 THE WITNESS: Yes.

7 Q. They did discuss your radio run activity?

8 A. Repeat the question.

9 Q. When your supervisors would review your monthly activity  
10 reports with you, when you were in the 41st Precinct, did they  
11 ever discuss your radio run activity that was on those forms?

12 A. No.

13 Q. Did they ever discuss the number of domestic incidents you  
14 responded to?

15 A. No.

16 Q. Did they ever discuss the number of crime victims you  
17 assisted during a tour or a month?

18 A. No.

19 Q. Which, if any, categories of activity on the monthly  
20 activity report did your supervisor discuss with you when he  
21 would review this form with you?

22 A. Specifically, the daily recap did not include domestic, did  
23 not include radio runs. The daily recap is what they ask for  
24 us to fill out. It include activity on summonses, activity on  
25 250, and activity on arrests solely.

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D3K8FLO4

Polanco - redirect

1 Q. That's daily. I am talking about the monthly activity  
2 report.

3 A. It's the same. That's what they would be looking for.  
4 They would be looking for summonses, arrests, 250.

5 Q. Just to be clear, when your supervisors discussed with you  
6 the monthly activity reports, other than arrests, summonses and  
7 stop and frisks, did they discuss any other activity categories  
8 from those monthly reports?

9 A. No.

10 Q. On cross you were asked about the patrol guide section on  
11 summonses. Do you remember that?

12 A. Yes.

13 Q. I believe there is a provision in there that says you are  
14 not to sign the summons saying you observed the incident if in  
15 fact you didn't observe it?

16 A. Yes.

17 Q. But you also testified, right, that there were cases where  
18 you did sign summonses for things you didn't observe?

19 A. Yes.

20 Q. Why did you do that?

21 A. Because they trying to say that the reason captains don't  
22 carry -- don't write them is because they don't carry them. So  
23 when McHugh called me to the scene, he should have asked me for  
24 a blank summons for him to write it. He shouldn't have told me  
25 write the summons. That's what he told me, write the summons.

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D3K8FLO4

Polanco - redirect

1 Q. You would agree that patrol guide procedure prohibits you  
2 from actually signing a summons saying you observed something  
3 if you didn't observe it, right?

4 A. Yes. That's why I report it.

5 Q. Why did you do it if you knew that it was in fact against  
6 patrol guide procedure?

7 A. It's intimidation. I had nowhere to go. I am a police  
8 officer. He is a captain. If I go against his words, I can  
9 promise you I probably will drown.

10 Q. What do you mean by that?

11 A. They are going to look for any reason to get me in trouble.  
12 They are going to look for any reason to suspend me, like they  
13 did, or for any reason to even suspend me with pay. They  
14 suspended me with pay for three years.

15 MR. CHARNEY: One minute, your Honor.

16 Q. A couple of more questions.

17 Turning to Exhibit X11. Do you see that again?

18 A. Give me one second, please. Yes. It's in front of me.

19 Q. If you turn to the second page, 18936. Do you see that?

20 A. Yes.

21 Q. If you go to the bottom of the page, can you tell me,  
22 according to this document, how many patrol tours you did in  
23 that month?

24 A. According to them, eight.

25 Q. How many radio runs did you conduct in that month?

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D3K8FLO4

Polanco - redirect

1 A. 54.

2 MR. CHARNEY: One more second.

3 Q. Turning back to Plaintiffs' Exhibit 419. If you look at  
4 the second page. Again, it's 18926.

5 A. 419. What month is that?

6 Q. The second page of the document, which is Bates stamp  
7 18926.

8 A. Is this February?

9 Q. Yes.

10 A. I have it in front of me.

11 Q. According to this document, how many patrol tours did you  
12 work that month?

13 A. Four.

14 Q. How many radio runs did you do?

15 A. 36.

16 Q. How many stop and frisks did you conduct?

17 A. Five.

18 MR. CHARNEY: One more minute, your Honor.

19 No further questions.

20 THE COURT: Thank you, Mr. Charney.

21 Any recross?

22 MS. COOKE: Just one minute, your Honor.

23 RE-CROSS-EXAMINATION

24 BY MS. COOKE:

25 Q. Officer Polanco, you don't think a checkpoint is punishment

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D3K8FLO4 Polanco - recross

1 if it's a legal checkpoint, correct?

2 A. At least, yes. It depends. If there is a need for the  
3 checkpoint, it's absolutely OK to do it.

4 Q. If it's conducted in the manner as you described as legal,  
5 it's not a punishment, correct?

6 A. It could be a punishment. But like I said, what is the  
7 reason for the checkpoint? Are we addressing a situation or  
8 are we accumulating numbers? Which one are we doing? It  
9 depends.

10 Q. If the checkpoint is conducted legally and the summonses  
11 are issued at the checkpoint, the summonses are legal, correct?

12 A. Like I said, it depends.

13 Q. Based on my question, if you can't answer my question, you  
14 just need --

15 THE COURT: He said it depends. You could ask, it  
16 depends on what?

17 MS. COOKE: No further questions.

18 THE COURT: I guess we are done with this witness?

19 OK. Thank you.

20 Your next witness, Mr. Charney.

21 MS. BORCHETTA: We are next going to have some  
22 deposition designations, and as per the Court's stated  
23 preferences, we are going to have an attorney from the  
24 plaintiffs reading the questions from the transcript and an  
25 attorney from the defendants representing the witness.

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D3K8FLO4

"Marrero"

1 The first witness we will be doing in this matter is  
2 Victor Marrero, and we have transcripts that are marked with  
3 plaintiffs' designations in pink and defendants' designations  
4 in yellow. There are some designations that the defendants  
5 have objected to and one of defendants' attorneys will object  
6 when that question is posed to address to that objection.

7 MR. CHARNEY: For the context, this witness is related  
8 to Officer Polanco. He worked in the same precinct.

9 THE COURT: Why can't he be here live?

10 MS. BORCHETTA: Pursuant to our request to the Court,  
11 we are seeking to do some testimony through deposition  
12 designations in the hopes of --

13 THE COURT: Taking less time?

14 MS. BORCHETTA: Yes. Thank you.

15 THE COURT: Remind me of the color code, Ms.  
16 Borchetta.

17 MS. COOKE: The pink is plaintiffs and the yellow is  
18 defendants' designations.

19 THE COURT: Ms. Martini.

20 "Q. You said you joined the NYPD in 2007, is that right?

21 "A. Yes.

22 "Q. Why did you decide to join the New York Police Department?

23 "A. I wanted to continue my professional law enforcement.

24 "Q. What was your first -- "

25 "Q. Could you please state your name for the record?

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D3K8FLO4 "Marrero"

1 MS. RICHARDON: My real name or the witness's name?

2 THE COURT: This is Victor Marrero.

3 MS. RICHARDON: This is from Victor Marrero's  
4 transcript.

5 MS. MARTINI: Thank you.

6 "Q. What was your first assignment when you joined the NYPD?

7 "A. Besides the academy, impact.

8 "Q. Were you assigned to a particular precinct?

9 "A. Yes.

10 "Q. Which one?

11 "A. 52.

12 "Q. How long did you work in the 52?

13 "A. Six months.

14 "Q. Then what was your next assignment after that?

15 "A. I was transferred to the 41.

16 "Q. Do you remember the month and the year of that transfer?

17 "A. July 2008.

18 "Q. July 2008?

19 "A. Yes.

20 "Q. Are you still assigned to the 41st Precinct?

21 "A. Yes.

22 "Q. What was your position when you were first assigned to the  
23 41st Precinct?

24 "A. Patrol.

25 "Q. What is your position now?

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D3K8FLO4 "Marrero"

- 1 "A. Still in patrol.  
2 "Q. So have you had the same job title and position since you  
3 have been in the 41st Precinct?  
4 "A. Yes.  
5 "Q. Have you been assigned to the same patrol squad the entire  
6 time you have been in the 41st Precinct?  
7 "A. Yes.  
8 "Q. So, Officer Marrero, I assume you know an officer by the  
9 name of Adhyl Polanco?  
10 "A. Yes.  
11 "Q. Did he at one point work with you in the 41st Precinct?  
12 "A. Once.  
13 "Q. Was he in a patrol squad?  
14 "A. Not the same squad, but we rotate days, yes.  
15 "Q. When you say that you rotate days, what do you mean by  
16 that?  
17 "A. He's in a different squad.  
18 "Q. But are you guys in the same platoon, I guess?  
19 "A. Yes.  
20 "Q. So you guys usually work the same tour?  
21 "A. Yes.  
22 "Q. So then would it be fair to say that you were usually at  
23 the same roll calls as Officer Polanco?  
24 "A. Yes.  
25 "Q. Who was your squad supervisor in the fall of 2009?

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D3K8FLO4

"Marrero"

1 "A. Sergeant Rodriguez.  
2 "Q. Who was your platoon commander at that time?  
3 "A. Lieutenant Valenzano.  
4 "Q. Is Lieutenant Valenzano still your platoon commander?  
5 "A. No.  
6 "Q. When did he stop being your platoon commander?  
7 "A. I believe the month of June.  
8 "Q. June of this?  
9 "A. This year.  
10 "Q. So about a month ago?  
11 "A. Yes.  
12 "Q. Did you know why he stopped being your platoon commander?  
13 "A. No.  
14 "Q. Is he still a platoon commander in the 41st Precinct?  
15 "A. Yes.  
16 "Q. Does he now work a different tour?  
17 "A. Yes.  
18 "Q. Let me just go back. In the fall of 2009, what tour? Did  
19 you generally work the same tour?  
20 "A. I didn't understand your question.  
21 "Q. So in the fall of 2009, did you generally work the same  
22 tour every day that you worked?  
23 "A. Yes.  
24 "Q. What tour was that?  
25 "A. 1500 by 2335.

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D3K8FLO4 "Marrero"

1 "Q. So it's a 3 p.m. to 11:30 p.m. approximately?

2 "A. 3 p.m. to 11:35 p.m.

3 "Q. Do you still work that tour now?

4 "A. Yes.

5 "Q. Have you always worked that tour since you have been in  
6 the 41st Precinct?

7 "A. Yes.

8 "Q. I am going to mark this as Marrero Exhibit 1. I'm most  
9 interested in pages 4 through 6.

10 "Officer Marrero, have you had a chance to review the  
11 document?

12 "A. Yes.

13 "Q. This document appears to be -- is it fair to say that it  
14 appears to be a news story which discusses statements made by  
15 Officer Polanco?

16 "A. Yes."

17 MS. COOKE: This is the section of an objection from  
18 the defendants with respect to this designation on the grounds  
19 that it's hearsay. It's a news article and asking about  
20 statements contained therein by Officer Polanco and asking the  
21 witness whether or not the statements are accurate. So it's a  
22 newspaper recording on an audio interview and then asking this  
23 witness if statements by another person are accurate. That's  
24 the nature of the objection with respect to the next two pages.

25 MS. MARTINI: We are not moving the article into

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"Marrero"

1 evidence for now at this time. Additionally, the article is  
2 not offered for the truth of the matter asserted therein. They  
3 are only offered as background and context for the next  
4 question that has been asked in the deposition, which I have  
5 not yet asked.

6 THE COURT: It purports to tell me Officer Polanco's  
7 statements.

8 MS. MARTINI: I don't believe Officer Polanco's  
9 statements are in this section.

10 MS. COOKE: I believe they are.

11 THE COURT: If you look down at lines 24, 25.

12 MS. COOKE: This witness is being asked about the  
13 accuracy.

14 THE COURT: I realize that.

15 MS. MARTINI: Officer Polanco's statements are not  
16 coming in for their truth, but I would submit that witness  
17 Marrero's response would be coming in for the truth, and he is  
18 on the stand.

19 THE COURT: But he can't say what Officer Polanco  
20 said.

21 MS. MARTINI: He can say whether he believes it to be  
22 true or not.

23 MR. CHARNEY: That is what he is being asked.

24 THE COURT: I understand that. To do that, you would  
25 have to put in Officer Polanco's statement, and that seems

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D3K8FLO4

"Marrero"

1 unfair.

2 MR. MOORE: I don't know that you would have to put in  
3 his statement because it's simply a way of asking him questions  
4 about whether he agrees with certain positions Polanco had  
5 taken, whether it be here today or a statement in the  
6 newspaper. So it's a fair of facilitating the search for his  
7 knowledge, his belief about what was going on in the 41st  
8 Precinct.

9 MS. COOKE: The way the questions are asked in the  
10 deposition is using a news article reporting on an videotaped  
11 interview on a television program and asking this witness about  
12 the accuracy of someone else's statements. That's  
13 inadmissible.

14 THE COURT: I think you are going to have to skip to  
15 page 42, line 21.

16 MS. MARTINI: Would I be permitted to read page 41,  
17 line 16 through 21. The defendants did not object to that  
18 section, beginning with "in this article"?

19 THE COURT: Yes. You could read that.

20 MS. MARTINI: Thank you.

21 "Q. In this article, the term or the phrase 1 and 20 is used.  
22 Have you ever heard that term before?

23 "A. Yes.

24 "Q. What does that term mean to you?

25 "A. One arrest and 20 summonses."

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D3K8FLO4

"Marrero"

1 THE COURT: Then you can go to line 21 on page 42.

2 "Q. Let's go back to the one and 20 then. So this one arrest  
3 and 20 summonses, how long, to your knowledge, has that been a  
4 requirement for officers in the 41st Precinct?

5 "A. A couple of months now.

6 "Q. Just a couple of months?

7 "A. Yeah. Stemming from last year.

8 "Q. So from 2009 at some point?

9 "A. Yes.

10 "Q. How is this 1 and 20 requirement communicated to officers  
11 in the 41st Precinct, if you can recall?

12 "A. Roll call.

13 "Q. Were there particular NYPD personnel who communicated this  
14 requirement at those roll calls?

15 "A. Patrol supervisors.

16 "Q. What about platoon commanders?

17 "A. Yes.

18 "Q. So patrol supervisors. Do you remember the names of any  
19 of those patrol supervisors?

20 "A. Yes.

21 "Q. Was one of them Sergeant Bennett?

22 "A. Yes.

23 "Q. Were there other patrol supervisors that you can recall  
24 the names of who communicated the 1 and 20 requirement?

25 "A. Sergeant Bennett.

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"Marrero"

1 "Q. What about Sergeant Rodriguez?

2 "A. No.

3 "Q. What about Sergeant Padilla?

4 "A. No.

5 "Q. What about Lieutenant Valenzano?

6 "A. Yes.

7 "Q. What about the precinct commander, Inspector McHugh? Did  
8 he ever discuss the 1 and 20 requirement at a roll call?

9 "A. Yes.

10 "Q. I guess when I say the 1 and 20 requirement, how, if at  
11 all, did supervisors or platoon commanders or commanding  
12 officers in the 41st Precinct, how did they check to determine  
13 if officers were meeting those requirements?

14 "A. Monthly reports. Monthly police officers' reports.

15 "Q. So, in other words, the monthly activity reports that you  
16 patrol officers fill out every month?

17 "A. Yes.

18 "Q. I am going to mark this as Marrero Exhibit 2.

19 "Officer Marrero, you have had a chance to review  
20 Exhibit 2?"

21 MS. COOKE: The defendants have an objection to page  
22 45, line 7, through 46, line 6, because these questions are  
23 about a document which is a supervisor's recapitulation.  
24 Officer Marrero is not a supervisor and there is a lack of  
25 foundation of personal knowledge for him to be testifying about

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D3K8FLO4 "Marrero"

1 the document.

2 MS. MARTINI: If you look at the next several lines of  
3 the designated testimony, the witness's attention is focused on  
4 the second page of the exhibit, which I believe a similar  
5 document was just introduced as an exhibit. The second page of  
6 the document is the monthly performance report, which is the  
7 document that the officers fill out.

8 THE COURT: There is nothing of substance here. I  
9 think we can skip all of this.

10 Where should you pick up?

11 MS. MARTINI: I will pick up at --

12 THE COURT: I think you should pick up at page 68,  
13 line 2.

14 MS. MARTINI: Perfect, your Honor.

15 "Q. Have you ever personally been ordered by Inspector McHugh  
16 or Lieutenant Valenzano to write a summons for an incident that  
17 you personally did not observe?

18 "A. No.

19 "Q. But you are aware of them doing it to other officers in  
20 the 41st Precinct?

21 "A. Yes.

22 "Q. How did you become aware of those other officers being  
23 forced to do that?

24 "A. Inspector McHugh or the lieutenant would come over the  
25 radio requesting a sector or a patrol car, administrative only,

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D3K8FLO4 "Marrero"

1 85 them, not emergency to their location.

2 "Q. What does 85 mean?

3 "A. Meet them to the location.

4 "Q. When you say meet them, meaning the officer would have to  
5 meet either Inspector McHugh or Valenzano at a particular  
6 location?

7 "A. Whichever one is calling over the air.

8 "Q. When the officer would meet them there, that is when, to  
9 your knowledge, that officer would be ordered to write the  
10 summons for whatever it was that Inspector McHugh or Lieutenant  
11 Valenzano had stopped the person for?

12 "A. Yes.

13 "Q. Did you ever discuss with your fellow officers in the  
14 precinct whether or not anybody had a problem or objection with  
15 being ordered to do that, to write a summons for something they  
16 didn't actually see?

17 "A. Discuss with someone?

18 "Q. Yes.

19 "A. No.

20 "Q. What is your personal view of that? Would you feel  
21 comfortable writing a summons for an alleged violation or a  
22 misdemeanor or felony that did you not actually personally  
23 observe?

24 "A. Would I feel uncomfortable? Yes.

25 "Q. Do you know the names of any of the officers in the 41st

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D3K8FLO4

"Marrero"

1 Precinct who were, to your knowledge, ordered to write  
2 summonses for incidents they didn't observe?  
3 "A. No.  
4 "Q. Have any of your squad supervisors ever told you either in  
5 roll calls or in meetings with you or your squad that the  
6 summons activity of officers in the 41st Precinct was too low?  
7 "A. Excuse me. No.  
8 "Q. Have you ever been told, either in a roll call or -- have  
9 you ever been told by supervisors at a roll call or in meetings  
10 or individually one on one that the UF-250 activity of officers  
11 in your precinct is too low?  
12 "A. No.  
13 "Q. Has Inspector McHugh ever said at a roll call that you  
14 have been at that the summons activity of officers in your  
15 precinct was too low?  
16 "A. No.  
17 "Q. Has Inspector McHugh ever said at a roll call that you  
18 have been at that UF-250 activity in your precinct was too low?  
19 "A. No.  
20 "Q. Has anyone in the 41st precinct ever told you individually  
21 that your summons activity was too low in any given month?  
22 "A. No.  
23 "Q. Has anyone in your precinct ever told you individually  
24 that your UF-250 activity in a given month was too low?  
25 "A. Yes.

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D3K8FLO4

"Marrero"

- 1 "Q. When was that?  
2 "A. I believe in the month of October of 2009.  
3 "Q. Who told you that?  
4 "A. Lieutenant Valenzano.  
5 "Q. Do you remember, was that something he did on a one-on-one  
6 meeting with you?  
7 "A. One-on-one.  
8 "Q. So does he regularly meet with you one-on-one to discuss  
9 your activity?  
10 "A. No.  
11 "Q. So he specifically sought you out and said, 'I want to  
12 meet with you or discuss this with you privately'?  
13 "A. He did not specifically seek me out, no.  
14 "Q. So how did it come to be that you met with him to discuss  
15 the activity from that month?  
16 "A. Me and my partner were separated so I asked him if we  
17 could work together.  
18 "Q. You and your partner had been separated so you asked  
19 Lieutenant Valenzano if you could work with your partner?  
20 "A. Yes.  
21 "Q. What was Lieutenant Valenzano's response?  
22 "A. You're lacking 250s. Pick them up.  
23 "Q. Did he say that if you picked them up, you could then work  
24 with your partner again?  
25 "A. No. He didn't say that, but we did work that night.

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D3K8FLO4 "Marrero"

- 1 "Q. So you had -- I am trying to understand. You had a -- as  
2 a patrol officer, you have the same partner who you usually  
3 patrol with, is that right?  
4 "A. Yes.  
5 "Q. Who was your partner at the time in October of 2009?  
6 "A. Officer Gomez.  
7 "Q. What is Officer Gomez's first name?  
8 "A. Joel.  
9 "Q. How do you spell that?  
10 "A. J-O-E-L.  
11 "Q. How long had Officer Gomez been your partner at the time  
12 that you had this conversation with Lieutenant Valenzano?  
13 "A. Since August of 2008.  
14 "Q. So he had been your partner for over a year, is that  
15 right?  
16 "A. Yes.  
17 "Q. Do I have it right that at some point in October 2009, you  
18 were told you couldn't -- he wasn't your partner anymore? I  
19 don't understand this thing about how you were separated. If  
20 you can explain to me what that means.  
21 "A. He was placed with another officer to work that night and  
22 so was I.  
23 "Q. Just for that one night?  
24 "A. That one night.  
25 "Q. So at that point -- was it that same night that you went

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D3K8FLO4 "Marrero"

1 to Lieutenant Valenzano and said, I'd like to be placed back  
2 with Officer Gomez?

3 "A. Yes. That's before we turned out for roll call, yes.

4 "Q. And in response that is when Lieutenant Valenzano said,  
5 You need to pick up your 250 activity?

6 "A. Yes.

7 "Q. The officer -- were you then immediately allowed to go  
8 patrol with Officer Gomez that same night now?

9 "A. Yes.

10 "Q. I am going to shift gears a little bit. I want to ask you  
11 about the procedures that you follow when you do a stop and  
12 frisk and fill out a UF-250 form.

13 "Mark this exhibit 4. And I want to mark this as  
14 Exhibit 5.

15 "So if you want to review both of those and let me  
16 know when you're ready.

17 "Have you reviewed both Exhibits 4 and 5, Officer  
18 Marrero?

19 "A. Yes.

20 "Q. Do you recognize Exhibit 4?

21 "A. Yes, the patrol guide procedure.

22 "Q. This is a patrol guide procedure on what exactly?

23 "A. Stop and frisk.

24 "Q. Based on your review of Exhibit 4 and your own experience  
25 as a New York City police officer, is it fair to say that

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D3K8FLO4 "Marrero"

1 Exhibit 4 accurately sets forth the procedures that you're  
2 required to follow when you stop, question and frisk somebody?  
3 "A. Yes.  
4 "Q. If you notice then on -- starting on the top of the second  
5 page, which is Bates number 5422, do you see where it says  
6 paragraph number 10?  
7 "A. Yes.  
8 "Q. Is it correct that paragraph 10 lists the responsibility  
9 of the desk officer when they review a UF-250 form that's  
10 filled out by an officer?  
11 "A. Yes.  
12 "Q. In your experience in the 41st Precinct, when you complete  
13 a UF-250 form, who do you normally submit it to you?  
14 "A. The desk sergeant.  
15 "Q. And the desk sergeant, is it correct that that job rotates  
16 between different sergeants in the 41st Precinct?  
17 "A. Yes.  
18 "Q. So it could sometimes be your squad supervisor?  
19 "A. Yes.  
20 "Q. And sometimes it's not, right?  
21 "A. Yes.  
22 "Q. Paragraph 10 says that the desk officer will review the  
23 stop, question and frisk report work sheet and then it says,  
24 'A, instruct member preparing work sheet if necessary.'  
25 "In your experience, when you hand in a UF-250 to the

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D3K8FLO4

"Marrero"

1 desk sergeant, are you present when they review the UF-250?  
2 "A. Yes.  
3 "Q. Do they typically ask you questions about the UF-250 when  
4 you submit it?  
5 "A. They ask me the time of the occurrence.  
6 "Q. Anything else?  
7 "A. That's about it.  
8 "Q. When the desk officer reviews your UF-250, does he or she  
9 also check your activity log to make sure you enter the  
10 information about the stop in your activity log?  
11 "A. No.  
12 "Q. Do you typically enter the stop, question and frisk  
13 information in your activity log?  
14 "A. Yes.  
15 "Q. What information about a stop do you normally put in your  
16 activity log?  
17 "A. The information and description of the person that is  
18 stopped.  
19 "Q. When you say the information of the person that is  
20 stopped, what do you mean by that?  
21 "A. Basically my 250s, the ones I put in my memo book, are  
22 based off of radio runs.  
23 "Q. So what information would you include in your activity log  
24 about 250?  
25 "A. I'm sorry. The radio run is all about why he was stopped

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D3K8FLO4 "Marrero"

1 pertaining to the stop.

2 "Q. So let's look at Exhibit 5 for a second. Am I correct in  
3 saying Exhibit 5 is an example of a UF-250 form, right?

4 "A. Yes.

5 "Q. So the information that you put in your activity log, is  
6 it taken from the UF-250 form itself?

7 "A. Yes. The person's name, date of birth, height and weight.

8 "Q. Do you put any other information in your activity log with  
9 respect to a stop and frisk that you do?

10 "A. The reason why the person was stopped.

11 "Q. So then talking about the reasons, when you say you put  
12 the reason the person was stopped, do you write down the reason  
13 that you checked off on the UF-250 form?

14 "A. Yes.

15 "Q. So, for example, if you stop a person and the reason you  
16 check for the stop is wearing clothes disguised as commonly  
17 used in the commission of a crime, are you saying that that is  
18 what you would then write in your activity log?

19 "A. No. It's based off the radio run that central put over  
20 the air.

21 "Q. So you would write in the activity log that the reason for  
22 the stop is that you received a radio run?

23 "A. No. The description of the particular person that is  
24 about to commit or committing a crime in progress.

25 "Q. So you say that all UF-250s you do are based on radio

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"Marrero"

1 runs, is that right?

2 "A. Yes.

3 "Q. So does that mean every UF-250 you do, the reason you  
4 check the stop is it fits the description?

5 "A. If the description was put over the air.

6 "Q. So then I want to focus on those stops.

7 "So in situations where you fill out a UF-250 based on  
8 things you personally observed, do you record those stops in  
9 your activity log?

10 "A. Yes.

11 "Q. What information do you typically put in your activity log  
12 about the reason for the stop when it's a stop that's based on  
13 your own personal observances rather than a radio run?

14 "A. Then I will put down what I stopped them for.

15 "Q. So if you checked on the UF-250 suspicious bulge, that's  
16 what you would write in your activity log?

17 "A. Correct.

18 "Q. What if you checked just furtive movements, would you  
19 write that in your activity log?

20 "A. Furtive movements plus whatever made him move, any  
21 indications.

22 "Q. You would write furtive movements plus -- I'm sorry, what  
23 else would you write?

24 "A. He moved his hand, he or she.

25 "Q. Have you ever been either trained in the police academy or

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D3K8FLO4 "Marrero"

1 instructed by anyone in the 41st Precinct that it is legally  
2 permissible to stop someone solely because of furtive movements  
3 you may have observed?

4 "A. No.

5 "Q. Have you ever stopped anybody where the reason you stopped  
6 them is only furtive movements?

7 "A. The only reason why I stopped them was for furtive  
8 movements? No.

9 "Q. How often do your squad supervisors check your activity  
10 logs?

11 "A. If there's not a busy night, once or twice.

12 "Q. And when they check them, do they ever ask you  
13 about -- I'm sorry. Does your squad supervisor ever -- on a  
14 night where your squad supervisor is not the desk sergeant, so  
15 you wouldn't be submitting your UF-250s to your squad  
16 supervisor?

17 "A. No, it would be the desk sergeant.

18 "Q. So on those nights where your squad supervisor is not the  
19 desk sergeant, do they ever, to your knowledge, ever ask to see  
20 the UF-250s you completed to review them separately from the  
21 desk sergeant?

22 "A. No.

23 "Q. Have there ever been times when you failed to record stops  
24 in your activity log?

25 "A. Once or twice.

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D3K8FLO4

"Marrero"

1 "Q. And has a squad supervisor or a platoon commander who has  
2 reviewed your activity log ever noted that or told you, you  
3 know what, you failed to note a stop in here?  
4 "A. Yes.  
5 "Q. Were there any consequences for that when you failed to do  
6 that?  
7 "A. No.  
8 "Q. Do you know of officers in the 41st Precinct who have been  
9 disciplined for failing to record their stops in activity logs?  
10 "A. I don't know.  
11 "Q. Have you ever been disciplined for that?  
12 "A. No.  
13 "Q. Is it fair to say that your activity log should include  
14 the same level of detail about a stop that's on the UF-250  
15 form?  
16 "A. Yes.  
17 "Q. The last thing I want to ask you about is going to be  
18 Exhibit 6.  
19 "Take your time obviously. Exhibit 6, Officer  
20 Marrero, have you ever seen this document before today?  
21 "A. Before today? Yes, in the academy, the patrol guide  
22 procedures.  
23 "Q. In the patrol guide procedures. OK. What is this  
24 document?  
25 "A. It's an operations order of department policy regarding

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D3K8FLO4 "Marrero"

1 racial profiling.

2 "Q. This operations order, has it ever been reviewed with you  
3 during a roll call in the 41st Precinct?

4 "A. No.

5 "Q. Has this policy, this department policy regarding racial  
6 profiling, ever been discussed at roll calls in the 41st  
7 Precinct, to your knowledge?

8 "A. Not that I remember.

9 "Q. Has your squad supervisor ever discussed this policy with  
10 you and the officers in your squad?

11 "A. No.

12 "Q. Has your platoon commander ever discussed this policy with  
13 you and your fellow officers in your platoon?

14 "A. No.

15 "Q. Have you ever received training on this policy either at  
16 roll call or in some other form since you have been assigned to  
17 the 41st Precinct?

18 "A. Training before roll call, yes.

19 "Q. So this was the training sergeant in the precinct?

20 "A. Yes, the training officer.

21 "Q. What did that training entail?

22 "A. He explained the department policy again on racial  
23 profiling.

24 "Q. So he read this policy to you?

25 "A. Yes.

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D3K8FLO4

"Marrero"

1 "Q. Did he do --

2 "A. To all.

3 "Q. To all the officers in the precinct?

4 "A. Roll call.

5 "Q. Do you remember when this training officer trained you on  
6 this racial profiling policy?

7 "A. No, I do not.

8 "Q. Was it within the last year?

9 "A. Yes. Last year.

10 "Q. It was only one time that he's --

11 "A. That I can remember, yes.

12 "Q. Other than reading the policy to you, did the training  
13 officer do anything else to train you on this racial profiling  
14 policy?

15 "A. That I can remember, no.

16 "Q. Has Inspector McHugh ever discussed the racial profiling  
17 policy with you or any other officers in the 41st Precinct?

18 "A. No.

19 "Q. So I have a couple of more questions and hopefully we will  
20 be done in a few minutes.

21 "Officer Marrero, I just want to go back quickly to  
22 the 1 and 20 requirement you were talking about earlier. Do  
23 you know of any consequences for officers who fail to meet that  
24 1 and 20 requirement?

25 "A. No, no consequences. There are no requirements, but there

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D3K8FLO4

"Marrero"

1 are no officers that I know of that had any consequences.

2 "Q. I know there's no officers that have had consequences, but  
3 I guess what I am saying or asking is, have you ever been told  
4 by any of the supervisors or the platoon commanders or anybody  
5 what the consequences would be if an officer fails to meet the  
6 1 and 20?

7 "A. No. There are none.

8 "Q. The other thing I want to ask you about quickly is you  
9 mentioned earlier that you did not agree with Officer Polanco's  
10 claim that there was a requirement that each officer do five  
11 UF-250s a month. Is there another number that is required in  
12 your experience for UF-250s?

13 "A. No, there is not.

14 "Q. So if an officer did zero UF-250s in a month, would that  
15 be OK with their supervisors, in your experience?

16 "A. I don't know.

17 "Q. Have you ever done zero UF-250s in a month?

18 "A. No.

19 "Q. What is the least you have ever done, to your  
20 recollection?

21 "A. One.

22 "Q. And were you ever told that that one was not enough for  
23 that month?

24 "A. That one time with Lieutenant Valenzano. That's it.

25 "Q. Beyond the one, what is the next least you have ever done

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D3K8FLO4

"Marrero"

1 in a month?

2 "A. Five.

3 "Q. So other than that one month, the minimum you have ever  
4 done is five, and the only time you were ever told you didn't  
5 do enough is when you did less than five, is that right?6 "A. I don't know if I did less than five that month. I don't  
7 remember.8 "Q. I thought you just said when Valenzano told you that your  
9 activity was too low, it was the month you only did one?

10 "A. It wasn't that month.

11 "Q. But it's your testimony that there is only one month where  
12 you did just one UF-250, is that right?

13 "A. One month? That was the question?

14 "Q. My question now is --

15 "A. What was the least --

16 "Q. The least you have ever done in a month is one and how  
17 many times have you ever done one in a month?

18 "A. I don't remember.

19 "Q. Was it more than one time?

20 "A. I don't know.

21 "Q. The month that Valenzano complained or not complained, the  
22 month that he told you you had too low UF-250 activity, do you  
23 remember how many UF-250s you did that month?

24 "A. I do not recall.

25 "Q. And then is it right that other than the months or month

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D3K8FLO4 "Marrero"

1 where you did one, the next lowest number you have ever done in  
2 a month is five?

3 "A. Yes."

4 MS. MARTINI: No further questions.

5 THE COURT: Now you're going to read Edward Velazquez?  
6 Is that another police officer?

7 MS. BORCHETTA: Yes, your Honor.

8 "Q. Can you say your name for the record?

9 "A. Edward Velazquez.

10 "Q. When did you join the NYPD?

11 "A. June 30, 1998.

12 "Q. Was that 1998?

13 "A. 1998.

14 "Q. Was that the year you graduated from the academy?

15 "A. I graduated from the academy in February '99.

16 "Q. Did you graduate from high school?

17 "A. Yes.

18 "Q. Was that in New York?

19 "A. Yes.

20 "Q. What high school was it?

21 "A. James Monroe High School.

22 "Q. When did you graduate from high school?

23 "A. 1991.

24 "Q. What did you do after high school?

25 "A. I went to college.

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D3K8FLO4 "Velazquez"

1 "Q. What college did you go to?

2 "A. City College of New York.

3 "Q. Did you get a degree from there?

4 "A. Yes.

5 "Q. What was that?

6 "A. Bachelor's.

7 "Q. Bachelor's in what?

8 "A. Latin American and Caribbean studies.

9 "Q. When did you graduate?

10 "A. 1998.

11 "Q. So was the police department your first job out of  
12 college?

13 "A. Yes.

14 "Q. Why did you decide to become a police officer?

15 "A. I decided to become a police officer because in the  
16 neighborhood I grew up in, there was crime and drug dealers,  
17 and I joined to try to improve, make improvements in the  
18 community.

19 "Q. What neighborhood was that?

20 "A. In the Bronx, Soundview.

21 "Q. So after you graduated from the academy, what was your  
22 first assignment?

23 "A. My first assignment was field training.

24 "Q. How long was the field training?

25 "A. I think it was six months.

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D3K8FLO4

"Velazquez"

- 1 "Q. Where was that?  
2 "A. In the 41st Precinct.  
3 "Q. What was your assignment after that?  
4 "A. Patrol.  
5 "Q. Was that in the 41st Precinct as well?  
6 "A. Yes, ma'am.  
7 "Q. How long were you on patrol in the 41st Precinct?  
8 "A. Until August 2008.  
9 "Q. Where did you go in August of 2008?  
10 "A. I went to where I'm at now, firearms tactics section.  
11 "Q. The firearms tactics section?  
12 "A. Yes.  
13 "Q. That's where you are now?  
14 "A. Yes, ma'am.  
15 "Q. Did you ask for that transfer?  
16 "A. No, ma'am.  
17 "Q. Do you know why you were transferred?  
18 "A. Yes.  
19 "Q. Why was that?  
20 "A. That was because a precinct is not supposed to have a  
21 certain amount of people that are restricted, meaning they  
22 can't go out on patrol, so you just get transferred to  
23 somewhere where they can use you, utilize you for  
24 administrative duties.  
25 "Q. So you were restricted when you were transferred?

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D3K8FLO4

"Velazquez"

1 "A. Yes.

2 "Q. Why was that?

3 "A. That was due to a line of duty injury.

4 "Q. When did that happen?

5 "A. August 18, 2008.

6 "Q. What were the circumstances of that injury?

7 "A. What do you mean by the circumstances?

8 "Q. What led to you being injured?

9 "A. I was in the process of effecting an arrest and I had to  
10 struggle with the defendant and out of that came the injury.

11 "Q. What kind of injury did you have?

12 "A. I suffered a back, herniated disk injury.

13 "Q. Are you still suffering from that injury?

14 "A. Yes.

15 "Q. Are you still restricted?

16 "A. Yes.

17 "Q. Was there any other reason that you know of for your  
18 transfer to the firearms tactical unit?

19 "A. No.

20 "Q. I'm sorry, I think I got the name wrong.

21 "A. That's OK.

22 "Q. So your answer was no?

23 "A. No."

24 THE COURT: We will take our afternoon recess now and  
25 pick up on the next page, page 30, when we get back. And we

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D3K8FLO4 "Velazquez"

1 will break till 20 of 4.

2 (Recess)

3 (Continued on next page)

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D3k9flo5

"E. Velazquez"

1 (Trial resumed)

2 THE COURT: Picking up on page 30.

3 "Q. I think you told me you transferred in 2008?

4 "A. No. It was 2009. June I was transferred.

5 "Q. Okay. So earlier you had testified that it was 2008. But  
6 it's 2009 that you were transferred?7 "A. 2008 was when I got the injury to be restricted. I was  
8 transferred in -- that was 2008. And then in 2009, in June of  
9 2009 is when I got transferred out to go to the firearm tactic  
10 section.

11 "Q. Have you heard that phrase before 1 and 20?

12 "A. In the news.

13 "Q. Where did you hear it in the news?

14 "A. In that report with Officer Polanco.

15 "Q. Other than hearing it in the news, have you ever heard  
16 that phrase used before?

17 "A. No. I don't recall ever hearing that phrase before.

18 "Q. You never heard it in a roll call?

19 "A. I don't recall hearing it in a roll call.

20 "Q. Do you have any understanding of what that phrase means?

21 "A. From that news report, yes.

22 "Q. So your understanding of 1 and 20 comes totally from the  
23 news report?

24 "A. From the news report.

25 "Q. What is your understanding of what it means?

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D3k9flo5

"E. Velazquez"

1 "A. One arrest and 20 summonses.

2 "Q. Was there ever a requirement for officers in the 41st  
3 precinct to get 1 arrest and 20 summonses a month?

4 "A. By requirement do you mean something they have to do?

5 "Q. Yes.

6 "A. Recognized as a productivity goal. A requirement, no.

7 "Q. What is the difference to you between a productivity goal  
8 and a requirement?

9 "A. That would be something that you could try to reach. It  
10 doesn't mean that you have to be doing it.

11 "Q. So there was a productivity goal for you to do 1 arrest  
12 and 20 summonses while you were in the 41st precinct?

13 "A. By that do you mean like you throughout the year?  
14 Monthly?

15 "Q. Per month?

16 "A. Per month? Can you repeat the question again?

17 "Q. Was there a productivity goal for you to do 1 arrest and  
18 20 summonses a month while you were in the 41st precinct?

19 "A. While I was there, no.

20 "Q. Have you heard that since you left that productivity --

21 "A. Yes.

22 "Q. Let me finish the question.

23 "Have you heard that since you left that that  
24 productivity goal has been instituted in the 41st precinct?

25 "A. Yes.

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D3k9flo5 "E. Velazquez"

1 "Q. And you say it's a productivity goal and not a  
2 requirement; is that right?

3 "A. Yes.

4 "Q. And how do you know about that goal?

5 "A. From the news report.

6 "Q. Do you know about it from any other source?

7 "A. No.

8 "Q. While you were at the 41st precinct, was there any  
9 productivity goal for you?

10 "A. There was one for four arrests during the year.

11 "Q. Four arrests per year?

12 "A. Yes. That's like one per quarter.

13 "Q. And how did you find out about that?

14 "A. That's for like evaluation purposes.

15 "Q. But who told you that you needed to do four arrests per  
16 year?

17 MS. COOKE: Your Honor, I'm going to raise an  
18 objection to -- series of these similar questions where it's  
19 not an identified supervisor by name. The witness actually  
20 cannot recall who it was. So I would object on the grounds of  
21 hearsay.

22 THE COURT: Well, no. But the witness says at line 13  
23 it was a supervisor and -- she was -- he was at the 41st  
24 precinct. So while we don't know which supervisor, we do know  
25 which precinct, we do know it was a supervisor, we do know this

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D3k9flo5

"E. Velazquez"

1 witness heard it. So I'm going to allow it.

2 MS. COOKE: Thank you.

3 THE COURT: So let's pick up with the answer at line  
4 7.

5 "A. I'm trying to think back. That's something -- once I got  
6 out of field training, I may have heard it. But as far as  
7 which supervisor told me, I can't recall who it was.

8 "Q. Was it a supervisor in the 41st precinct?

9 "A. A supervisor.

10 "Q. Did he tell you that at a roll call?

11 "A. Whether it was in roll call or training, I don't recall.

12 "Q. Did you only hear it once or did you hear it multiple  
13 times?

14 "A. I've heard it multiple times.

15 "Q. When you say it was for evaluation purposes, what do you  
16 mean?

17 "A. By that I mean they don't want you just doing nothing.  
18 You had to -- the reason why you're there is eventually as an  
19 officer you have to make arrests and write summonses to uphold  
20 the law.

21 "Q. So if you didn't make those four arrests per year, what  
22 would that mean in terms of your evaluation?

23 "A. You wouldn't meet the minimum requirement or standard.

24 "Q. Would there be any repercussions for that?

25 "A. I don't know because I didn't -- I don't know of any that

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D3k9flo5

"E. Velazquez"

1 I've ever gotten.  
2 "Q. Do you know of anyone else who didn't make that?  
3 "A. No.  
4 "Q. Did you believe there would be a consequence if you didn't  
5 make those four arrests?  
6 "A. Yes. A bad evaluation.  
7 "Q. What happens if you have a bad evaluation?  
8 "A. A bad evaluation, you can get like -- if you get a bad  
9 evaluation you could get like special monitoring or --  
10 "Q. Anything else?  
11 "A. They might think you need more supervision.  
12 "Q. Anything else?  
13 "A. I was just thinking you might eventually get fired.  
14 "Q. But you always made those four arrests per year while you  
15 were in the 41st precinct?  
16 "A. I would try and do the best I could. I can't recall if I  
17 exactly made four like every year or what the amount was that I  
18 did a year.  
19 "Q. Did you ever get a bad evaluation?  
20 "A. No.  
21 "Q. All right. So that's what you said the productivity goal  
22 was for arrests. Were there any for summonses?  
23 "A. Not that I recall.  
24 "Q. No goals for summonses?  
25 "A. No. I can't remember what the number was.

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D3k9flo5 "E. Velazquez"

1 "Q. But there was a number?

2 "A. Yes.

3 "Q. Could the number have been 20?

4 "A. I don't recall what the number was prior to -- prior to  
5 like around 2008 when I got injured. I don't recall what the  
6 number was.

7 "Q. How did you find out what the number was when you knew it?

8 "A. What do you mean by that?

9 "Q. At some point did you know what the number was while you  
10 were in the 41st precinct?

11 "A. Yeah.

12 "Q. When did you know that? Who communicated that number to  
13 you?

14 "A. The supervisor.

15 "Q. Do you know what supervisor?

16 "A. We've had so many. I can't recall which one said what.  
17 But that always comes from a supervisor.

18 "Q. If you didn't reach that number, would that also affect  
19 your evaluation?

20 "A. No. Because other things are also taken into account.

21 "Q. Okay. But would that be taken into account on your  
22 evaluation?

23 "A. That's something that is taken into account.

24 "Q. Would it reflect negatively on your evaluation if you  
25 didn't reach that number?

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D3k9flo5

"E. Velazquez"

- 1 "A. Again, you have to take other things into account not just  
2 that.
- 3 "Q. In terms of that particular factor in your evaluation,  
4 would it be reflected negatively on your evaluation if you  
5 didn't reach the number of summonses?
- 6 "A. By that do you mean if that's the only thing that they  
7 look at to give an evaluation.
- 8 "Q. No. But you testified that they would look at that to  
9 give you an evaluation; is that right?
- 10 "A. Yes.
- 11 "Q. And if you hadn't reached the number, would that be  
12 reflected in your evaluation.
- 13 "A. It could. I don't know -- I don't think it has been  
14 reflected in mine.
- 15 "Q. I understand. But did you always reach the number while  
16 you were in the 41st precinct?
- 17 A. No.
- 18 Q. And when you didn't reach that goal -- number, what  
19 happened?
- 20 "A. I just tried next month.
- 21 "Q. Did anyone ever talk to you about it?
- 22 "A. You get supervisors who talk to you about it.
- 23 "Q. What supervisors talk to you?
- 24 "A. It could be your immediate supervisor, platoon commander.
- 25 "Q. Specifically in your case who talked to you about not

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D3k9flo5 "E. Velazquez"

1 doing enough summonses?

2 "A. I don't recall. The sergeant or lieutenant.

3 "Q. A sergeant or lieutenant?

4 "A. A sergeant or lieutenant.

5 "Q. What did they say?

6 "A. Try and do better next month.

7 "Q. When they said better, did you understand them to mean  
8 more summonses?

9 "A. Yes.

10 "Q. What about UF 250s? Was there a goal for the number of  
11 UF 250s?

12 "A. No.

13 "Q. Would it be okay for you got no UF 250s in a month?

14 "A. Yes.

15 "Q. Do you know an Inspector McHugh?

16 "A. Yes.

17 "Q. Did Inspector McHugh ever tell you that you needed to do a  
18 certain number of arrests or summonses or 250s?

19 "A. No.

20 "Q. Did he ever say anything about that at roll call?

21 "A. No.

22 "Q. I'm going to play an audio track.

23 "A. Before you do that, I just remembered. Inspector McHugh  
24 got there like maybe '07, '08. And I was out of there from  
25 June of '08 on so.

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D3k9flo5

"E. Velazquez"

1 "Q. So you wouldn't necessarily hear a lot from Inspector  
2 McHugh?

3 "A. Yes. Especially because I was on midnights and then  
4 line-of-duty injury.

5 "Q. While you were at the 41st precinct, were you ever  
6 assigned to a footpost or to a night tour because your summons,  
7 arrest, or 250 activity was considered to be too low?

8 "Q. So again that was a no?

9 "A. That's a no.

10 "Q. Do you know of any other officers who have?

11 "A. No.

12 "Q. While you were at the 41st precinct were you ever denied  
13 an overtime shift because your summons, arrest, or UF 250  
14 activity was considered to be too low?

15 "A. No.

16 "Q. Do you know of any other officers who were?

17 "A. No.

18 "Q. Have you ever been transferred or reassigned because your  
19 summons, arrest, or 250 activity was considered to be too low?

20 "A. No.

21 "Q. Officer Polanco testified at his deposition that he  
22 believed that you were transferred to your current assignment  
23 for not meeting productivity goals. Is that accurate?

24 "A. That comes from the medical division. And if you can't do  
25 patrol at the command because you're restricted, that's

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D3k9flo5

"E. Velazquez"

1 something that -- yeah, you have to be moved to another  
2 location where you could be used.

3 "Q. So Officer Polanco testified that at least one of the  
4 reasons that you were transferred is because your productivity  
5 was too low. Do you agree with that statement?

6 "A. I don't agree with that statement because, as I said, the  
7 reason why I'm at the current place, the current assignment is  
8 because of the line-of-duty injury. And that's all from the  
9 medical division.

10 "Q. Have you ever had any conversation with Officer Polanco  
11 about your transfer?

12 "A. Not that I recall.

13 "Q. Do you have any knowledge of why Officer Polanco would say  
14 that he would -- would say that that was the reason for the  
15 transfer.

16 "A. Maybe he would think that's the reason why.

17 "Q. Did you ever give him any reason to think that was the  
18 reason for your transfer?

19 "A. No.

20 "Q. Did you ever complain to him about being transferred?

21 "A. No. I didn't complain to him that I was being  
22 transferred. No.

23 "Q. Do you know of any other officers who were reassigned to a  
24 different command because they didn't meet productivity goals?

25 "A. Nope.

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D3k9flo5

"E. Velazquez"

- 1 "Q. Did Inspector McHugh ever order you to write a C summons  
2 for someone that he had stopped?  
3 "A. Yes. That I do recall. It was for a beer.  
4 "Q. So this just happened once?  
5 "A. That's the one occasion I can remember, yes.  
6 "Q. When you say it was for a beer, was that person getting a  
7 summons for open container?  
8 "A. Yes.  
9 "Q. Did you see the person with the open container?  
10 "A. No.  
11 "Q. So how did you come to write the summons?  
12 "A. Well the CO saw it so he called us over, like that he  
13 needed an additional unit. And we met him at the location and  
14 that's when he told us: This one has open container, write him  
15 a summons.  
16 "Q. And on the summons did you write that you had personally  
17 observed him with the container or that McHugh had?  
18 "A. I don't recall how I wrote it.  
19 "Q. Would you have felt comfortable writing that had --  
20 writing that you had seen it?  
21 "A. Could you repeat that again?  
22 "Q. Would you have felt comfortable writing that you had  
23 personally observed the person with the open container.  
24 "A. No, I wouldn't.  
25 "Q. But did Inspector McHugh ever ask you to do that, to write

SOUTHERN DISTRICT REPORTERS, P.C.

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D3k9flo5

"E. Velazquez"

1 a summons for something that they had personally observed and  
2 you had not?  
3 "A. Yes.  
4 "Q. Did he ever ask you to write that you were the one who had  
5 personally observed it on the summons?  
6 "A. No. He just ordered me to write the summons for open  
7 container.  
8 "Q. So he didn't specify what language to use?  
9 "A. Yes, he didn't.  
10 "Q. I'll read it back. Officer Polanco testified in his  
11 deposition that because of what he felt was the 1 and 20 quota  
12 in the 41st precinct people, officers, felt pressured to  
13 issue summons and make arrests even when they didn't have a  
14 legal justification to do so.  
15 "Is that accurate?  
16 "A. That sounds general. I didn't do it.  
17 "Q. He said that officers felt pressured to do so. Do you  
18 believe officers felt pressured to do that?  
19 "A. I could tell you what I felt about it. I can't tell you  
20 what the other ones felt about it. I didn't feel any pressure.  
21 "Q. Did you ever issue a C summons or make an arrest without  
22 probable cause?  
23 "A. No.  
24 "Q. Did you ever stop and frisk somebody without reasonable  
25 suspicion?

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D3k9flo5

"E. Velazquez"

- 1 "A. No.
- 2 "Q. Have you ever witnessed any officer do that?
- 3 "A. No.
- 4 "Q. Did you receive any training in the police academy or on
- 5 the job about when it's legal to stop someone, question them,
- 6 or frisk them?
- 7 "A. Yes.
- 8 "Q. When did you receive that training?
- 9 "A. In the academy.
- 10 "Q. Did you receive any training about that since?
- 11 "A. Not that I recall.
- 12 "Q. When you stopped, questioned or frisked someone, is there
- 13 any paperwork you're required to fill out?
- 14 "A. Yes. It's called the UF 250.
- 15 "Q. And what do you have to do to fill out the 250?
- 16 "A. You have to ID the person, get like an identification,
- 17 standard identification. You have to write down the clothing
- 18 description, the location of where you stopped them at, if it
- 19 was -- the reason why you stopped them, what type of crime you
- 20 suspected them of. You've got to give a height description,
- 21 approximate weight description, pedigree information, and the
- 22 factors that made you believe that you had to stop this person.
- 23 "Q. And once you filled out the UF 250 form, what do you do
- 24 with it?
- 25 "A. You put it in the -- there's a UF 250 basket. I don't

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"E. Velazquez"

1 know how other commands are run. But we have to put it in --  
2 turn it into the desk and it will go to crime analysis.

3 "Q. Once you turned it into the desk, do you ever review your  
4 UF 250 with anyone else?

5 "A. The 250s I filled out -- well, like if you forget to put  
6 the date down, they'll kick it back to you, and then you would  
7 have to go over it. But my 250s I don't review with anybody.

8 "Q. Did that ever happen to you with forgetting to put the  
9 date on it and getting it kicked back to you?

10 "A. Probably happened. I might have forgot to put something  
11 down.

12 "Q. Any other reason that you've ever -- that it's ever gotten  
13 kicked back to you other than forgetting to put something down?

14 "A. No.

15 "Q. Has anyone ever questioned you about the circumstances of  
16 a stop based upon your UF 250?

17 "A. What do you mean by if anyone ever questioned me?

18 "Q. Has any supervisor in the 41st --

19 "A. No.

20 "Q. Let me just finish the question.

21 "Has any supervisor in the 41 ever reviewed your  
22 UF 250 and then asked you about the circumstances of the stop?

23 "A. No, ma'am."

24 THE COURT: All right. That completes that reading.  
25 What do we have next?

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D3k9flo5 "E. Velazquez"

1 MR. MOORE: The plaintiffs will call Pedro Serrano.  
2 Officer Pedro Serrano.

3 PEDRO SERRANO, 40th precinct,  
4 called as a witness by the Plaintiffs,  
5 having been duly sworn, testified as follows:

6 DIRECT EXAMINATION

7 BY MR. MOORE:

8 Q. Good afternoon, Officer Serrano.

9 Could you tell us a little bit about yourself. Where  
10 were you born?

11 A. I was born in Quanto, Puerto Rico.

12 Q. How old are you now?

13 A. I'm 43.

14 Q. And how far have you gotten in school?

15 A. I have about 90 credits. I have an associates degree in  
16 liberal arts.

17 Q. Where is your associate degree from?

18 A. LaGuardia community college.

19 Q. Are you currently in school?

20 A. No.

21 Q. Are you married?

22 A. Yes.

23 Q. What's your wife's name?

24 A. Annabel Serrano.

25 Q. Do you have any children?

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D3k9flo5 Serrano - direct

- 1 A. Four.  
2 Q. Boys, girls?  
3 A. Two boys, two girls.  
4 Q. And are you presently employed?  
5 A. Yes.  
6 Q. Where are you employed?  
7 A. New York City Police Department.  
8 Q. And how long have you been a New York City police officer?  
9 A. Approximately nine years.  
10 Q. When did you first join?  
11 A. '04. 2007. I did six months in the police academy and the  
12 rest in 40 precinct to current.  
13 Q. The rest has been in the 40th precinct?  
14 A. Yes.  
15 Q. So you became a police officer in July of 2004,  
16 approximately?  
17 A. Yes.  
18 Q. You spent six months in the academy. Then you went to the  
19 40th precinct?  
20 A. Yes.  
21 Q. Where is that located?  
22 A. 257 Alexander Avenue, Bronx, New York.  
23 Q. In what capacity were you assigned to the 40th precinct?  
24 A. I have different capacities but right now I'm patrol.  
25 Q. When you first got there what were you?

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D3k9flo5 Serrano - direct

1 A. I was first -- I was the first impact class in the 40  
2 precinct.

3 Q. When you say the first impact class, what do you mean by  
4 impact?

5 A. Impact is when a certain precinct gets a spike in crime,  
6 they get about --

7 Q. Actually Officer Serrano, if you could move a little closer  
8 to the mic, because I'm having a hard time hearing you.

9 A. How about now?

10 Q. That's better. If you could pull it towards you it  
11 might -- don't pull it out of the thing.

12 Go ahead. Tell us what operation impact is.

13 A. Operation impact is when a precinct has a spike in crime.  
14 It could be anything. They request the bureau for some people,  
15 for some officers. The precincts -- the police academy usually  
16 sends about 50 to 80 cops to a precinct. They flood the zone  
17 with police officers and try to lower the crime in that area.  
18 I was the first one in the 40 precinct.

19 Q. And were you doing your impact work just in the 40th  
20 precinct?

21 A. Yes.

22 Q. Now, how long did you do the impact work?

23 A. Approximately a year. A year to two.

24 Q. And then you became a regular patrol officer in the 40th  
25 precinct?

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D3k9flo5 Serrano - direct

1 A. Well, first -- about one to two years in impact. Then I  
2 went to the midnights.

3 And then from the midnights I went to a detail which  
4 was a summons auto.

5 And then from there I became a transport auto, which  
6 all you do in transport -- you're transporting prisoners from  
7 the precinct to the 40 because we had so many prisoners.

8 Then from there I went to four to twelves.

9 Q. Four to twelve tour. When you say -- after you left  
10 impact, you went to the midnight tour, correct?

11 A. Yes.

12 Q. And what was the one in between that and the transport  
13 auto?

14 A. I was the summons auto.

15 Q. What's that?

16 A. Get in the car. You drive around and you write summonses.

17 Q. For vehicular violations?

18 A. Mostly movers. For cars.

19 Q. So, how long have you been on the four to twelve tour?

20 A. Approximately -- I think since 2006 maybe. I'm not too  
21 sure.

22 Q. And do you have a -- on the four to twelve tour have you  
23 had a regular partner?

24 A. I had several. The current --

25 Q. Typically you have a regular partner, correct?

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- 1 A. Yes.  
2 Q. And who's your partner at present?  
3 A. Arroyo Perez.  
4 Q. And he's a police officer?  
5 A. Yes.  
6 Q. Patrol officer.  
7 And how long has he been your regular partner?  
8 A. About a year to two.  
9 Q. And before that, did you have a regular partner?  
10 A. Officer Chae.  
11 Q. And that's spelled C-H-A-E?  
12 A. C-H-A-E.  
13 Q. What's his first name?  
14 A. Hyon. I don't know how to spell-  
15 Q. H-Y-O-N?  
16 A. Something like that, yeah.  
17 Q. And how did it come that you left Officer Chae and became  
18 Officer Perez's --  
19 A. I'm sorry. Officer Chae passed the sergeant exam. Became  
20 a sergeant in Manhattan.  
21 Q. Okay. So, would you say that you're pretty familiar with  
22 the 40th precinct?  
23 A. Very. Yes.  
24 Q. So, in fact, for the eight-and-a-half years that you've  
25 actually been out of the academy you've been working in the

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1 40th precinct, correct?

2 A. Yes.

3 Q. What is your present assignment in the 40th precinct?

4 A. Patrol.

5 Q. On the four to twelve, correct?

6 A. Yes. Four to twelve patrol.

7 Q. And can you tell us what your main function is as a patrol  
8 officer on the four to twelve shift?

9 A. Okay. Well as a patrol officer your main function is to  
10 provide service. And what I mean -- what I mean by saying that  
11 is it's a very busy precinct. And whenever you dial 911, the  
12 911 operator contacts our central. And then our central, via  
13 two-way radio, contacts the sector in concern.

14 And just to give you a little more background on the  
15 40, they -- when you have boundaries in the 40 they create a  
16 grid. And every square has a letter. So there will be an Adam  
17 or a Charlie. Then there -- that's one sector.

18 Q. Those are called sectors, right?

19 A. Yes. Exactly. Then there's a David, Eddie, Frank. Henry,  
20 Ida, John, etc.

21 Q. So you were here when Officer Polanco testified, correct?

22 A. Yes.

23 Q. And he -- let me just show you what -- a document that he  
24 discussed which is Plaintiffs' Exhibit 355 which is in evidence  
25 which sets out, in the patrol guide, the duties of a police

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1 paramilitary organization, correct?

2 A. Yes.

3 Q. And when your supervisors tell you to do something, patrol  
4 officers or police officers are expected to do it, right?

5 A. Yes.

6 Q. That's how you were trained?

7 A. Yes.

8 Q. Both in theory and in practice, correct?

9 A. Yes.

10 Q. And you see number eight it says, in this document, it  
11 says, "Render all necessary police service in assigned area and  
12 as otherwise directed."

13 Can you give us an example of the type of service you  
14 render as a patrol officer in the 40?

15 A. Well, in the 40 it's very busy, like I said. A lot of  
16 people dial 911. And when you -- when you're in the sector you  
17 get calls. You get about 20 to 40 jobs a night in my precinct.  
18 And it goes from backing up a -- or helping out a person who is  
19 injured, car accident, deal with a car accident. An emotional  
20 disturbed person. Some people have called me because their cat  
21 was stuck in a pool table. That actually happened.

22 It ranges. Anything. There are calls for everything.  
23 And we go and we try our best to help them. And if we can't  
24 help them we give them -- we give them information to the  
25 people that can help.

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Serrano - direct

1 Q. And --

2 A. I'm sorry. That takes about 90 percent of my time.

3 Q. And so that would -- so that would be 90 percent of your  
4 time is spent responding to radio runs?

5 A. That is correct.

6 Q. That would be typical of the duties of a patrol officer  
7 patrolling in a police car, correct?

8 A. That is correct.

9 Q. And along the way in performing your duties are you asked  
10 to on occasion make arrests -- not asked but do you have  
11 occasion to make arrests?

12 A. Yes. I do make arrests.

13 Q. And do you have occasion to issue summons?

14 A. Yes.

15 Q. And do you have occasion to write what are known as  
16 UF 250s?

17 A. Yes.

18 Q. Tell us what a UF 250 is.

19 A. UF 250 is a document that we fill out when we have a --  
20 when a person is -- there's reasonable cause to suspect that a  
21 person has committed, is committing, or will commit a crime.

22 And when I say "crime" I mean penal law misdemeanor  
23 and felony. Does not include violations. And does not include  
24 narcotics that's not out in the open.

25 Q. Let me show you on the screen the first page of Plaintiffs'

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1 Exhibit 98 which I believe is in evidence, Judge.

2 And have you ever seen this patrol guide provision  
3 before?

4 A. Yes.

5 Q. And this -- the patrol guide provision applies to stop and  
6 frisk, correct?

7 A. Correct.

8 Q. And is there a portion of this document that talks about  
9 how stop and frisk applies to a felony or a misdemeanor?

10 If you look on the third section it says procedure.

11 A. Procedure. Yeah. "When a uniformed member of the service  
12 reasonably suspects --"

13 Q. Read slowly for the court reporter.

14 A. I am so sorry.

15 "When a uniformed member of the service reasonably  
16 suspects a person has committed, is committing or is about to  
17 commit a felony or a penal law misdemeanor."

18 Q. There's a definition section up there for a stop and frisk  
19 and there's a definition of stop. Can you read that for the  
20 court.

21 A. "To temporarily detain a person for questioning."

22 Q. So if you walk up to individuals on the street to ask them  
23 a question, is that a stop, according to what your  
24 understanding is of the patrol guide?

25 A. Just to ask them a question, no.

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1 Q. Is it fair to say that to be a stop you have to intend to  
2 temporarily detain a person for questioning.

3 A. That's correct.

4 Q. And there's also a definition for search. Can you just  
5 read that?

6 A. "Search. To place hands inside pockets or other interior  
7 parts of clothing to determine if object felt is a weapon."

8 Q. Now is it fair to say when you're doing a stop and frisk  
9 that you can only do a search of a person if the frisk that you  
10 conduct gives you some reason to believe the person has a  
11 weapon?

12 A. Yes.

13 Q. And how sensitive of a search can you do if in frisking  
14 somebody you come upon something that you believe is a weapon?

15 A. Well it's supposed to be that area only.

16 Q. Okay.

17 A. So if there's a bulge on the right side of his waist and I  
18 believe it to be a weapon, I search that area only.

19 Q. If there is -- if in searching the individual you find a --  
20 something that -- a bulge in the jacket, does that give you  
21 permission to go through pockets of somebody?

22 A. No. Definitely not.

23 THE COURT: I may have missed it but when did you say  
24 you could do the frisk?

25 THE WITNESS: The frisk. He didn't ask me that.

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1 THE COURT: I guess I am.

2 THE WITNESS: Well the frisk is -- I frisk people when  
3 there is a bulge. And only in that area. So if there is a  
4 bulge on his right waist and I believe it to be a weapon, I  
5 frisk that area only.

6 THE COURT: So you wouldn't routinely frisk somebody  
7 who is stopped for questioning?

8 THE WITNESS: No. Definitely not.

9 BY MR. MOORE:

10 Q. So you would only frisk somebody when you have reason to  
11 believe that the person might have a weapon?

12 A. Yes.

13 Q. And, in fact, the definition reads, "A running of the hands  
14 over the clothing feeling for a weapon," correct?

15 A. That is correct.

16 Q. So it refers specifically to a weapon?

17 A. Yes.

18 Q. So simply stopping somebody does not give you the  
19 permission to frisk somebody, correct?

20 A. That's correct.

21 THE COURT: The next paragraph says -- see where it  
22 says, "Number two. Frisk, if you reasonably suspect you or  
23 others are in danger of physical injury."

24 THE WITNESS: Right.

25 THE COURT: And that's what you just said, right?

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1 THE WITNESS: Right.

2 Q. And the third -- the one right below that it says "Search,  
3 if frisk reveals object may be a weapon," correct?

4 A. Correct.

5 THE COURT: So in the frisk part you don't go inside  
6 the pocket?

7 THE WITNESS: No.

8 THE COURT: That's when you go outside?

9 THE WITNESS: Only time when you go in --

10 THE COURT: That's the search.

11 THE WITNESS: Right. The only time you go in is if I  
12 feel it and it's a gun. Then I go in and I pull it out. Or a  
13 knife. A weapon.

14 Q. Now, over the course of your career as a police officer in  
15 the New York City Police Department you've had occasion to  
16 arrest people, correct?

17 A. Yes.

18 Q. And when do you believe you have the authority to arrest  
19 somebody on the street?

20 A. Probable cause. When I have probable cause to believe that  
21 they committed a crime.

22 Q. And have you had occasion during your -- the time you've  
23 been a police officer to issue summonses?

24 A. Yes.

25 Q. And when do you believe you have the authority to issue

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1 somebody a summons?

2 A. Same thing. Probable cause that they committed the  
3 violation.

4 Q. And you've had occasion to conduct stop and frisks of  
5 individuals, correct?

6 A. Yes.

7 Q. When do you believe that you have the authority to stop  
8 somebody?

9 A. When you have reasonable cause to believe they committed a  
10 criminal misdemeanor or felony.

11 Q. Is that also referred to sometimes as reasonable suspicion?

12 A. Yes.

13 Q. And have you received training on when you can make stop,  
14 questions, and frisks?

15 A. Multiple trainings.

16 Q. You received training on that at the academy, correct?

17 A. I received the first one in the academy and then others  
18 following that. One -- a couple at the precinct level.

19 Q. And from time to time do you receive bulletins within the  
20 police department about stop and frisk issues?

21 A. At roll call we have a training officer who approaches us,  
22 gives us a form just like this, shows us a bulletin here and  
23 there, gives us a piece of paper, explains it short, you know,  
24 briefly. And that's the extent of that training.

25 Q. Have you recently been sent, Officer Serrano, for

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1 retraining on stop and frisk?

2 A. Yes, I have.

3 Q. When did that occur?

4 A. Don't know the exact date, I'm sorry. But it was within --  
5 maybe like the last month.

6 Q. We'll get to that in a minute. I just want to bring up  
7 that you did have the retraining.

8 Are you aware that the New York City Police Department  
9 has a policy against racial profiling?

10 A. Yes.

11 Q. Have you ever received any training in the New York City  
12 Police Department on that policy?

13 A. Yes.

14 Q. What was the extent of the training you received on that?

15 A. Same like the 250. At the academy they had a class on the  
16 250. They gave you bulletins and papers. And had a speech  
17 about it. And then at the -- every now and then it pops up at  
18 roll call with the training supervisor and hands out bulletins,  
19 gives a quick description of the training and that's it.

20 Q. When you say a description of the training, do you mean  
21 that the training officer reads the policy against racial  
22 profiling?

23 A. Yes. He reads it off the --

24 Q. And beyond that, have you ever received any training beyond  
25 just the reading of the policy?

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1 A. Not that I remember.

2 Q. Tell us what the command structure of the 40th precinct  
3 is.

4 A. Well at the top is the commanding officer, Deputy Inspector  
5 McCormack.

6 Q. He's currently the commanding officer, Deputy Inspector  
7 Christopher McCormack?

8 A. Yes. Christopher McCormack is the commanding officer.

9 Then right under him is the executive officer, which  
10 is -- don't know her first name, but she's Captain Matarasso,  
11 the executive officer.

12 After her is the admin lieutenant, which is Lieutenant  
13 Patelli.

14 And after him is the integrity control officer,  
15 Lieutenant Alba.

16 And then after them would be the lieutenant platoon  
17 commanders. The only one I know of is two of them which is  
18 Lieutenant Bucci. He's the -- he's in day tours.

19 And my lieutenant platoon commander is Lieutenant  
20 Mack.

21 Q. What's that last one?

22 A. Mack M-A-C-K. Like the Big Mack.

23 Q. And then there are platoon commanders for the three tours  
24 of duty?

25 A. Yes. I don't know who the midnight.

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1 Q. Below that would be sergeants who are squad supervisors  
2 within each platoon?

3 A. Yes. I'm sorry. After the lieutenant there's the squad  
4 supervisors. They are sergeants. There's one for every  
5 platoon.

6 Q. Let me ask you --

7 A. Squad.

8 Q. Who is your current supervisor?

9 A. Sergeant Monroe.

10 Q. And how long has he been your supervisor?

11 A. Approximately two years.

12 Q. Are you evaluated by the New York City Police Department?

13 A. We -- right now -- if I may, I saw a monthly sheet there.  
14 It has changed from -- since Officer Polanco has been active, I  
15 guess, it's now -- that was a monthly. Now they evaluate us on  
16 a daily, weekly, monthly, quarterly, yearly level. So they are  
17 constantly monitoring us.

18 Q. And on a monthly basis does the evaluation consist of  
19 simply putting down numbers and requests for excellence report?

20 A. That's it. You put down what you did for that day, how  
21 many complaints you wrote, how many ADA cards you wrote, how  
22 many car accidents, how many summons, arrests, how many 250s.  
23 It's just a bunch of numbers on a piece of paper depicting what  
24 I did all day long.

25 Q. Who gets that report?

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1 A. On a weekly basis, the sergeant is supposed to -- every  
2 seven days he's supposed to look at it. And I think grade it  
3 and see if I was an effective officer for that week.

4 Q. And is the exchange with the -- with your squad supervisor,  
5 is there any substance to it in terms of going through the  
6 actual nature of an arrest or a stop and frisk or a summons or  
7 is it just an evaluation of the numbers?

8 A. What he normally does, he looks at it. If I don't have a  
9 specific amount of activity -- usually the first week he's not  
10 going to bother you, because you have the whole month to catch  
11 up. But he will look at it and sign it. And if it gets  
12 towards the end, he'll let you know if you're lacking in  
13 activity.

14 Q. My question though is -- I appreciate that. But my  
15 question is: Do you ever discuss with your squad supervisor,  
16 say for instance, the underlying facts regarding a particular  
17 stop and frisk?

18 A. No.

19 Q. That's not part of the process?

20 A. No.

21 Q. Let me ask you this directly, Officer Serrano. To your  
22 knowledge, has the NYPD imposed quotas for enforcement activity  
23 on you and your fellow officers?

24 A. Yes.

25 Q. And can you tell us what kind of things that they say to

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1 you about your activity?

2 A. Well it's not enough. It's too low.

3 Q. So essentially if your numbers are not high enough bring  
4 your numbers up?

5 A. Well if I'm going to be specific I was told once by  
6 lieutenant -- I'm trying to remember his name -- but he told --  
7 I had a real good month. I had about three arrests, about 20  
8 summonses. And you know A, B, C, a mixture. And I didn't have  
9 any 250s. And he mentioned it. You know. I had a very busy  
10 week. I answered a lot of jobs. Did a lot of work. And I  
11 thought I was going to get praise that month. And he came up  
12 to me and said you need more 250s. I was like really.

13 Q. Who was that?

14 A. I'm trying -- I don't know. It's a lieutenant. He  
15 retired. This happened a while ago.

16 Q. Okay. All right. But while in the 40th precinct, right?

17 A. Yes.

18 Q. What is your basis for your knowledge that the NYPD, in  
19 your judgment, imposes quotas for enforcement activity on you  
20 and your fellow officers? What's your basis for your knowledge  
21 of that?

22 A. The basis is they tell you to your face. They tell you at  
23 roll call. They pull you to the side and tell you. Also you  
24 get retaliated against. I've gotten retaliated against because  
25 I didn't meet the quota. Again, I might have enough arrests,

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1 but I might not have enough C summonses for them. So they go  
2 after that.

3 But again it's -- they do retaliate. And they tell  
4 you that I need the specific number.

5 Q. Is that also something that's reinforced by your union  
6 delegates?

7 A. Yes. There were two trustees during an election. And I  
8 wrote an affidavit depicting that they were forcing me to --

9 MS. COOKE: Objection, your Honor, to the extent the  
10 witness is testifying about a written affidavit. That's a  
11 hearsay statement.

12 THE COURT: Sustained.

13 MR. MOORE: I'm sorry.

14 THE COURT: She's objecting to his out-of-court  
15 statement.

16 MR. MOORE: To his out-of-court statement?

17 THE COURT: Yes.

18 Q. Leave out what you wrote and tell us what you -- tell us  
19 what this example is.

20 A. Okay. Well I told him that I was being --

21 MS. COOKE: No.

22 THE COURT: Still the same thing.

23 MR. MOORE: It's what he says, Judge.

24 THE COURT: I know. That's an out-of-court statement.

25 MR. MOORE: They can cross-examine.

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1 THE COURT: I understand that. But it's still a  
2 hearsay statement.

3 MR. MOORE: All right. Fine.

4 Q. Can you tell me who of your supervisors that you mentioned  
5 have told you that in sum and substance that there are quotas  
6 within the New York City Police Department?

7 A. Many. I'm sorry.

8 Q. Present squad supervisor, has he told you that?

9 A. Sergeant Monroe. Sergeant Bradway. Sergeant Bloom --  
10 lieutenant -- that name is going to come to me.

11 Q. Dutae?

12 A. I'm sorry. Lieutenant Dutae.

13 Q. I thought that was the one that was -- I don't mean to --

14 A. There's another -- there's Lieutenant Dutae. There's  
15 lieutenant -- the ICO Lieutenant Barrett. They have given me  
16 specific numbers in a specific area within a specific  
17 timeframe. And if I don't write them I will be retaliated  
18 against.

19 Q. And when they talk to you -- does that also include Captain  
20 Matarasso and Deputy Inspector McCormack?

21 A. Yes.

22 Q. When they talk to you about your numbers, is it other than  
23 that or is it just basically your numbers?

24 A. It's --

25 Q. Do they go into the substance of what your activity is?

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1 A. No. They just -- they tell you exactly what you -- you  
2 don't have enough of.

3 Can I speak about evaluation?

4 Q. I'm sorry?

5 A. I had the evaluation with Captain Matarasso.

6 Q. Right. We'll get to that in a minute.

7 A. Well, yeah, they tell you specifically what you're lacking  
8 in.

9 Q. So, when do you believe that these -- when did you first  
10 become aware that NYPD was, in your judgment, imposing quotas  
11 on your -- for your enforcement activity?

12 A. (No response).

13 Q. When did you first become aware of that?

14 A. Well it's immediately. It shows up immediately when you  
15 come out of the police academy. But I would call that a soft  
16 quota. I wasn't really retaliated against. They just give you  
17 a number. I remember the four and -- one arrest a quarter, and  
18 20 summonses. But they really didn't come after me then.

19 2007 is when the 1 and 20 came into play, which is one  
20 arrest, twenty summonses. And, again, the twenty summonses  
21 were not anything. They are specific. They would say I need  
22 five C summonses, which are criminal court summonses. I need  
23 five B summonses, which are movers.

24 Q. When you say movers, what do you mean?

25 A. When you're in a car and you have a cellphone and they give

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1 you a summons for that. Anything in a car is basically -- when  
2 I write a summons it's called a B summons, which in traffic  
3 court is where you have to answer it. And the other summons is  
4 A summons which is a parker. And they would say five, five,  
5 and five. And then the other five would be up to us.

6 But it would definitely be those summons, the 250s,  
7 and the arrests.

8 Q. So in addition to the summonses and arrests there's also --  
9 you believe there's also a quota with respect to your 250  
10 activity, correct?

11 A. Yes.

12 Q. Now, Officer Serrano, are you -- do you have any concern  
13 about testifying here today?

14 A. Oh, definitely.

15 Q. What's that concern?

16 A. Retaliation which it has already started. And I fear that  
17 they're going to try to set me up and get me fired somehow.

18 Q. Why do you believe that?

19 A. Again, it already started. The minute -- 2007, again, I  
20 was scared. But I knew that certain things were wrong so I  
21 started taking notes and just recording stuff. But, again, too  
22 scared to come out.

23 And recently when I finally mentioned something and I  
24 called IAB, the minute -- I'm sorry. The minute I made it, I  
25 guess, known that I was going to testify in this court,

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1 captain -- Deputy Inspector McCormack when he came back from  
2 CompStat started to just do things. And one of the things that  
3 he did was he immediately called me into his office and -- I  
4 appealed my evaluation. So he said that that -- under the  
5 pretense of appealing my evaluation, he called me into his  
6 office with -- can I go into that?

7 Q. You can tell us who it was. We'll get into your  
8 evaluation, some of which is on an audio recording but --

9 A. Well he did a lot of things to retaliate.

10 Q. Okay. My question really goes to you have some fear of  
11 getting up here and testifying and the way you're testifying  
12 about what's going on in the New York City Police Department,  
13 correct?

14 A. Yes. I have fear to go -- my first day starts in three  
15 days. I can imagine what my first day is going to be like.

16 Q. Your first what?

17 A. My first day at work.

18 Q. Your first day back at work?

19 A. From my regular day off.

20 Q. When you say that you have been retaliated against, can you  
21 tell us in what ways you've suffered retaliation for --  
22 withdraw that.

23 Have you expressed your opinion to your supervisors  
24 that you believe that using quotas are illegal?

25 A. Yes, I have.

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1 Q. And when did you first begin to do that?

2 A. I've been protesting 2007, 2008. I've been verbally  
3 telling my supervisors that this is wrong, this is wrong, this  
4 is wrong, and they're constantly telling me: Hey this is the  
5 way it is. It's been done this way forever. You can't fight  
6 that losing battle.

7 Q. And as a result of your coming forward and having that  
8 discussion with your supervisors, do you feel that you've been  
9 retaliated against?

10 A. Yes. Definitely.

11 Q. In what ways?

12 A. Well someone took my locker and they moved it so all the  
13 contents were tossed everywhere. They put a lot of stickers of  
14 rodents on my locker.

15 Q. What did that mean to you?

16 A. That I was a rat.

17 Q. And what's the significance of being labeled a rat when  
18 you're a member of the New York City Police Department?

19 A. Usually if you call IAB in reference to another police  
20 officer, you're called a rat. But the inspector has a lot of  
21 friends. And they are very upset about what I did.

22 MS. COOKE: Objection, your Honor. To the extent he's  
23 testifying about --

24 THE COURT: To the extent he's just describing they  
25 were very upset.

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1           How do you know that? Did you see the upset? Did you  
2 see it yourself?

3           THE WITNESS: Yes, yes.

4           THE COURT: What did you see?

5           THE WITNESS: My, what do you call it, my PBA rep,  
6 he -- we used to be good people. Now he talks to me -- he  
7 yells at me. He has very smart -- smart -- as soon as it was  
8 known that I did this, he's just -- doesn't even want to look  
9 in my direction.

10          THE COURT: I'll allow that. That's what he observed.  
11 Q. So once you came forward you believe the -- at least  
12 certainly the atmosphere for you within that precinct changed,  
13 correct?

14 A. Definitely.

15          MR. MOORE: Judge, I notice it's 4:30. It would be a  
16 good point to --

17          THE COURT: Okay. Good. We're done for the day. See  
18 everybody tomorrow at 10:00. Thank you.

19          (Adjourned to March 21, 2013 at 10:00 a.m.)  
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